# Final Programme Evaluation of Joint UNDP – UN Environment Poverty Environment Initiative (PEI) – 2013-2018

# Final Report- Annexes

## 12 April 2019

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## 1.1 Annex 1: List of Documents reviewed

Annual Progress Report, UNDP-UN Environment PEI, 2013

Annual Progress Report, UNDP-UN Environment PEI, 2014

Annual Progress Report, UNDP-UN Environment PEI, 2015

Annual Progress Report, UNDP-UN Environment PEI, 2016

Annual Progress Report, UNDP-UN Environment PEI, 2017

Bangladesh Draft Final Progress Report

Bangladesh Environmental Statistics Framework (BESF) 2016-2030

Bangladesh Project Document – Capacity Building for the Planning Commission: Poverty Environment and Climate Mainstreaming

Choi, S. Gankhuya, U and Meinjes, R. 2017. PEI Mongolia Internal Review Report 2014-2017.

Dalison, D and Kwambisi, M. 2018. Terminal Evaluation of the Poverty Environment Initiative Phase II (2013-2017) Malawi.

**DFID Annual Review 2014** 

**DFID Annual Review 2015** 

**DFID Annual Review 2016** 

DFID *Draft* Project Completion Review (March 2018)

Final PEI Business Review, 2012

Halcyon, L. 2018. UNDP-UNEP Rwanda Phase 3 Evaluation.

IIED, 2009. Evaluation of the UNDP-UNEP Poverty-Environment Initiative (PEI). Partnership with Norway 2004-2008. Report to Norwegian Ministry of Foreign Affairs.

Joint Programme Proposal, 2013. Joint UNDP-UNDP Poverty- Environment Initiative

Joint UNDP-UN Environment Poverty-Environment Initiative Project Revision 2. December 2017

Joint UNDP-UN Environment Poverty-Environment Initiative. Template for Project Revisions. Revision 1 (undated)

Kamtchouing, P. 2018 Evaluation finale de la Phase II du project IPE Mali du 27 November 2017 au 8 Decembre 2018

Martinho, M.J. 2017. Terminal Evaluation Report of Sustainable Management of Natural resources for Resilient and Equitable Growth and Development in Mozambique

PEA Joint Project Document, 2018

PEI 2017. Accelerating Sustainable Development in Africa – country lessons from applying integrated approaches.

PEI 5<sup>th</sup> Donor Steering Group Meeting, Meeting Report (7 October 2013)

PEI 8th Donor Steering Group Meeting Summary Report (28 April 2015)

PEI 9<sup>th</sup> Donor Steering Group Meeting Summary Report (10-11 May 2017)

*PEI Ad-hoc* meeting to follow up on Donor Steering Group Meeting Summary (28 January 2015)

PEI Africa Region - Final Joint Project Progress Report 2018

PEI Asia - Pacific Final Joint Project Progress Report 2018

PEI Bangladesh - Internal Review Report (2013-2016) and Theory of Change

PEI Evaluation update spreadsheet on Management Response, September 2018

PEI Financial Report 2013, 2014, 2015, 2016

PEI Indicator sheets, 2015

PEI Indonesia. Internal Review Report (2014-2016) and Theory of Change

PEI Indonesia. Sustainable Development Financing Phase 1. Internal Review report 2014-2017 Indonesia

PEI JMB Minutes (September 2014)

PEI JMB Minutes (February 2015)

PEI JMB Minutes (December 2015)

PEI JMB Minutes (January 2017)

PEI JMB Minutes (September 2018)

PEI M&E 5<sup>th</sup> and 6<sup>th</sup> Working Groups – Global Retreat 2015

PEI M&E Working Group Meeting Reports – 11 December 2014, 19 January 2015, 10 March 2015, 23 March 2015, 23 June 2015

PEI Management Response to scale up evaluation

PEI Provisional Financial Report 2017

PEI Tanzania Annual Progress Reports 2015, 2016, 2017

PEI Tanzania mid-term Internal review

PEI Tanzania, 2018. Final Joint Program report

PEI, 2016. Sustaining Impact beyond 2017. PEI Sustainability Strategy

Poverty-Environmental Accounting Framework (PEAF): Application to Inform Public Investments in Environment, Climate Change and Poverty.

Producto 3: Informe final PEI Peru – Gestion Integral de residous solidos para el desarrollo sostenible e inclusive

Producto 4: Inform Borrador PEI Paraguay 'Economica Verdee Inclusiva para reducer la brecha entre desarrollo sostentible y reduction de la pobreza.

Project Document Tanzania – Pro-poor growth and Environmental Sustainable Development.

Reyes, H. G. 2018. Producto 2 Informe Finale PEI Guatemala – Valorizacion de los servicio ecosistemicos en los proceses de planificacion del desarrollo en Gutemala.

Seybatau Alpha Djigo. 2017. Mission D'Evaulation Finale du Project IPE, Mauritanie

Syaka Sadio and Michel Kabore. 2018. Evaluation Finale du Project Initiative Pauvrete-Environment, Bukino Faso.

Tavera, C. Alderman, C and Nordin, N. 2016. Independent Evaluation of the Scale-up Phase (2008-2013) of the UNDP-UNEP Poverty – Environment Initiative

Toufique, K. 2016. Evaluation of the Support to Sustainable and Inclusive Planning (SSIP) Project in Bangladesh

UNDP - UN Environment. PEI Final Joint Project Progress Report - Africa

UNDP - UN Environment. PEI Final Joint Project Progress Report - Europe and CIS

UNDP - UN Environment. PEI Final Joint Project Progress Report - Asia and Pacific

UNDP- UNEP Poverty-Environment Facility, 2016. Summary of the internal mid-term review of the PEI Global program 2014-17

UNDP-UN Environment Joint Management Board Minutes, October 2017

United Republic of Tanzania, 2014. Relevance and Effectiveness of Poverty and Environment Indicators from MKUKUTAII and FYDP Monitoring Systems

## 1.2 Annex 2: List of People Interviewed

**Face to Face Meetings** 

| No  | Name                  | Designation  |  |  |  |  |
|-----|-----------------------|--|--|--|--|--|
| 1   | Isabelle Kempf        | UN Environment, PEI Co-Director  |  |  |  |  |
| 2   | Anne Juepner          | Director, Global Policy Centre on Resilient Ecosystems and Desertification (GC-RED). UNDP PEI Co-Director  |  |  |  |  |
| 3   | Michael Spilsbury     | Evaluation Office - UN Environment   |  |  |  |  |
| 4   | David Smith           | PEI Africa Program Manager   |  |  |  |  |
| 5   | Victoria Luque        | Former PEF Prog. Management Officer  |  |  |  |  |
| 6   | Jacinta Okwaro        | PEI Prog. Mgt. Officer   |  |  |  |  |
| 7   | Moa Westman           | PEI Regional Advisor   |  |  |  |  |
| 8   | Oscar Mwangi          | PEF Finance Associate  |  |  |  |  |
| 9   | Michael Stanley-Jones | PEI Prog Mgt Officer. PEI M&E Evaluation Group   |  |  |  |  |
| 10  | Alex Forbes           | Former PEI Programme Office  |  |  |  |  |
| 11  | Marie Cristina Zucca  | Environmental Governance Coordinator, law Division, UN Environment   |  |  |  |  |
| 12  | Julien Wright         | Dfid   |  |  |  |  |
| 13  | Ambrose Mugisha       | International Technical Advisor MoFP-Pro-Poor Economic Growth and Environmentally Sustainable Development Project (MoFP-Poverty Eradication Division [PED]) United Nations Development Programme, Tanzania |  |  |  |  |
| 14* | Margaret Swai         | UNDP Tanzania, Finance   |  |  |  |  |
| 15* | Leticia Kweba         | UNDP Tanzania, Programme Assistance  |  |  |  |  |
| 16  | Amon V.Y. Mbelle      | Professor of Economics / International Consultant. Department of Economics. University of Dar Es Salaam, Tanzania  |  |  |  |  |
| 17  | Servus Amo Sagday     | Assistant Director, Poverty Eradication Division. Ministry of Finance and Planning, Tanzania   |  |  |  |  |
| 18  | Dr Lorah Madete       | Planning Department, Tanzania  |  |  |  |  |
| 19  | Johannes R. Jovein    | Principal Economist. Ministry of Finance and Planning. External Finance Department. Tanzania   |  |  |  |  |
| 20  | Francis Njau          | Lecturer, Institute of Rural Development and Planning (IRDP), Tanzania<br>Project leader – Cholo Eco-Village project   |  |  |  |  |
|     | Group r               | meeting to discuss PEI district level initiatives (half day)   |  |  |  |  |
| 21* | Stephen Ochen         | District Fisheries Officer, Bunda LGA, Tanzania  |  |  |  |  |
| 22* | Lt Maulidi            | SUMA JKT Bulambati Jeshi la Kujenga Taifa (JKT) – National Service Unit. Tanzania  |  |  |  |  |
| 23* | Mr Mukama             | Community Radio, Bunda, Tanzania   |  |  |  |  |
| 24* | Mr Salim              | District Natural Resources, Sengerema Local Government Authority, Tanzania   |  |  |  |  |
| 25* | Joseph Mukyome        | District Bee Keeping Office, Illejje LGA. Tanzania   |  |  |  |  |
| 26* | Fabian Memosa         | District Executive Director, Simiyu Region. Tanzania   |  |  |  |  |
| 27* | Justice L. Kijazi     | District Executive Director. The United Republic of Tanzania President's Office. Regional Administration and Local Government. Ikungi District Council [interviewed with Servus Amo Sagday and PEI team]   |  |  |  |  |
| 28* | Philbert Benedict     | District Beekeeping Officer. Ikungi District Council, Tanzania   |  |  |  |  |
| 29* | Masungu Mdanya        | Acting Planning Officer, Ikungi District Council, Tanzania   |  |  |  |  |
| 30* | Haika Massawe         | Community Development Officer, Ikungi District Council, Tanzania   |  |  |  |  |

