

Terms of Reference



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GENERAL INFORMATION

Title: International Consultant for Terminal Evaluation (TE) of “ Support to the Establishment of Indonesia REDD + Infrastructure and Capacity: Interim Phase” Project

Project Name : REDD +, Support to the Establishment of Indonesia REDD + Infrastructure and Capacity: Interim Phase” Project

Reports to: Programme Manager

Duty Station: Jakarta

Expected Places of Travel (if applicable): Jakarta and selected project sites (2-3 locations)

Duration of Assignment: From 15 November to: 15 December 2016

REQUIRED DOCUMENT FROM HIRING UNIT

✓	TERMS OF REFERENCE
7	CONFIRMATION OF CATEGORY OF LOCAL CONSULTANT , please select : (1) Junior Consultant (2) Support Consultant (3) Support Specialist (4) Senior Specialist (5) Expert/ Advisor CATEGORY OF INTERNATIONAL CONSULTANT , please select : (6) Junior Specialist (7) Specialist (8) Senior Specialist
✓	APPROVED e-requisition

REQUIRED DOCUMENTATION FROM CONSULTANT

✓	CV
✓	Copy of education certificate
✓	Completed financial proposal
✓	Completed technical proposal (if applicable)

Need for presence of IC consultant in office:

- partial (approximately 15 working days)
 intermittent (explain)
 full time/office based (needs justification from the Requesting Unit)

Provision of Support Services:

Office space: Yes No

Equipment (laptop etc): Yes No

Secretarial Services Yes No

If yes has been checked, indicate here who will be responsible for providing the support services: Programme Manager (Mr. Tomoyuki Uno)

Signature of the Budget Owner:.....

I. BACKGROUND

Indonesia is the third largest emitter of carbon dioxide (CO₂) and the world’s largest emitter from

agriculture, forestry and other land use. Over the past five years, Indonesia's annual deforestation rate has averaged around 1 million hectares, which contributes to an estimated 87 percent of Indonesia's annual emissions. In response to this situation, in 2009, President Susilo Bambang Yudhoyono, made a voluntary commitment to the world to reduce emissions as much as 26 percent under a business-as-usual scenario or up to 41 percent with international support by 2020.

According to the Second National Communication from Indonesia to the UNFCCC, Indonesia is expected to emit around 2.9 billion metric tons (gigatons) by 2020 under the business-as-usual scenario. A commitment to reduce that amount by 26 percent would mean a reduction of 0.8 gigatons, while 41 percent would amount to a reduction of 1.2 gigatons. A reduction from an expected 2.9 to 1.7 gigatons by 2020 is a challenging commitment for a country aiming to maintain its 7 percent annual growth rate. Nevertheless, this commitment has been translated into a comprehensive National Action Plan known as RAN-GRK (PP 61/2011).

The National Action Plan for the Reduction of Green-house Gas Emissions (RAN-GRK) estimates that 87 percent of the emission-reduction target - approximately 1 gigaton - relates directly to the forestry and peat land sectors and, to address this, the government has adopted an incentive mechanism to improve management of the country's vast forest resources while supporting climate-change mitigation. This mechanism, known as REDD+ (Reducing Emissions from Deforestation and Forest Degradation), has five primary objectives: (a) reduction of deforestation; (b) reduction of forest degradation; (c) conservation of carbon stocks; (d) sustainable forest management; and (e) enhancing carbon stocks.

On 26 May 2010 the Governments of Indonesia and Norway signed a Letter of Intent (LoI) to establish a REDD+ Partnership. The first phase of this REDD+ Partnership (Phase 1) was to establish an agency with the capacity to implement and manage REDD+ projects initially in the pilot province Central Kalimantan and progressively in other priority provinces across Indonesia. A Task Force (*Satuan Tugas*, Satgas) was appointed to support this initial phase and in August 2013 a National REDD+ Agency (*Badan Pengelolaan REDD+*) was established to coordinate the implementation of REDD+ objectives in Indonesia. With Presidential Decree No.6 of 2015, however, the duties and functions of the REDD+ Agency were integrated within the mandate of the Ministry of Environment and Forestry, under a new Directorate General for Climate Change. The Ministry of Environment and Forestry was born from the merger between the Ministry of Environment and Ministry of Forestry set up by President Joko Widodo when taking office in October 2014. After a period of merger and staff reassignment which UNDP also assisted under Dr. Siti Nurbaya's leadership, the Ministry is poised to move forward on key reform agendas –including reducing deforestation and forest degradation through the national REDD+ Programme now under the responsibility of the Ministry after the REDD+ Agency disbandment in January 2015.

