

TERMS OF REFERENCE
TERMINAL PROJECT EVALUATION

**PROJECT TITLE: MAINSTREAMING ENVIRONMENT AND CLIMATE CHANGE ADAPTATION IN THE
IMPLEMENTATION OF NATIONAL POLICIES AND DEVELOPMENT PLANS**

PROJECT AWARD : 61990

**PROJECT ID : 00079179 (VPO)
00079181 (MoFP)
00079182 (NEMC)**

1. BACKGROUND AND CONTEXT

The Vice-President's Office (VPO), Division of Environment, in close collaboration with the Ministry of Finance and Planning (MoFP) and the National Environmental Management Council (NEMC), and with the support of the United Nations Development Programme (UNDP) designed a five year programme (2011-2015, extended to June 2016) with the aim of mainstreaming environment and climate change adaptation in the implementation of national policies and development plans. The project forms part of the implementation of the UNDP (2011-2015) agreement that was signed between UN agencies and the Government of Tanzania (GoT) in June 2010.

This project aims to strengthen Tanzania's national capacity for climate change adaptation by:

- Contributing to the mainstreaming of environment and climate change adaptation in MKUKUTA-II implementation,
- Reviewing the National Adaptation Programme Of Action (NAPA) as part of the process for the development of the National Climate Change Strategy (NCCS) and the Nationally Appropriate Mitigation Activities (NAMAs),
- Strengthening of Institutional Frameworks for climate change governance,
- Creating an enabling environment and preparedness for enhancing Tanzania's opportunities in accessing international climate change adaptation funds.
- Increasing awareness among the general public and MDAs on climate change impacts and adaptation options.

The project was jointly funded by UNDP, the European Union, Department for International Development and One UN Fund. The project was implemented by Government implementing partners namely the Vice

President's Office (VPO), Ministry of Finance and Planning (MoFP) and National Environment Management Council (NEMC), as part of the UNDP in accordance with the UN business plan and the GoT's norms and procedures for nationally implemented projects.

The ultimate aim of the project is to balance, on one hand, the need for sustained high-level political attention on environment and climate change challenges, with the need for sound technical input and efficient coordination for building Tanzania's domestic resilience to climate change. The goal is to ensure that environment and climate change are mainstreamed in the most economically important and vulnerable sectors of the economy in Tanzania leading to reduced poverty levels while maintaining environmental integrity.

2. EVALUATION PURPOSE

This project evaluation will be conducted in fulfilment of UNDP regulations and rules guiding project evaluations. The UNDP Office in Tanzania is commissioning this evaluation to ascertain the outcomes and impact of the project measured against its original purpose, objectives whilst in the process capturing the evaluative evidence of the relevance, effectiveness, efficiency and sustainability of this project, which will set the stage for new initiatives. It is anticipated that the evaluation will outline lessons learned and recommendations which will be useful in contributing to the growing body of knowledge and future projects in support of climate change policies. The evaluation serves as an important accountability function, providing national stakeholders and partners in Tanzania with an impartial assessment of the results including gender equality results of this project.

3. EVALUATION SCOPE AND OBJECTIVES

Consistent with UNDP development efforts, UNDP evaluations are guided by the principles of gender equality, the rights-based approach and human development as appropriate. This evaluation assesses the extent to which project initiatives have addressed the issues of social and gender inclusion, equality and empowerment; contributed to strengthening the application of these principles; and incorporated the UNDP commitment to rights based approaches and gender mainstreaming in the project design.

This terminal project evaluation will cover the period 2011 – 2016 and will be conducted from May to July 2016, highlighting the key lessons learned to provide informed guidance to future support to the Government of Tanzania (GoT). The overall objective is to assess whether the project has succeeded in contributing towards substantive capacity building of the GoT in strengthening the foundation for

addressing climate change challenges. It will also assess its contribution to overall environmental policies, human rights and gender equity while at the same time, highlighting the key lessons learned to provide informed guidance to future support to the GoT.