| No  | Name                                  | Designation  |  |  |
|-----|---------------------------------------|--|--|--|
| 31* | Bee keeper group<br>Mazingera Village | Group discussion with 16 people Ikungi District  |  |  |
| 32  | Amon Manyama                          | Programme Specialist and Head of Programmes and Projects, UNDP Tanzania  |  |  |
| 33  | Clara Makenya                         | National Coordination Officer and Focal Point for Tanzania. UN Environment   |  |  |
| 34* | Margareth Nzuki                       | Head of Knowledge Management and Innovation Department. Economic and Social Research Foundation. Tanzania  |  |  |
| 35* | John Kajina                           | Principal Information Technology Expert. Economic and Social Research Foundation. Tanzania   |  |  |
| 36* | Danford Sango                         | Head of Governance and Capacity Development Department.<br>Economic and Social Research Foundation. Tanzania   |  |  |
| 37* | Gratian R Bamwenda                    | Environmental Management Specialist. Economic and Social Research Foundation. Tanzania   |  |  |
| 38  | Razacj B. Lokina                      | Associate Professor. Department of Economics. University of Dar Es Salaam, Tanzania  |  |  |
| 39  | Kenneth Mdadila                       | Centre for Economic Research and Policy. University of Dar Es Salaam, Tanzania   |  |  |
| 40  | Thomas Beloe                          | Governance, Climate Finance & Development Effective Advisor, UNDP Bangkok Regional Hub   |  |  |
| 41  | Sutida Manaspiti                      | Programme Associate, Inclusive Growth and Sustainable Development, UNDP Bangkok Regional Hub   |  |  |
| 42  | Jonathan Gilman                       | Regional Development Coordinator, Strategic Policy and Planning, UN Environment, Asia and the Pacific Office   |  |  |
| 43  | Prashanthi<br>Subramaniam             | Former Communications Consultant, PEI Asia Pacific team  |  |  |
| 44  | Soen Mi Choi                          | Former PEI Regional Asia-Pacific Lead  |  |  |
| 45  | Fakrul Ahsan                          | Chief Technical Advisor, EI, UNDP. Bangladesh  |  |  |
| 46  | Mohammed Shahadut<br>Hossain Mazumder | Finance and Admin Manager. Support to Sustainable and Inclusive Planning Project, Bangladesh   |  |  |
| 47  | A.Z.M. Saleh                          | Knowledge Management and Research Coordinator. Support to Sustainable and Inclusive Planning Project, Bangladesh   |  |  |
| 48  | Shaila Mahmud                         | Junior Environment Consultant. Engaging with Institutions (EI) IP project. UNDP. Bangladesh  |  |  |
| 49  | Shamsul Alam                          | Member (Senior Secretary), General Economics Division, Planning Commission. Government of the People's Republic of Bangladesh  |  |  |
| 50* | Md. Mustafizur Rahman                 | Joint Chief General Economic Division and Project Director, 7 <sup>th</sup> Five Year Plan project. Planning Commission, Ministry of Planning. Government of the People's Republic of Bangladesh |  |  |
| 51* | Md. Mahbubur Rab                      | Deputy Chief. Poverty Analysis and Monitoring Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh                                       |  |  |
| 52* | Nepoleon Dewan                        | Senior Assistant Chief. Poverty Analysis and Monitoring Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh                             |  |  |
| 53* | Shimul Seu                            | Senior Assistant Chief. Poverty Analysis and Monitoring Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh                             |  |  |
| 54* | Sadia Afroz                           | Chief. Poverty Analysis and Monitoring Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh  |  |  |
| 55* | Md. Mahlubul Alam<br>Siddiqieu        | Senior Assistant Chief. Poverty Analysis and Monitoring Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh                             |  |  |
| 56* | Murtuza Zulkar Nain<br>Norman         | Senior Assistant Chief. International Economics Wing. General Economic Division (GED) Planning Commission. Government of the People's Republic of Bangladesh                                     |  |  |

| No  | Name                  | Designation   |
|-----|-----------------------|---|
| 57* | Krishna Gayen         | Additional Secretary, Director General. Bangladesh Bureau of Statistics. Ministry of Planning Bangladesh  |
| 58* | Md. Rafiqul Islam     | Deputy Director and National Focal Point Officer, Environment, Climate Change and Disaster Statistics (ECDS) Cell. National Accounting Wing. Bangladesh Bureau of Statistics. Ministry of Planning Bangladesh |
| 59* | Mouful Nahar          | Instructor, National Academy for Planning and Development (NAPD), Ministry of Planning. Bangladesh  |
| 60* | Md. Nuruzzaman        | Director, Training. National Academy for Planning and Development (NAPD), Ministry of Planning. Bangladesh  |
| 61  | M. Anisul Islam       | Director. Centre for Natural Resource Studies   |
| 62* | Kyoko Yokosuka        | Deputy Country Director, UNDP-Bangladesh  |
| 63* | A.K.M. Mamunur Rashid | Climate Change Specialist, UNDP-Bangladesh  |
| 64* | Sudipto Mukerjee      | Country Director, UNDP-Bangladesh   |

Notes: \* indicates that person was interviewed in a group. The group meetings are color coded.

33 individual meetings 9 group meetings Skype / Telephone meetings

|    | <u>Name</u>                 | <u>Designation</u>  |  |  |  |  |
|----|-----------------------------|---|--|--|--|--|
| 1  | Alexandra Regner-Burke      | PEI Closure Specialist, Vienna  |  |  |  |  |
| 2  | Alexandra Wachtmeister      | Environmental Advisor, Sida, Stockholm, Sweden  |  |  |  |  |
| 3  | Bernard Crabbe              | EC. DEVCO.C2 (European Institutions) Belgium  |  |  |  |  |
| 4  | Dechen Tsering              | Regional Director, Asia and the Pacific, UN Environment   |  |  |  |  |
| 5  | George Bouma                | Team Leader, IGSD, Istanbul, UNDP and former PEI Co-Director  |  |  |  |  |
| 6  | Jana Hozlarova              | PEI Finance Specialist, Bratislava  |  |  |  |  |
| 8  | Kayla Keenan                | Former PEI Programme Officer  |  |  |  |  |
| 9  | Lauren Naville Gisnås       | Senior Adviser - Section for Sustainable Development and Environment / Department for Climate, Energy and Environment, NORAD  |  |  |  |  |
| 10 | Matilde Mordt               | Regional Team Leader for UNDP's Sustainable Development and Resilience Cluster, Latin America and the Caribbean   |  |  |  |  |
| 11 | Mette Wilkie                | UN Environment, FAO, Rome   |  |  |  |  |
| 12 | Monika MacDevette           | JMB, UN Environment, Nairobi  |  |  |  |  |
| 13 | Nara Luvsan                 | (Former) Senior Programme Officer for Poverty-Environment Initiative Europe and Commonwealth of Independent States. PEI M&E Evaluation Group / PAGE, UN Environment, Almaty |  |  |  |  |
| 14 | Paul Steele                 | IIED, PEI TAG   |  |  |  |  |
| 15 | Peter Hazlewood             | Independent consultant  |  |  |  |  |
| 16 | Piedad Martin               | Regional Coordination for Development Officer for Latin America and the Caribbean, Un Environment   |  |  |  |  |
| 17 | Stephen Chache              | Africa Philanthropic Foundation and Co-convenor for Tanzania Sustainable Development Platform   |  |  |  |  |
| 18 | Steve Bass*                 | IIED, PEI TAG   |  |  |  |  |
| 19 | Tim Scott                   | Senior Policy Advisor on Environment, UNDP, New York PAGE Management Board  |  |  |  |  |
| 20 | Yogesh Bhatt                | Evaluation Specialist, UNDP, Nairobi  |  |  |  |  |
| 21 | John Maughan (via<br>email) | Green Growth Knowledge Platform (GGKP)  |  |  |  |  |