The current transition arrangement of the Partnership, will build on the achievements of the results of the first phase, while preparing the start of Phase 2 (2016-2018) of the Partnership in 2016. With the disbandment of the REDD+ Agency, the Ministry of Environment and Forestry continues to honor previous commitments entered into during REDD+ Interim Phase, while putting in place the remaining elements of the REDD+ architecture required for Phase 2. The transition toward Phase 2 from October 2015 to December 2016, approved by both Indonesia and Norway serves these dual purposes. After delivering the key programmatic and operational results, institutional arrangements and capacity will be in place for Indonesia to move into the Phase 2 of the Partnership for REDD+, with the continued support of the Norwegian Government and of UNDP

Project goal:

The project is geared towards the goal of adequately capacitated institutional mechanism and financing mechanism instrument established for REDD+ and corresponding policies and strategies developed and implemented

Project objective:

The original project objective as stipulated in the original Project Document is: **By end of June 2016, institutional arrangement and capacity are in place to start Phase 2 based on preparatory work**

done during the Interim Phase. In view of the emerging constraints to attain that objective, it is adjusted to be:

By end of December 2016, the designs of institutional arrangement and capacity strengthening for funding mechanism and methodology for MRV are in place.

Project Outputs:

There are all together six project outputs and their corresponding outcomes, notably Output 6 and Outcome 6, for the said project objective. Each of the outputs is expected to contribute to the attainment of the corresponding outcomes as presented below:

Output 1:

Reduced Negative Impact of Forest Fire in Selected Provincial District (REDD+ Interim Phase)

Outcome 1:

Preventive capacity of five fire prone provinces is increased and incidence of forest and peat fire reduced (Transition Programme 1)

Output 2:

Law enforcement capacity in support of related legal frameworks, including the Moratorium, is strengthened (REDD+ Interim Phase)

Outcome 2:

Legal reform pursued and better law enforcement promoted for the implementation of REDD+ (Transition Programme 2)

Output 3:

Resolution of land conflict in and around selected National Parks reduces encroachment on protected land. (REDD+ Interim Phase – Time sensitive portfolio #3)

Outcome 3:

Improved management of land-related conflicts in the context of two priority national parks (Transition Programme 3)

Output 4:

Legal Recognition of Community Land Rights Increases Sustainable Management Practices of Natural Resources (REDD+ Interim Phase – Time sensitive portfolio #2)

Outcome 4:

Recognition and protection of the rights of customary law communities (MHA) advanced through institutional and technical support (Transition Programme 4)

Output 5:

Strategic Programs Implemented in Priority Provinces (REDD+ Interim Phase)

Outcome 5:

Improved community welfare and sustainable land and forest management through social forestry (Transition Programme 5)

Output 6:

Institutional Development (including: Gender mainstreaming in REDD+ ensures equitable participation of stakeholders) (REDD+ Interim Phase – Time sensitive portfolio #6)

Outcome 6:

Required institutions and systems in place to start Phase 2 (Transition Programme 6)

Total project cost to deliver the above-mentioned outputs is USD 64.5 million for 6 (six) year period, starting from 2010 to 2016. Under the mandate of Implementing Partner, the Directorate General for Climate Change of the Ministry for Environment and Forestry executes the project on behalf of the Government of Indonesia. The project addresses policy and institutional imperatives at the national level but also supports a number of sub-national initiatives in (11) eleven selected provinces in Indonesia, namely Aceh, West Sumatra, Riau, Jambi, South Sumatra, West Kalimantan, East Kalimantan, Central Kalimantan, Central Sulawesi, Papua, and West Papua for delivering the 6 (six)

programme outreaching outputs.