Specifically, the terminal evaluation will:

- Assess the relevance and strategic positioning of UNDP support to Tanzania in general and specifically to supporting capacity strengthening of the GoT to mainstream policies relating to climate change mitigation and adaptation.
- Assess the existing frameworks and strategies adopted by UNDP in providing support to the GoT including partnership strategies, engagements, and whether they were well conceived for achieving planned objectives;
- Whether UNDP's contribution added value to the capacity building efforts of the concerned institutions;
- Determine if the project has achieved its stated objectives and explain why/why not;
- Provide recommendations on how to build on the achievements of the project and ensure that it is sustained by the relevant stakeholders;
- Assess Strategic partnerships forged with key stakeholders including CSO, Parliament, government ministries and departments, other commissions, among others and their sustainability;
- Appraise the sustainability of the programme, including the institutionalisation of interventions;
- Review the programme's efforts to mainstream gender and ensure the application of UNDP's rights-based approach;
- Assess relevance and utilisation of M&E processes;
- The lessons learned for follow-on support of UNDP to the GoT.

Partnerships Analysis

- Examine the partnership among UN Agencies and other donor organizations in the relevant field: What partnerships have been formed? What has been the role of UNDP? What has the level of stakeholders' participation been?
- Determine whether or not there is consensus among project actors, partners and stakeholders on the partnership strategy;

- Determine whether project’s partnership strategy has been appropriate and effective;
- Analyse how partnerships have been formed and how they performed;
- Examine how the partnership affected the achievement of progress towards the intended Outcome.

4. EVALUATION QUESTIONS

This evaluation seeks to answer, but is not limited to, the following questions, focused around the evaluation criteria of relevance, effectiveness, efficiency and sustainability:

1. **Relevance** - *the extent to which the activities designed and implemented were suited to priorities and realities*
 - Review the relevance of the project strategy and assess whether it provides the most effective route towards the intended results. Were lessons from other relevant projects properly incorporated into the projects design?
 - Review the problem addressed by the project and the underlying assumptions. Review the effect of any incorrect assumptions or changes to the context to achieving the project results as outlined in the project document.
 - Review how the project address countries priorities. Review country ownership. Was the project concept in line with the national sector development priorities and plans of the country?
 - Review the decision-making processes – were perspectives of those who could affect the outcomes, and those who could contribute information or other resources to the process, taken into account during the project design processes.
 - Review the extent to which relevant gender issues were raised in the project design.
 - If there are major areas of concern, recommend areas for improvement.

2. **Effectiveness** - *the extent to which the programme has achieved its intended outputs and objectives*
 - Review overall effectiveness of project management structure as outlined in the Project Document. Are responsibilities and reporting lines well defined? Is decision-making

transparent and undertaken in a timely manner? Give recommendation for improvement.

- Review the quality of support provided by UNDP and recommend areas for improvement.
- Critically analyse the Multi Year Results and Resources Framework included in the project document.
- Has the project been appropriately responsive to political, legal, economic, institutional, etc., changes in the country?
- Has the project approach produced demonstrated successes?
- In which areas does the project have the greatest achievements? Why and what has been the supporting factors? How can the project build on or expand these achievements?
- In which areas does the project have the least achievements? What have been the constraining factors and why? How can they be overcome?
- What, if any, alternative strategies would have been more effective in achieving the project's objectives?
- Has the project made strategic use of coordination and collaboration with other national institutions and with other donors in the country/region to increase its effectiveness and impact?
- Are the projects objectives and outcomes clear, practical, and feasible within its frame?
- How have stakeholders been involved in project implementation? How effective has the project been in establishing national ownership? Is project management and implementation participatory and is this participation contributing towards achievement of the project objectives? Has the project been appropriately responsive to the needs of the national constituents and changing partner priorities?
- Review the quality of execution of the implementing partners and recommend areas for improvement.
- Are the project partners using the outputs? Have the outputs been transformed by project partners into outcomes?
 - How do the outputs and outcomes contribute to the UNDP's mainstreamed strategies?
 - How do they contribute to gender equality?