Note: All meetings were on a one to one basis.

## 1.3 Annex 3: Evaluation Matrix

| Criterion   | Questions from TOR <sup>1</sup>   | Comments  |
|---|---|---|
|   | [Additional questions in italics]   |   |
| Strategic Relevance   | <ul> <li>Was the joint project relevant, appropriate and strategic to MDG/SDG indicators, goals and challenges?</li> <li>How did the project address country priorities?</li> <li>Was the joint project relevant, appropriate and strategic to the mandate, strategy, functions, roles, and responsibility of the UN entities involved?</li> <li>What role has the joint project played in the provision of "thought leadership" and in coordinating within the UN system and beyond? Please provide concrete examples and make specific suggestions on how to enhance these roles going forward.</li> <li>Was the joint project relevant, appropriate and strategic to the national and relevant donor related policy</li> <li>Looking forward how well is PEI placed to help countries deliver on SDGs given its programme of work so far and does this support best relate to a sub-set of SDGs or broader based support?</li> </ul> | In line with the final evaluation of the PEI Scale up Phase this evaluation will examine the relevance of PEI from three main angles.  (i) The strategic relevance of P-E mainstreaming within the framework of the global sustainable development agenda  (ii) Relevance to programme countries;  (iii) The programme's relevance to the corporate strategies and delivery mechanisms of the UN partner agencies, and to other poverty- environment mainstreaming practitioners. |
|   |   | Links to criteria Strategic Relevance and Appropriateness in TOR  |
| Achievement of Outputs  | <ul> <li>To what extent have outputs been achieved at the global, region and country levels?</li> <li>To what extent are the outputs collectively contributing to meeting the overall programme objective and expected results.</li> </ul>  | Achievement of outputs based on end of project targets using the Progress to Results Matrix  Links to criteria Effectiveness and Efficiency and Impact in TOR   |
| Effectiveness:<br>Attainment of project<br>outcomes and results | <ul> <li>To what extent has the project contributed to the expected project outcomes both at the country and global level as identified in the PEI results and resource framework? This will include an assessment of actual and potential, positive and negative, intended or unintended impact of the Initiative, achieved directly or indirectly.</li> <li>What and how much progress has been made towards achieving the overall [outputs and] outcomes of the joint project (including contributing factors and constraints)?</li> </ul>   | Links to criteria Effectiveness and Efficiency<br>and Impact in TOR<br>This criterion will cover: (i) Achievement of<br>direct outcomes based on the end of<br>project targets using the progress towards<br>results matrix; (ii) Likelihood of impacts   |

| Criterion                    | Questions from TOR <sup>1</sup> [Additional questions in italics]   | Comments   |
|------------------------------|---|--|
|                              | <ul> <li>In what way is PEI contributing to achieve the expected intermediate states towards impacts? What are enabling factors/conditions that improve the likelihood of impact and what are key risks?</li> <li>Did the joint project contribute to measurable results for sustainable natural resource management and poverty reduction through applying an integrated approach?</li> <li>Did the joint project sufficiently address cross cutting issues including gender?</li> </ul>   | based on the TOC and evidence<br>documented in reports and through<br>interviews; (iii) Achievement of project goal<br>and planned objective   |
| Sustainability & Replication | <ul> <li>Will the outputs/outcomes lead to benefits beyond the life of the existing joint project?</li> <li>How effective were the exit strategies and approaches to phase out assistance provided by the joint project including contributing factors and constraints?</li> <li>Was capacity (individuals, institution, and system) built through the actions of the joint project and can it be sustained without further joint project interventions?</li> <li>Were the modes of deliveries of the outputs appropriate to promote national ownership and sustainability of the result achieved?</li> <li>Were the actions and results owned by the local partners and stakeholders?</li> <li>What are the key factors that will require attention in order to improve prospects of sustainability or broader dissemination of joint project outcomes/outputs/results?</li> <li>How successful has South-South knowledge transfer been in widening the application of P-E mainstreaming and what lessons can be applied to the next Phase?</li> </ul> | Links to Sustainability in the TOR  Considered the following risks to sustainability – financial, socio-economic, institutional and governance, environmental.  The Scale up Phase evaluation examined Sustainability is at two levels: (i) the likelihood that poverty- environment mainstreaming outcomes at country level will be sustained beyond the completion of PEI interventions and will eventually contribute to poverty reduction and environmental sustainability; and, (ii) the likelihood that the PEI host institutions will retain or enhance their capacity to respond to the unmet country demand for PEN mainstreaming support in the event that the Poverty-Environment Initiative ceases to exist. |
| Efficiency                   | How has the joint project contributed to achieving stronger and more coherent integration in the implementation of the post rio+20 agenda and how can lessons learnt be used for the 2030 Agenda? Please provide concrete examples and make   | Links to criterion Effectiveness and Efficiency in TOR   |

| Criterion                                | Questions from TOR <sup>1</sup>   | Comments  |
|--|---|---|
|  | [Additional questions in italics]   |   |
|  | specific suggestions on how to enhance these roles going forward.   |   |
|  | Were the actions to achieve the outputs and outcomes effective and efficient?   |   |
|  | What lessons can be drawn on the relative merits of targeted technical assistance   |   |
|  | versus a country programme / What are the conditions under which the two are appropriate? At what stage can the PEI withdraw without jeopardizing PEI's impact potential?                             |   |
|  | <ul> <li>Has the project led to more effective integration of P-E mainstreaming in the strategic<br/>planning and programme of work of UNDP and UN Environment.</li> </ul>                            |   |
|  | <ul> <li>Were there any lessons learned, failures/lost opportunities? What might have been<br/>done better or differently?</li> </ul>   |   |
|  | How did the joint project follow up on / apply lessons learned?   |   |
| Factors Affecting<br>Project Performance | What factors have contributed to achieving or not achieving intended outcomes?  | The key factors to be analyzed are: project design, project implementation and adaptive management, partnerships and stakeholder participation and public awareness; country ownership, financial planning and management, PEF supervision and backstopping, monitoring, reporting and evaluation |
| Project design                           | Were the inputs and strategies identified realistic, appropriate and adequate to achieve the results?   | Links to criteria Relevance and Appropriateness and Project Design in TOR   |
|  | <ul> <li>To what extent did the design of the joint project help or hinder achieving its own<br/>goals?</li> </ul>  |   |
|  | <ul> <li>Were the context, problem, needs and priorities well analyzed while designing the<br/>joint project?</li> </ul>  |   |
|  | <ul> <li>Were there clear objectives, theory of change and strategy? How were these used<br/>in performance management and progress reporting</li> </ul>  |   |
|  | How were recommendations from previous evaluations considered in the design?  |   |
|  | <ul> <li>Were there clear baselines indicators and/or benchmark for performance? How were<br/>these used in joint project management, did the joint project apply adaptive<br/>management?</li> </ul> |   |
|  | Was there coherence and complementarity by the joint project to other actors?   |   |
|  | Was there coherence, coordination and complementarity by the joint project with   |   |