As agreed in the Project Board Meeting in April 2016, before the operational closure of the interim/transition phase of the project, an evaluation will have to be undertaken. The objectives of the evaluation are to assess the achievements of project results, to draw lessons from the design and implementation of the project, and to recommend forward looking measures that will strengthen the implementation of Phase II of this project. This evaluation will build on the first and second evaluations covering the period of 2011 – 2013.

In view of the above, UNDP would like to hire an independent national evaluator to support the international consultant (Evaluation Team Leader) to undertake the project Terminal Evaluation.

II. SCOPE OF WORK, RESPONSIBILITIES AND DESCRIPTION OF THE PROPOSED ANALYTICAL WORK

The International consultant will be assisted by one national consultant to undertake the terminal evaluation on the implementation of the project according to the guidance, rules and procedures established by UNDP as reflected in the UNDP Project Evaluation Guidance. The evaluation team is accountable to the Project Board and for technical matters is guided and supported by Resource Group, comprising of representatives of UNDP, GOI, and Government of Norway. For contractual matters, the International Consultant reports to the Programme Manager for xxx (Mr. Tomoyuki Uno) of the UNDP Environment Unit.

The scope of the evaluation will be on the interim phase of the project, namely between October 2015 up to December 2016, by strongly incorporating relevant findings and recommendations from the preceding Project Mid-Term Evaluation.

His/her responsibilities cover:

1. Leading the evaluation team, comprising of her/himself and the national consultant, to undertake the evaluation;
2. Designing the evaluation methodology and techniques in compliance with UNDP Monitoring and Evaluation Guidelines; and
3. Drafting, presenting, and submitting the final Evaluation Report.

In undertaking those responsibilities, the International Consultant will carry out the relevant tasks, including: 087883315458

1. To design the evaluation methodology, techniques, and questionnaire to measure the **relevance, effectiveness, efficiency, sustainability, and impact of the project**;
2. To review all relevant records and documents, such as the project document, progress reports, project budget revisions, midterm evaluation, national strategic policy and legal documents, project deliverables and any other materials that the evaluator and the Resource Group consider useful for this evidence-based evaluation.
3. To conduct interviews with relevant informants and resource persons at the national level and designated sub-national level in two (2) project sites. A list of suggested informants and resource persons and their organisational affiliations will be provided by the Programme Manager;
4. To provide evidence-based information and opinions that are credible, reliable and useful to support the findings and recommendations;
5. To measure the relevance, effectiveness, and efficiency of the project and the sustainability of its benefits as well as the extent to which the project is achieving impacts or progressing towards the achievement of impacts;
6. To present the draft of the Evaluation Report to the Project Board as supported and arranged by the Resource Group;
7. To finalise the Evaluation Report incorporating the feedbacks and guidance of the Project Board.

III. REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS

I. Academic Qualifications:

A master's degree in environment, natural resource management, international development, public policy or other relevant field, or acceptable combination of education and experience.

II. Years of experience:

- Minimum 15 years of relevant professional experiences.
- Knowledge of UNDP would be an advantage.
- Previous experiences with results-based monitoring and evaluation methodologies.
- Technical knowledge in forestry and environment is preferable.
- Fluency in English with excellent written communication skills, and strong experience in writing reports.
- Mastery of Bahasa Indonesia would be an advantage.

III. Competencies:

The evaluator selected should not have participated in the project preparation and/or implementation and should not have any conflict of interest with project related activities.

IV. EXPECTED RESULTS

The assignment will be delivered within 1 (one) month covering 15 November – 15 December 2016, with approximately no more than 30 working days. Schedule of payment will be in accordance with the timetable noted below:

Deliverables/ Outputs	Target Due Dates	Review and Approvals Required
1. Contract signing and proposed evaluation questions.	Upon contract signing (3 working days - 10%)	Programme Manager
2. Submission and approval of the 1st draft terminal evaluation report.	8 December 2016 (20 working days – 40%)	
3. Submission and approval (UNDP-CO and UNDP RTA) of the final terminal evaluation report	15 December 2016 (7 working days – 50%)	

The payment will be made to the consultant at each payment schedule, upon technical clearance from Resource Group and approval of the satisfactory submission of results from Programme Manager. Travel costs will be arranged by UNDP or can be reimbursed upon in advance approval of Programme Manager, Environment Unit.