- How do they contribute to the strengthening of the social partners and social dialogue?
- How do they contribute to strengthening the influence human rights standards?
- How do they contribute towards the effective promotion and protection of human right, overall climate change governance, equitable, and sustainable development in Tanzania?

3. *Efficiency*

- Has UNDP's project implementation strategy and execution been efficient and cost effective?
- Has there been an economical use of financial and human resources? Have resources (funds, human resources, time, expertise, etc.) been allocated strategically to achieve outcomes?
- Have resources been used efficiently? Have activities supporting the strategy been cost-effective? In general, do the results achieved justify the costs? Could the same results be attained with fewer resources?
- Have project funds and activities been delivered in a timely manner?
- How has the steering or advisory committee contributed to the success of the project?
- Does project governance facilitate good results and efficient delivery? Is there a clear understanding of the roles and responsibilities by all parties involved?
- Are the monitoring and evaluation systems that UNDP has in place helping to ensure effective and efficient project management?

4. *Sustainability*

- Are there any social or political risks that may jeopardize sustainability of project outcomes?
- What is the risk that the level of stakeholder's ownership will be sufficient to allow for the project benefits to be sustained?
- Are the current stakeholders in support of the long term objectives of the project?
- Are lessons learned being documented by the Project Team on a continual basis and shared with appropriate parties who could learn from the project?

- Do the current legal frameworks, policies, governance structures and processes pose risks that may jeopardize the sustenance of the project benefits?
- Are there any environmental risks that may jeopardize the sustenance of the projects outcomes?
- Do the UNDP interventions have well designed and well planned exit strategies?
- What could be done to strengthen exit strategies and sustainability?
- What changes if any should be made in the current partnership (s) in order to promote long term sustainability?

5. Partnership strategy

- Has UNDP's partnership strategy in environment and climate change sectors been appropriate and effective?
- Are there current or potential overlaps with existing partners' programmes'?
- How have partnerships affected the progress towards achieving the outputs?
- Has the project developed and leveraged the necessary and appropriate partnerships with direct and indirect stakeholders?
- Do local and national government stakeholders support the objectives of the project? Do they continue to have an active role in the project decision-making that supports efficient and effective project implementation?
- To what extent has stakeholder involvement and public awareness contributed to the progress towards achievement of project objectives?
- Review the internal project communication with stakeholders – is it regular and effective? Are there key stakeholders left out of communication? Are there feedback mechanisms in place? Does this communication with stakeholders contribute to their awareness of project results?
- Review the external project communication – are there proper means of communicating the impact of the project to the public?
- Summarize the project's progress towards results in terms of contribution to the SDGs.

The evaluation should also include an assessment of the extent to which programme design, implementation and monitoring have taken the following cross cutting issues into consideration:

6. Human rights

- To what extent have poor, indigenous and physically challenged, women and other disadvantaged and marginalized groups benefitted from UNDP Tanzania's work in mainstreaming climate change?

7. Gender Equality

- To what extent has gender been addressed in the design, implementation and monitoring of the project? Is gender marker data assigned this project representative of reality?
- To what extent has UNDP Tanzania's climate change mainstreaming policies and strategy support promoted positive changes in gender equality? Were there any unintended effects? Information collected should be checked against data from the Results-oriented Annual Reports (ROAR) during the period under review.

Based on the above analysis, the evaluators are expected to provide overarching conclusions on the project results in this area of support, as well as recommendations on how the UNDP Tanzania Country Office could adjust its programming, partnership arrangements, resource mobilization strategies, and capacities to ensure that the intervention fully achieves planned outputs.