| Criterion                     | Questions from TOR <sup>1</sup>   | Comments   |
|-------------------------------|---|--|
|                               | [Additional questions in italics]   |  |
|                               | other UN and Donor funded activities?   |  |
| Project<br>Implementation and | <ul> <li>Are the joint project management arrangements / structure appropriate at the team<br/>level and project board level?</li> </ul>  | Links to criterion Project Management in TOR   |
| Adaptive<br>Management        | <ul> <li>Did the joint project appropriately apply project management principles and regulations?</li> <li>Was there appropriate visibility and acknowledgement of the joint project and donors? Please provide concrete examples and make specific suggestions on how to enhance visibility going forward.</li> <li>How did the joint project deal with issues and risks?</li> </ul> | This section will look at the overall management arrangement as set out in the programme documents, changes through the project period (adaptive management) and overall effectiveness of the management and implementation of the |
|                               | <ul><li>Were the outputs achieved in a timely manner?</li><li>Did the project face any delays?</li></ul>  | project.   |
|                               | <ul> <li>Were the resources utilized in the best way possible?</li> </ul>   |  |
|                               | <ul> <li>How were recommendations from previous evaluations considered in project<br/>implementation?</li> </ul>  |  |
|                               | <ul> <li>What has been the joint project's ability to adapt and evolve based on continuous<br/>lessons learned and the changing development landscape? Please account for<br/>factors both within the UN and external.</li> </ul>   |  |
|                               | <ul> <li>What is the level of contribution of the joint project management arrangements to<br/>ownership of the set objectives, result and outputs?</li> </ul>  |  |
| Partnerships                  | <ul> <li>How has the PEI harnessed existing and new partnerships and how have these<br/>arrangements contributed to the upscaling of PEI objectives?</li> </ul>   |  |
|                               | <ul> <li>Is there scope to further develop and leverage partnerships?</li> </ul>  |  |
| Stakeholder                   | How comprehensive is stakeholder engagement?  |  |
| participation and awareness   | <ul> <li>To what extent has stakeholder involvement and public awareness contributed to the<br/>progress towards achievement of project objectives?</li> </ul>  |  |
|                               | <ul> <li>To what extent has the private sector been engaged in the project and what<br/>opportunities are there for developing this engagement and raising additional private<br/>sector finance?</li> </ul>  |  |
|                               | <ul> <li>Has communication with stakeholders contributed to their awareness of project<br/>outcomes and activities and influenced uptake of project tools and objectives?</li> </ul>  |  |
|                               | <ul> <li>Are key findings and lessons clearly and effectively documented and disseminated<br/>to appropriate parties and to what extend has this led to the replication of PEI's work</li> </ul>  |  |

| Criterion                            | Questions from TOR <sup>1</sup>   | Comments   |
|--------------------------------------|---|--|
|                                      | [Additional questions in italics]   |  |
| Country ownership                    | What evidence is there of country ownership and applications of PEI tools?  |  |
| Financial planning and management    | <ul> <li>Did the resources (time, funding, human resources) justify the costs incurred and<br/>were they sufficient?</li> </ul>   | Review of financial management arrangements (disbursements, control and reporting), budget revisions |
| PEF supervision and backstopping     | <ul> <li>Were the joint project's governance mechanisms functioning efficiently?</li> <li>The Project established joint UNDP-UN Environment regional teams. How successful have they been in supporting countries and leveraging funds through linkages with country programs?</li> </ul> | To consider backstopping activities at the global and regional level                                 |
| Monitoring, Reporting and Evaluation | <ul> <li>To what extent did the joint project's M&amp;E mechanism(s) contribute to meeting joint project results?</li> <li>Were the indicators and targets used appropriate and SMART?</li> </ul>   | To include M&E design, budgeting and funding for M&E activities, and M&E implementation.             |
|                                      | <ul> <li>Do the monitoring tools used provide the necessary information, efficient, cost-effective, participatory?</li> <li>How are broader development and gender aspects being monitored?</li> </ul>  |  |

Notes: 1/ Some of the questions have been re-categorized to match the full set of criteria to be assessed; 2/ There is some overlap between criteria.

# 1.4 Annex 4: Global Progress Towards Results Matrix – Achievement of Outcomes and Outputs Based on Global Results and Resources Framework (RRF) for PEI 2013-2018

| Project Outcomes / Outputs   | Indicator <sup>a</sup>   | Baseline Level 2012<br>/ 2015 revisions  | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))   | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017  |
|--|--|--|--|--|---|
| Outcome 1: P-E approaches and tools for integrated development policies, plans and coordination mechanisms applied. [Output 1 in PD] | Indicator 1: Level of application c of poverty-environment approaches and tools for integrated development policies, plans and coordination mechanisms | [Revised 2015] In 2015: 7 countries at Level 1: Armenia, Burundi, Indonesia, Mongolia, Myanmar, Thailand, Uganda; 10 countries at Level 2: Bangladesh, Botswana, Guatemala, Kenya, Lao PDR, Nepal, Paraguay, Peru, Philippines, Tajikistan; 9 countries at Level 3: Burkina Faso, Dominican Republic, Kyrgyzstan, Malawi, Mali, Mauritania, Mozambique, Tanzania, Uruguay; 2 countries at Level 4: Bhutan, Rwanda (28 countries) | By 2017, 3 countries move up one level from previous year [Revised 2015]  By 2016, at least 2 countries move up one level from baseline (2015) |  | 2017: 7 target countries moved up one level during 2017: Bangladesh, Burkina, Kyrgyzstan, Lao PDR, Myanmar, Philippines, Tajikistan  2016: Bangladesh and Lao PDR moved from level 2 to level 3, Malawi moved from level 3 to 4 and Rwanda from level 4 to 5  |
| Outcome 2: Cross sectoral budget and expenditure processes, and environmental economic accounting                                    | Indicator 2: Increased public sector financial expenditure for poverty-environment results in target countries   | 2  | By 2017, at least 4 countries report increased expenditure   |  | 6 countries report increased expenditure, 3 in 2017: Indonesia, Rwanda, Tanzania AR, 2016 noted that Increased expenditure is unlikely to be achieved in time of economic crisis such as shifts in GDP and revenue collection and in the face of general budget and reductions in official development assistance. However, it would still be for possible for PEI to influence an increase in the proportion of the budget being spent |

| Project Outcomes / Outputs   | Indicator <sup>a</sup>  | Baseline Level 2012<br>/ 2015 revisions   | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))  | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017   |
|--|---|---|---|--|--|
| systems<br>institutionalized<br>[Output 2 in PD]   |   |   |   |  | on inclusive and sustainable environment and natural resource<br>management, although this would not<br>contribute to Outcome Indicator 2  |
| Outcome 3: P-E approaches and experiences documented and shared to inform country, regional and global development programming by the UN and member states | Outcome Indicator 3: Level of integration of pro-poor environmental mainstreaming approach and tools in UN (UNDP, UNEP) and partner strategies and programmes at country, regional and global levels <sup>d</sup>   | Level 2 largely attained  | By 2017, level 3 largely<br>attained, with evidence<br>of progress towards<br>level 4 (AR, 2017)  |  | PEI teams have engaged with a number of United Nations Mainstreaming, Acceleration and Policy Support (MAPS) missions PEI has contributed to development of new United Nations Development Assistance Frameworks (UNDAFs) and Country Programme Documents following agreement of the SDGs, with Dominican Republic, Kyrgyzstan and Mauritania added in 2017 In 2017 PEI contributed to road testing of new training material to strengthen sustainability and resilience in United Nations country programming processes |
| Output 1:  | Indicator: 1.1. Number of policies and development plans that integrate P-E objectives and indicators in target countries  [PD: Number of national policies & subnational/area development plans that integrate P-E objectives and indicators in target countries]. | 4,439 [Revised 2015]  [PD: 21 PEI countries have included P-E objectives and indicators in current 5 year economic development plans] | By 2017, 4,484 policies and plans integrate poverty-environment objectives in target countries [Revised 2015]  [PD: 28 PEI countries include P-E objectives and indicators in current economic development plans] |  | 4,542 national and subnational plans include poverty- environment objectives in 23 countries: Armenia, Bangladesh, Bhutan, Botswana, Burkina Faso, Dominican Republic, Guatemala, Kenya, Kyrgyzstan, Lao PDR, Malawi, Mali, Mauritania, Mongolia, Mozambique, Nepal, Peru, Philippines, Rwanda, Tajikistan, Tanzania, Thailand, Uganda   |
|  | Indicator 1.2 Number of<br>key sectoral policies and<br>plans that integrate P-E<br>objectives and indicators in<br>target countries.   | 81<br>[Revised 2015]<br>[PD: 15 PEI<br>countries include P-<br>E objectives and   | By 2017, 91 sector<br>policies/plans integrate<br>poverty-environment<br>objectives in target<br>countries (AP, 2017)   |  | 112 sector policies/plans integrate poverty- environment objectives in 16 countries: Bangladesh, Bhutan, Burkina Faso, Dominican Republic, Kenya, Lao PDR, Malawi, Mauritania, Mongolia, Mozambique, Nepal, Paraguay, Philippines, Rwanda, Tanzania, Thailand  |

| Project Outcomes / Outputs | Indicator <sup>a</sup>  | Baseline Level 2012<br>/ 2015 revisions   | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))  | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017   |
|----------------------------|---|---|---|--|--|
|                            |   | indicators in at least<br>one sector policy/<br>plan].  | [PD: At least 28 PEI countries integrate P-E objectives & indicators in at least one sector policy/plan]  |  |  |
|                            | Indicator 1.3 Number of countries that integrate P-E indicators in national and sub- national M&E systems.  | 30 systems  Revised 2015  [PD: 13 PEI countries include P-E indicators in national M&E system].                             | By 2017, 34 systems integrate poverty-environment indicators in target countries [Revised 2015]  [PD: At least 25 PEI countries integrate indicators in national M&E systems] |  | 41 monitoring and evaluation systems integrate poverty-<br>environment indicators in 18 countries: Bangladesh, Bhutan, Burkina<br>Faso, Dominican Republic, Guatemala, Kyrgyzstan, Lao PDR, Malawi,<br>Mali, Mauritania, Mozambique, Nepal, Paraguay, Peru, Philippines,<br>Rwanda, Tajikistan, Tanzania   |
|                            | Indicator 1.4: <b>Level</b> of functional Government-led cross-sector coordination mechanisms in target countries (Revised 2015) e  [PD: Number of countries that report functional cross-sector coordination mechanisms] | Revised 2015 19 countries at Level 2 or above  [PD: 15 countries report functional cross-sectoral coordination mechanisms]. | By 2017, at least 5 countries move up one level (AP, 2017)  By 2016 at least 12 Poverty- Environment Initiative countries largely achieve level 3 or above                    |  | 2017 - 4 countries moved up one level: Burkina Faso moved from level 3 to 4; Guatemala, Paraguay and Philippines moved from 2 to 3 (AR, 2017). Therefore, at end of 2017 14 countries at Level 3 or above  2016 - 13 countries at Level 3 or above: Level 3: 10 countries (Bangladesh, Burkina, Lao PDR, Malawi, Mali, Mauritania, Mozambique, Peru, Philippines, Tanzania); Level 4: 2 countries: Kyrgyzstan, Rwanda); Level 5: 1 (Bhutan) – (AR, 2016) |
|                            |   |   | [PD: 28 countries report<br>functional cross-<br>sectoral coordination<br>mechanisms]   |  |  |
| Output indicators          | Output Indicator 2.1:  Number of national budgeting and expenditure processes that integrate poverty- environment   | 58<br>Revised 2015<br>[PD: 14 PEI<br>countries  | By 2017, 80 budgeting<br>and expenditure<br>frameworks in 14<br>countries (AR, 2017)  |  | <b>76 budgeting and expenditure processes in 15 countries:</b> Armenia, Bangladesh, Bhutan, Burkina Faso, Indonesia, Kyrgyzstan, Malawi, Mali, Mauritania, Mozambique, Nepal, Philippines, Rwanda, Tanzania, Uganda  |

| Project Outcomes / Outputs | Indicator <sup>a</sup>   | Baseline Level 2012<br>/ 2015 revisions   | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))  | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017  |
|----------------------------|--|---|---|--|---|
|                            | objectives in target countries   | incorporate P-E<br>objectives in<br>budgeting &<br>expenditure<br>frameworks]       | [PD: 28 PEI countries incorporate P-E objectives in budgeting & expenditure frameworks]   |  |   |
|                            | Output Indicator 2.2:<br>Number of countries<br>introducing "beyond gross<br>domestic product (GDP)'<br>measurements   | 1 [Revised, 2015]  [PD: 0 countries integrate wealth values in national accounting] | By 2017, at least 3 PEI countries have introduced 'beyond GDP' measurements (AR, 2017)  [PD: At least 6 countries integrate wealth values in national accounting] |  | 3 countries with 'beyond GDP' measurements in 2017: Bhutan, Dominican Republic, Tajikistan  Kyrgyzstan in 2016  |
|                            | Output Indicator 2.3: Number of (sub) national guidelines and tools to manage private sector investment decisions that integrate poverty-environment objectives  [PD: rate of application of distributional impact analysis (e.g. Multidimensional Poverty Index) from P-E mainstreaming.] | 12<br>[Set in 2015]   | By 2017, at least 17 guidelines and tools to manage private sector investment decisions in 3 countries  [Set in 2015]   |  | 21 guidelines and tools in 3 countries: Lao PDR, Myanmar, Philippines  [In 2015, the PEI M&E Group suggested revision of Output Indicator 2.3 to introduce an ambitious measurement of government tools that promote transparency and accountability in managing private sector investment] |
| Output indicators          | Output Indicator 3.1: Number of UNDAFs and Country Programme Documents (CPDs) that are poverty-environment mainstreamed  | 32 – Revision 2  20- Revised 2015  [PD: PEI contributed to the formulation          | By 2017, PEI<br>contributes to the<br>formulation of 47<br>UNDAFs and Country<br>Programme Documents<br>(Revision 2)  |  | 48 UNDAFs and Country Programme Documents, with 3 new UNDAFs in Dominican Republic, Kyrgyzstan and Mauritania and 1 Country Programme Document in Mauritania in 2017  |

| Project Outcomes / Outputs | Indicator <sup>a</sup>  | Baseline Level 2012<br>/ 2015 revisions                              | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))  | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017                        |
|----------------------------|---|--|---|--|---|
|                            |   | of 16 UNDAFs and<br>14 CPDs]   | Revision 1: 30 PEI contributes to the formulation of 28 UNDAFs and 26 CPDs (PD)   |  |   |
|                            | Output Indicator 3.2: Number of UN strategic documents such as United Nations Development Group guidelines and post- 2015 debate that reflect Poverty-Environment Initiative inputs | Revised 2015  [PD: UNDG guidelines for environmental sustainability] | By 2017, PEI inputs are reflected in 40 United Nations submissions on implementation of post-2015 development decisions Revised 2015  [PD: P-E mainstreaming embedded in UN strategy for supporting implementation of the Post-2015 goals and SDGs] |  | 78 strategic documents, exceeding the target of 40 by 195%  |
|                            | Output Indicator 3.3: Number of Poverty- Environment Initiative knowledge products shared with regional and global networks   | Revised 2015  [PD: 14 products shared]                               | Revision 2: 108  By 2017, 265 products are shared and feedback from users is positive  Revised 2015  [PD: 40 products shared]   |  | 433 knowledge products, exceeding the target by nearly 150% |
|                            | Output Indicator 3.4: Number of references to poverty-environment approaches and tools in UN and other  | 23 – Revision 2<br>78 – Revision 1                                   | 265 – Revision 2  By 2017, 108 references and citations   |  | 375 references and citations, exceeding the target by 347%  |

| Project Outcomes / Outputs | Indicator <sup>a</sup>                 | Baseline Level 2012<br>/ 2015 revisions | Target<br>(Sources: Revision 1 &<br>2, AR, 2017, PD (Year<br>5))      | Level at<br>end of<br>project <sup>b</sup> | Comments / progress based on AR 2017 |
|----------------------------|--|---|---|--|--------------------------------------|
|                            | development agency<br>strategies/plans | [No baseline set in PD]                 | are documented<br>Revision 1 , 2015)<br>[PD: 50% against<br>baseline] |  |                                      |