5. METHODOLOGY

The terminal evaluation will be carried out by two evaluators, one national and one international, and will engage a broad range of key stakeholders (see annex 1) and beneficiaries, including government officials, donors, civil society organizations, etc. in order to utilize existing information, examine local sources of knowledge and to enhance awareness about and mainstreaming results-based management. The evaluation exercise will be wide-ranging, consultative, and participatory, entailing a combination of comprehensive desk reviews, analysis and interviews. While interviews are a key instrument, all analysis must be based on observed facts to ensure that the evaluation is sound and objective. This precludes relying exclusively upon anecdotes, hearsay and unverified opinions. Findings should be specific, concise and supported by quantitative and/or qualitative information that is reliable, valid and verifiable

An overall guidance on evaluation methodology can be found in the *UNDP Handbook on Monitoring and Evaluating for Results* and the *UNDP Guidelines for Outcome Evaluators*. The evaluators are expected to come up with a suitable methodology for this evaluation based on the guidance given in the above mentioned document. The terminal evaluation shall provide evidence based information that is credible,

reliable and useful. The evaluator shall review all relevant sources of information including documents prepared during the preparation phase.

This evaluation is expected to take a “theory of change” (TOC) approach to determining causal links between the interventions that UNDP Tanzania has supported, and observed progress in mainstreaming climate change into national policies. The evaluator will develop, in consultation with the programme team, a logic model of how UNDP Tanzania climate change interventions are expected to lead to improved national and local strategies in mitigating and adapting to climate change. The model will be validated by the Programme Specialist for Climate Change and Energy in the country office.

During the project evaluation, the evaluators are expected to apply the following approaches for data collection and analysis:

- **Desk review of relevant documents:** The evaluators will collect and review all relevant documentation and activity reports; past evaluation/ self-assessment reports; deliverables from the country programme activities, e.g. published reports and training materials; client surveys on support services provided to country offices if any; country office reports; UNDP’s corporate strategies and reports; and government, media, academic publications. See Annex 2 for the comprehensive list of documents.
- **Interviews and focus group discussions:** The evaluator will conduct face-to-face and/or telephone interviews with relevant stakeholders, including: i) UNDP staff (managers and programme/project officers) ii) VPO-DoE staff, responsible partners such as NEMC, MoFP, iii) project donors mainly DFID and EU iv) beneficiary groups. Focus groups may be organized as appropriate.
- **Site visits:** The evaluation team will visit select project sites to observe first-hand progress and achievements made to date and to collect best practices/ lessons learned. A case study approach will be used to identify and highlight issues that can be further investigated across the project.

6. EVALUATION PRODUCTS (DELIVERABLES)

The following reports and deliverables are required for the evaluation:

1. Inception report

2. Draft Project Terminal Evaluation Report
3. Draft Lessons Learned Report
4. Presentation of Draft Project Terminal Evaluation Report at the validation workshop/meeting with key stakeholders, (partners and beneficiaries)
5. Final Project Terminal Evaluation report and Lessons Learned Report

The Evaluator upon signing the contract will also sign a '*Code of Conduct for Evaluators in the UN System*', which may be made available as an attachment to the evaluation report. One week after contract signing, the evaluation consultants will produce an inception report. The inception report should include an evaluation matrix (see annex 3) presenting the evaluation questions, data sources, data collection, analysis tools and methods to be used. The inception report should detail the specific timing for evaluation activities and deliverables, and propose specific site visits and stakeholders to be interviewed. Protocols for different stakeholders should be developed. The inception report will be discussed and agreed with the VPO and UNDP country office before the evaluator proceed with site visits.

The draft evaluation report will be shared with stakeholders, and presented in a validation workshop or meeting that the VPO and UNDP country office will organise. Key partners and stakeholders i.e. the MoFP and NEMC, will participate in this meeting among others. Feedback received from these sessions should be taken into account when preparing the final report. The evaluator will produce an 'audit trail' indicating whether and how each comment received was addressed in revisions to the final report.