**Notes:** a/ From Programme Document;

b/ color coded -

Achieved On Track Not on Track

## c/ Outcome indicator 1 Level Description of Result Level:

- Evidence of P-E objectives and indicators is technically weak and policy-makers have little capacity to make use of it. Little to no evidence of application of PE tools. Little to no intersectoral coordination. Rare participation in Ministry of P/F planning and budgeting frameworks. Scarce integration of SDGs and SD in NDP, sector plans, subnational plans and monitoring systems.
- Evidence of P-E objectives and indicators is technically acceptable and policy-makers have developed capacities to make use of them. P-E tools referred to, but not applied. Ad-hoc intersectoral coordination. Ad-hoc participation in Ministry of P/F planning and budgeting frameworks. SDGs and SD referred to in NDP, sector plans, subnational plans and monitoring systems
- Ample evidence of PE objectives and indicators but policy-makers have limited demand. P-E tools partially applied. Intersectoral coordination institutionalized. Regular participation of Ministry of P/F planning and budgeting frameworks. SDGs and SD integrated into NDP, sector plans, subnational plans and monitoring systems
- Government-led cross-sectoral coordination mechanisms use evidence to integrate P-E objectives in national/subnational/ sectoral plans and policies and related monitoring systems. P-E tools applied. Intersectoral coordination institutionalized and functional. Integral participation in Ministry of P/F planning and budgeting frameworks. SDGs and SD integrated into NDP, sector plans, subnational plans and monitoring systems and linked to budgeting systems
- 5. Government-led cross-sector coordination mechanisms actively promote the integration of PE specific targets across key ministries and related plans at national and sub-national level, and sector plans resulting in cross-sectorial policy coherence. PE tools applied and implemented. National and subnational institutions systematically integrate and monitor P-E objectives and targets in their respective policies and planning frameworks (PE reflected throughout long & short term planning documents e.g. vision documents, NDPs, national sub-national plans) and their implementation and monitoring frameworks.

## d/ Outcome indicator 3 Description of Result Level (ascending where the highest level reflects intended result):

- Poverty-Environment Initiative (PEI) remains a joint UNDP-UNEP programme, with 2013 level of core and extra budgetary staff maintained. P-E mainstreaming tools (economic, social and ecological assessments, cross-sectoral planning and monitoring and natural wealth accounting) are not acknowledged or reflected in UNDP or UNEP short or long term national/subnational/sectoral policies or plans. UNDG Environmental Sustainability Guideline for UNDAFs has not been updated and UNDAF integration limited to PEI pilot countries. Level of UN and regional institutional collaboration on P-E mainstreaming low.
- PEI remains a joint UNDP-UNEP programme, with 2013 level of core and extra-budgetary staff maintained. P-E mainstreaming approaches and tools piloted in one or more other country/regional level UNDP and UNEP programmes in PEI and non-PEI countries. UNDP and UNEP pilot P-E mainstreaming in UNDAFs in one or more non-

PEI pilot countries. Piloting of P-E mainstreaming with at least two other UN organisations (e.g. UNCDF, FAO) in one or more PEI countries. South-south exchanges with one or more regional institutions on experiences and lessons learned.

- PEI remains a joint UNDP-UNEP programme with core staff in regional and HQ offices. P-E mainstreaming approaches and tools are adopted within revised UNDG Environmental Sustainability guidelines. P-E tools are adopted within UNDAFs in PEI and non-PEI countries. UNDP and UNEP programmes incorporate P-E mainstreaming tools in at least two thematic programmes. P-E mainstreaming tools adopted by at least two other UN agencies in PEI and non-PEI countries. Regional institutions pilot P-E mainstreaming in collaboration with PEI in PEI countries.
- P-E mainstreaming integrated into job descriptions of core staff in regional and HQ offices. UNDAFs in PEI and non-PEI countries apply P-E mainstreaming as part of application of UNDG Environmental Sustainability guidelines. P-E mainstreaming tools adopted and applied by at least two other UN agencies. Regional institutions pilot P-E mainstreaming within their respective programmes.
- P-E mainstreaming approaches and tools are absorbed in UNDP and UNEP strategies, plans, and programmes. P-E mainstreaming tools are applied as part of UNDAF planning, implementation and monitoring operations at country level and with support from regional offices. P-E mainstreaming objectives and tasks are included in job descriptions of UN RCs, country office directors, regional programme staff, and at respective headquarters. Regional institutions (e.g. NGOs, thank-tanks, regional development institutions) adopt P-E mainstreaming approaches and tools as part of their programmes.

## e/ Output indicator 1.4 Description of Result Level

Level Description of Result Level

- Government led cross-sectoral coordination mechanisms are non-functioning (i.e. committees exist but not fully functioning). Environment/NRM sector committee has limited representation of other key sectors (e.g. water, lands, etc.). Donor supported programmes are not fully integrated in Government-led sector planning. Environment sector policies/plans do not have strong links with national development planning and budget processes.
- Government led cross-sectoral coordination mechanism are partially functioning with participation of key sectors. Cross-sectoral coordination mechanisms are partially linked to national development planning, monitoring, budgeting and reporting processes.
- 3 Government led cross-sectoral coordination mechanisms are largely functioning with participation of key sectors. Cross-sectoral coordination mechanisms are largely linked to national development planning, monitoring, budgeting and reporting processes.
- Government-led cross-sectoral coordination adopt and implement cros-sector approaches for pro-poor environmental sustainability. PE issues integrated into ENR and other key social sectors (health, gender) and/or objectives of national/subnational/sectoral plans and policies and national monitoring systems. Sectors are supported by the central planning agency to integrate PE in their respective planning and monitoring frameworks. Cross agency mechanisms established and operational for PE mainstreaming.
- Government-led cross-sector coordination (e.g. implementation of sector plans leads to mainstreaming PE across key ministries at national and sub-national level (e.g. ministries of planning, finance, local government, environment, social/gender), sectoral (e.g. agriculture, forestry, energy, land, water, etc.) and subnational planners are able to systematically integrate and monitor PE issues in their respective policies and planning frameworks (PE reflected throughout long & short term planning documents e.g. vision documents, PRSPs, national sub-national plans and their implementation and monitoring frameworks).

1.5 Annex 5: Summary of Progress against outputs at Regional Level

| Output  |  | Amca   | ECIS     | LAC      |
|---|--|--|----------|----------|
| 1. P-E approaches   | Achieved   |  | Achieved | Achieved |
| and tools for   | 7.0  |  | 7.6      | 7.0      |
| integrated development policies, plans and coordination mechanisms applied. | Asia Pacific  Achieved  PEI in Asia Pacific has been successful in supporting the integration of pro-poor environmental and climate change issues into core development planning processes, including for example five-year development plans, medium term strategies and annual plans. PEI has also been supporting decentralized planning. This is in line with the growing recognition of the central role local governments and local communities can play in implementing actions for poverty reduction and environment sustainability in the region. PEI's has also focused its efforts on sectorial planning, in particular the mining sector.  PEI supported governments with gathering and utilising the right information for monitoring progress made in terms of the particular PEI supported development policy. Functional Government-led cross-sector coordination mechanisms were also established to ensure government ownership and sustainability of results. | Africa Over-Achieved  3 regional and global policy decisions include P-E linkages following PEI inputs these include UN Resolution A/HRC/RES/38/4 on Human Rights and Climate Change, an AMCEN Decision (2018) and declaration (2017) (no target)  Poverty environment objectives/indicators were in the PEI Africa region integrated into:  8 national development plans across all 7 countries – original target 6 81 sub-national plans across 5 countries (Burkina, Malawi, Mali, Rwanda and Tanzania) – original target 33 66 sector policies and plans across 5 countries (Malawi, Mozambique, Mauritania, Tanzania and Rwanda) – original target 14 monitoring and evaluation frameworks across six countries (Malawi, Mali, Mauritania, Mozambique, Tanzania and Uganda) – original target 4 |          |          |

<sup>&</sup>lt;sup>1</sup> Socio-Economic Development Programme of Dushanbe City 2018-2025

| Output  | Asia Pacific   | Africa  | ECIS  | LAC   |
|---|--|---|---|---|
|   |  |   | Tajikistan (2): The Law on Environmental Impact Assessment ("On amendments to the Constitutional Law of the Republic of Tajikistan") and the Law on Water User Associations.  At national level, high-level multistakeholder coordination platform is now functional in Kyrgyzstan through SDG Coordination Committee established under the Prime-Minister's office.  |   |
|   |  |   | At sub-national level, multi-<br>stakeholder coordination platform is<br>established in Tajikistan through district<br>working groups composed of local<br>authorities, NGOs, community based<br>organisations and representatives from<br>the private sector.  |   |
| 2. Cross-sectoral budget, expenditure frameworks, coordination mechanisms, and environment-economic accounting systems institutionalized. | PEI successfully integrated P-E considerations into (sub)national budgeting and expenditure processes, including providing for example sound policy recommendations for implementing climate change mitigation budget tagging at the (sub)national level, and installing a data management tool on revenues generated from natural resource investments. PEI in Asia Pacific has also supported Governments to develop a wide range of guidelines and tools to better manage private sector investment decisions that take into account P-E objectives, such as investment promotion, screening and monitoring tools as well as supporting national budgeting and expenditure processes  The only indicator under Output 2 in which the Asia-Pacific region faced obstacles in making significant progress was: getting PEI countries to use natural | Over-achieved  2 countries (Mozambique and Mali) have increased their budgets (but not expenditure) on environment and climate in the reporting period  33 national budgeting and expenditure processes include poverty-environment objectives across 5 PEI Africa countries (Mali, Malawi, Mauritania, Mozambique, Rwanda) and Kenya following technical assistance. Original target: 25  2 countries pilot multi-dimensional poverty measures with ENR sustainability components  4 regional investment guides targeting the private sector in Tanzania highlight the need for investments in poverty-environment actions. Original target: 0 | Partial Achievement  4 national budgeting and expenditure process integrate P-E objectives and targets Armenia (1): State mid-term expenditures framework of the Republic of Armenia for 2014-2016; Kyrgyzstan (2): Public Private Environmental Expenditure Review (PPEER) focusing on climate change and biodiversity; Ministry of Finance Budget Policy and Practices Guidelines; and Tajikistan (1): Public Environmental Expenditure Review (PEER) focusing on the water sector.  Kyrgyzstan and Tajikistan introduced "beyond GDP" measurements in water and forestry sectors through System of Environment-Economic Accounts to understand interactions between the economy and environment. | Partial Achievement  Not one country integrated P-E objectives in budgeting and expenditure processes (the target was 1)  2 countries use natural wealth values and other beyond GDP measurements: Guatemala and the Dominican Republic |

| Output  | Asia Pacific   | Africa  | ECIS  | LAC   |
|---|--|---|---|---|
|   | wealth values and other 'beyond GDP' measurements.   |   | Kyrgyzstan: System of Environment-Economic Account - Experimental Ecosystem Accounting (SEEA-EEA) was introduced to the National Statistics Committee to assess the forestry sector and its relation with economic and human activities. Practical application of a new form of statistical reporting for the forestry sector will be functional after PEI's closure. State Agency for Environment Protection and Forestry is capacitated to act as a responsible institution to follow-up on this work.  Tajikistan: System of Environment-Economic Account - Central Framework (SEEA-CF) was introduced as a new form of accounting structure to assess water and forestry sectors. SEEA-CF is currently functional within the system of statistics and allowing the integration of water and forestry data with monetary data. TAJSTAT is the responsible agency for accounting natural resources and further replication of SEEA-CF in other sectors.  Valuation of Ecosystems Services (VES) has been piloted for the Karakol natural park in Kyrgyzstan |   |
| 3. P-E approaches and experiences are documented and shared to inform country, regional and global development programming by the UN and Member | Achieved  PEI Asia Pacific has throughout project duration developed and published knowledge products at the regional level, most notably a compendium of mainstreaming tools and approaches for SDGs documenting in detail all PEI supported tools and approaches | Achieved  5 regional publications and a YouTube video series consisting of 24 country videos produced and disseminated.  PEI Africa have influenced the work of actors such as UNCTs, the African Development Bank, the World Bank, | Achieved  5 UNDAFs and 3 CPDs integrate P-E objectives. Armenia: 1 UNDAF (2016-2020); Kyrgyzstan: 2 UNDAFs (2012-2017 & 2018-2022); 1 CPD (2012-2017); Tajikistan: 2 UNDAFs (2010-2015 & 2016-2020); 2  | Achieved  UNDAF or CPD P-E mainstreamed: Colombia, Dominican Republic and Panama  UN strategic documents produced: 4 knowledge products shared with |
| States.   | throughout.  PEI Asia Pacific also consistently supported PEI countries with communications activities and products such as technical briefs, video, flyers and online content.  | UN Environment, UNDP, IUCN, WCMC, UN Women, GIZ and the EU  | CPDs (2010-2015 & 2016-2020).  The Regional Programme 2014-2017 for ECIS integrates P-E mainstreaming, human rights based approach and gender through poverty and environment nexus.  | regional and global networks: increased number of references to P-E approaches  |

| Output | Asia Pacific  | Africa | ECIS   | LAC |
|--------|---|--------|--|-----|
|        | PEI in the region also realised the importance of capacity development and specifically south-south knowledge exchanges and held numerous such events for PEI member countries. |        | 67 PEI knowledge products (Armenia (1); Kyrgyzstan (40); Tajikistan (21); and the Regional component (4)) in total shared with regional and global networks. Links are provided in the PEI ECIS datasheet annexed. |     |
|        |   |        | <b>15 south-south exchanges</b> involving PEI and also non-PEI countries and Triangular cooperation:   |     |
|        |   |        | Various collaboration with partner agencies, events, trainings, conferences, study tours in Kyrgyzstan (6), Tajikistan (7), and the Regional component (2).  |     |

1.6 Annex 6: Key lessons by region

**Asia Pacific** 

It is useful to develop a coordinated central framework for mainstreaming that can be applied to national. sectoral and local level plans. A central framework helps define the scope of integration on poverty-environmentclimate change and other cross-cutting issues such as gender equality and disaster risk reduction. In Bhutan, Nepal. Mongolia such a framework helped create a conducive policy environment, and government institutions demonstrate better understanding and compliance with mainstreaming goals. It is a challenge to applying this for sectoral budgets and to link integrated plans and budgets. Capacity to undertake the required assessments to establish a central framework and political will to enforce this as a national policy is essential in ensuring its success.

It is essential to invest in local governments and local actors to ensure that policies mainstream at the central level bear cascading benefits at the provincial and local level. This is critical. aiven the evolvina decentralization taking place in South and Southeast Asia. To incentivise local governments mainstreaming objectives should be tied to M&E systems or performance assessment systems at the local government level. Annual Performance Agreements, Minimum Conditions Performance Measures Systems and links to performance-based grant allocation systems need to be strengthened to ensure that integrated

**Economic evidence** of the development benefits remains the most powerful tool in convincing decision- makers to address P-E challenges, when strategically disseminated. The more detailed the evidence required, the more sophisticated the analysis required.

**Africa** 

Horizontal and Vertical Coherence: The inclusion of P-E objectives in national development plans does automatically lead to their integration in sector and sub-national plans. If the national poverty-environment objective is not transformed into concrete actions through sector and district plans, change is not realised. Realistically, substantive engagement in many sectors, districts or provinces is beyond PEI staff and financial resources. Engaging in a small number of pilot districts and sectors and seeking to integrate P-E objectives more broadly through the inclusion of P-E elements in central Government guidelines to all districts, provinces and sectors has proved to be the most realistic option.

Securing increased expenditure / implementation of poverty-environment actions. If a budget process and corresponding allocations are not influenced, changes in expenditure are very unlikely to occur. However, the integration of P-E objectives in budget processes does not necessarily lead to increases in budget allocations, as budget allocations do not always result in increased expenditure

Guaranteed budgetary or off-budget financing is needed to ensure the implementation of strategic documents. Expenditures are not fully aligned with environmental priorities for different sectors contained in strategic documents committed at national levels.

**ECIS** 

PEI's role in influencing sectorial level strategies was weak and not sufficient. In order to comply with the national level commitments, sectorial strategies should include obligations and sectors should be obliged to include the measures indicated in their programme budgets approved in strategies.

Gender. This is a lack of political will and recognition of gender - environment links among policy and decision-makers at all levels. Greater political effort is needed to increase the number of women in senior environmental management, political and decision-making roles in public and private institutions; women are currently have a low level of representation.

Mainstreaming is a long-term process. P-E-G integration and application cannot happen quickly, it is a multi-layered and multi-party process requiring a good amount of time from all levels.