A lessons learned report will also be discussed during the validation workshop. Feedback received should be taken into consideration when preparing the final lessons learned report. This reports should be annexed in the main evaluation report.

All deliverables will be elaborated in English and must be submitted in digital form together with all supporting documentation including tables, graphs and diagrams in its original format.

The suggested table of contents of the evaluation report is as follows:

Title

Table of contents

Acronyms and abbreviations

Executive Summary

Introduction Background and context

Evaluation scope and objectives

Evaluation approach and methods

Data analysis

Findings and conclusions

Lessons learned

Recommendations

Annexes

7. EVALUATION TEAM COMPOSITION AND REQUIRED COMPETENCIES

The terminal evaluation will be undertaken by two evaluators, one national and one international, hired as consultants. The international consultant will be the lead evaluator.

Required Qualifications

- Advanced (Master or PhD) degree in environmental/climate change governance, Social science, Project management, Development studies or any other related field;
- **International consultant** - A minimum of 10-15 years relevant professional experience;
National Consultant - A minimum of 7 years relevant professional experience;
- Knowledge of/experience with UNDP monitoring and evaluation policies and procedures;
- Previous experience of climate change adaptation and mitigation issues in Africa, specifically Tanzania;
- Proven experience with environmental/climate change governance (inter alia policy analysis, dialogue, negotiation, research, monitoring and assessment) and in the implementation of climate change/environmental policies;
- Experience in evaluation of international donor driven development projects will be an advantage
- Recent experience with result-based management evaluation methodologies;
- Demonstrated understanding of issues related to gender and climate change adaptation; experience in gender sensitive evaluation and analysis;

Corporate Competencies:

- Demonstrates integrity by modelling the UN's values and ethical standards;

- Promotes the vision, mission, and strategic goals of UNDP;
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability;
- Treats all people fairly without favouritism.

Technical Competencies:

- Demonstrated ability to coordinate processes to collate information and facilitate discussion and analysis of material;
- Technical competencies in undertaking complex evaluations which involve a variety of stakeholders;
- Demonstrated strong research and analytical skills.
- Strong facilitation and collaborative skills
- Strong report writing and communication skills

Professionalism:

- Demonstrated ability to meet deadlines and work under pressure;
- Demonstrated excellent organizational skills.

Language:

- Fluency in English is essential, knowledge of Kiswahili is a bonus.

8. EVALUATION ETHICS

This evaluation will be conducted in accordance with the principles outlined in the UNEG 'Ethical Guidelines for Evaluation'. The Consultant must safeguard the rights and confidentiality of information providers, interviewees and stakeholders through measures to ensure compliance with legal and other relevant codes governing collection of data and reporting on data. The Consultants must also ensure security of collected information before and after the evaluation and protocols to ensure anonymity and confidentiality of sources of information where that is expected. The information knowledge and data gathered in the evaluation process must also be solely used for the evaluation and not for other uses with the express authorisation of UNDP and partners.

9. IMPLEMENTATION ARRANGEMENTS

The UNDP Tanzania Country Office will select the evaluator through an open process in consultation with the VPO. UNDP be responsible for the management of the Consultant and will in this regard designate focal persons for the evaluation and any additional staff to assist in facilitating the process (e.g., providing relevant documentation, arranging visits/interviews with key informants, etc.) The UNDP will take responsibility for the approval of the final evaluation report in liaison with the VPO, MoFP and NEMC.

The designated VPO focal point will assist the Consultant in arranging introductory meetings with the relevant parties in the UNDP, GoT, civil society etc. The Consultant will take responsibility for setting up meetings and conducting the evaluation, subject to advanced approval of the methodology submitted in the inception report. The UNDP Country Office will develop a Management Response to the evaluation within six weeks of report finalization.

While UNDP and VPO will provide some logistical support during the evaluation, for instance assisting in setting interviews with VPO and senior government officials, it will be the responsibility of the Consultant to logistically and financially arrange their travel to and from relevant project sites and to arrange most interviews. Planned travels and associated costs will be included in the Inception Report.

The Programme Specialist of the project will convene an Advisory Panel comprising of technical experts from VPO, MoFP, NEMC and UNDP to enhance the quality of the evaluation. This Panel will review the inception report and the draft evaluation report to provide detail comments related to the quality of methodology, evidence collected, analysis and reporting. The Panel will also advise on the conformity of evaluation processes to the UNEG standards. The evaluator is required to address all comments of the Panel completely and comprehensively. The Evaluator will provide a detail rationale to the advisory panel for any comment that remain unaddressed.

10. TIME-FRAME FOR THE EVALUATION PROCESS

The evaluation is expected to take 30 working days spread over a period of six weeks. A tentative date for the stakeholder workshop will be set in the inception meeting and the final draft evaluation report will be due after 30 working days from the commencement of the assignment. The following table provides an indicative breakout for activities and delivery:

Proposed Evaluation Mission Schedule (30 working days from May - July, 2016)

Activity	Responsible party	Timeframe/Deadline
Desk review, Evaluation design and work plan (Inception report)	Evaluation team	5 days
Field visits, interviews with partners, and key stakeholders	Evaluation team	11 days
Drafting of the evaluation reports & lessons learned reports	Evaluation team	8 days
Debriefing with UNDP	Evaluation team	Half day
Debriefing with partners	Partners and the Evaluation team	Half day
Finalization and submission of the evaluation reports and lessons learned reports (incorporating comments received on first drafts)	Evaluation team	5 days
Total No. of Working Days		30

11. COST

Interested consultants should provide their requested fee rates when they submit their expressions of interest in USD for international consultant and TZS for national consultant. The final agreed contract sum will be approved by the procurement unit responsible for recruitment of consultants. Fee payments will be made, based on the following payment schedule:

Inception report	10%
Draft Evaluation Report	20%
Draft Lessons Learned Report	20%
Final Evaluation Report	30%
Final Lessons Learned Report	20%

ANNEX 1: LIST OF KEY STAKEHOLDERS AND PARTNERS

Academic Institutions

Minister of Energy and Minerals (MEM)

Ministry of Finance and Planning (MoFP)

Ministry of Agriculture, Livestock and Fisheries (MALF)

Ministry of Works, Transport and Communication (MoWTC)

National Environment Management Council (NEMC)

Non-governmental Organizations (NGOs)

President's Office - Regional Administration and Local Government (PO – RALG)

Tanzania Meteorological Agency (TMA)

Ministry of Natural Resources and Tourism (MNRT)

United Nations Development Programme (UNDP)

Vice Presidents Office (VPO)

ANNEX 2: DOCUMENTS TO BE CONSULTED

National Strategy for Growth and Poverty Reduction (MKUKUTA II)

The United Nations Development Assistance Plan (UNDAP) 2011-2015

Project Document

Project Annual Work Plans 2012 - 2016

Project Quarterly Progress Reports 2012 – 2016

Project Financial Reports in form of FACE

Project Steering Committee / Project Boards Minutes

Tanzania National Vision 2025

Zanzibar Vision 2020

Annual Implementation Project Report

Various technical study reports

Mid-term Evaluation

Audit Reports

PME Handbook

UNDP Handbook on Monitoring and Evaluating for Results

UNDP Guidelines for Outcome Evaluators

ANNEX 3 - EVALUATION MATRIX

EVALUATION MATRIX						
Relevant evaluation criteria	Key Questions	Specific Sub-Questions	Data Sources	Data collection Methods/Tools	Indicators/ Success Standard	Methods for Data Analysis

TOR Approval

Reviewed by: Abbas Kitogo, Programme Specialist, Climate Change and Energy

Signature: _____

Date: _____

Approved by: Amon Manyama, Deputy Country Director - Programmes

Signature: _____

Date: _____