**Data challenges**. The lack of reliable local statistics and **disaggregated data** at the local level makes it difficult to monitor progress and prioritize resources.

## **Dominican Republic**

Social protection policies reduce the risk of short term poverty resulting from climate shocks having a long term impact.

LAC

Without mechanisms focussed on postdisaster investment, there is a risk of accentuating and accentuating inequality. Post-disaster processes must seek both recovery and equity.

Inter-institutional strategic alliances are key for the development of a vulnerability index to improve the targeting of public policies.

### Peru

To ensure progress in the empowerment of women within the sector, harmonization and coherence of language focused on inclusion in both national and sub-national policies is needed.

To achieve the recognition of recyclers as local development stakeholders at the national level, social indicators need to be incorporated into management, planning and monitoring systems and tools.

Public recognition and environmental certifications are good practices in ensuring private sector involvement in municipal recycling programs.

## **Paraguay**

A technical cooperation framework agreement with defined joint knowledge

**Asia Pacific Africa ECIS** LAC plans translate into budgets and and implementation of poverty-Support of senior Ministries is critical. management processes, coordination environment actions. Many of the factors spaces and concrete actions to be carried activities. It is critical to identify an institution at the that influence the final spending level of a ministry to lead with out is needed for achieving efficient inter-**Policy coherence** is essential to create a decisions are political and lies outside the environmental coordination and policy institutional coordination. conducive context for ensuring that PE control of a project like PEI. It is crucial development to prevent gaps in mainstreaming is effective. implementation. The State Agency for that ministries of finance and Continuous training on P-E approaches Contradictions between sectoral laws Environment Protection and Forestry in environment work together to promote addressed at ministerial technical staff and acts and regulations at the local level Kyrgyzstan does not have the status of increased budgeting for environmental has resulted in the development of pose significant barriers. Lessons from ministry, affecting its ability to influence sustainability within existing government improved procedures and tools, such as government decisions relevant to Myanmar, Mongolia, Lao PDR, and frameworks and systems, rather than the new Quality of Life Index, in which Bangladesh demonstrate that analysis environment, biodiversity and climate creating parallel processes. For example. environmental issues have become more of synergies and conflicts between through strengthening the inclusion of change adaptation. relevant environmental laws and other sectoral sustainability objectives in annual budget Additional support is needed at the laws such as investment laws, mining call circulars/budget guidelines and in Guatemala sub-national level: In the area of District laws, and infrastructure laws are crucial sector budget checklists. The Training of municipal stakeholders in P-E Development Plan (DDP) related work, in raising awareness and shifting policy development of simple and practical approaches, during the elaboration of inconsistency between indicators and directions to prioritize povertytools, e.g. budget checklists and budget "municipal development and land use monitoring systems at national and local environment linkages. Some tools used codes, along with relevant and timely plans" ensures the incorporation of nonlevels leads to insufficient links between by PEI are institutional assessments and technical support over a sustained period traditional elements, such as ethnicity district-level planning and budgeting. To review of regulations. is key to integrating pro-poor and gender, threats and vulnerabilities to replicate the results of the DDP work in environmental sustainability risk management, climate change, into other regions, training is required for Investing in Data: Some of PEI's big budgeting frameworks. economic land development and the relevant M&E specialists at district wins have been centered on building valuation of ecosystem services. These and regional levels, but there are capacity to gather, analyse and utilize processes have strengthened local More needs to be done to ensure that infrastructure challenges, including data for economic and environmental capacity and raised awareness of the environment and climate related limited Internet access and low-grade decision making. Two stand-out

examples are Lao PDR's national concession database and Philippines' Environmental and Natural Resources Data Management Tool.

Capacity development at all levels for multi-sectoral bodies: With over 33 cross-sectoral coordination aroups established at different levels across the region, PEI's value added in connecting institutions and promoting collaboration and cooperation is evident. To remain effective these multi-sectoral bodies need a continued focus on is mandate and capacity. Mandate can be secured by

interventions are prioritized during budget shortfalls and that sufficient resources are directed towards implementation. Periodic public reviews of environment and climate change expenditure are potentially powerful tools - improving the evidence and levels of awareness on what public funds are used for and how effective and efficient (and sometimes how equitable) the results are. However, periodic reviews are time consuming and costly and generally not institutionalised, therefore a high priority should be placed on reforming budget tracking through the introduction of environment and climate

office equipment as well as adequate human and financial resources. For the successful implementation of the Law, it is necessary to establish an appropriate normative and legal basis through by-laws. Normative and legal documents, such as management orders/resolutions. board resolutions. methodological arrangements instructions, implementation rules have to be elaborated and applied to enable regulative mechanisms to function.

The synergies of the PEI with on-going and planned activities across different benefits of sustainably managing and conserving natural resources.

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| political ownership at the highest levels, such as <b>Bhutan's Mainstreaming</b> Reference Group advocated by the Prime Minister's Office and the Joint monitoring task force in <b>Lao PDR</b> led by Ministries of Planning and Investment and, Natural Resources and Environment. However, capacity constraints still limit implementation of integrated plans and budgets. The voluntary nature of positions on such cross-sectoral coordinating bodies can be perceived as additional work and burden on time and resources. Therefore, it is recommended that there be some institutional incentive for managing cross sectoral coordination groups, either by way of learning opportunities or within the government. <b>Engaging tertiary education</b> institutions and international institutions of expertise to build capacity through south-south learning exchanges can also be beneficial to meet capacity demands. Promoting a training of trainers approach or a "master trainers" approach can be useful in helping organizations build capacity among themselves. This can also act as a risk mitigation plan in the case of high staff turnover that has been observed in the last two years in PEI Asia Pacific. | change budget codes. Climate and environment budget codes can help improve tracking and justify the need for higher investments. However, greater use of climate change budge codes requires substantial capacity-building of the staff responsible for budget preparation as well as sector environment units/focal points so that they can identify and correctly assign environmental and climate budget codes.  Measuring impact of PEI's work on poverty reduction and environmental outcomes. There are currently no clear methodologies or attempts for establishing the link between increased public environment and climate expenditure and reduced poverty, enhanced climate resilience and improved environmental outcomes. More thinking needs to go to how to analyse the links between increased public environment and climate expenditure and reduced poverty, enhanced climate resilience and improved environmental outcomes. The work that PEI Africa is doing with regards to including environmental aspects into multidimensional poverty indices may be one step in the right direction. More time and money needs to be invested in understanding the details of how PEI has catalyzed actions that have an impact on poverty and environment outcomes on the ground to verify and or modify the project theory of change.  Fully mainstreaming poverty aspects. While the PEI Africa programme has | sectors at the local, national and regional levels should be highlighted.  Continued capacity building and communication outreach work needs to be an integral part of the PEI implementation to address the low awareness of key stakeholders on sustainable development and to strengthen the capacity of the government on poverty reduction and environment protection. Targeted communication and outreach work is necessary to build knowledge P-E issues related to the respective ministries and agencies.  More "PEI Champions" are needed at national policy level and regional level. In Kyrgyzstan, PEI has benefitted from the support of Minister of Economy but there is a need to expand such support. |      |

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|              | been very successful on the 'E' side of P-                          |      |     |
|              | E mainstream and has demonstrated                                   |      |     |
|              | how improved ENR sustainability can                                 |      |     |
|              | reduce poverty in both income and multi-                            |      |     |
|              | dimensional terms, more efforts are                                 |      |     |
|              | needed to strengthen the focus on the 'P'                           |      |     |
|              | side of P-E mainstreaming, not least in                             |      |     |
|              | the context of support for the                                      |      |     |
|              | implementation of the SDGs. Increased                               |      |     |
|              | efforts are needed to support the application of ENR linked poverty |      |     |
|              | assessment and poverty reduction                                    |      |     |
|              | targeting in the design of plans, policy,                           |      |     |
|              | strategies, programmes and projects. A                              |      |     |
|              | pre-condition for this is support for the                           |      |     |
|              | systematic application of ENR-poverty                               |      |     |
|              | related data collection.  |      |     |
|              |   |      |     |
|              | Engagement with Private Sector. The                                 |      |     |
|              | private sector did not receive sufficient                           |      |     |
|              | focus by PEI Africa. To influence the                               |      |     |
|              | private sector in the African context                               |      |     |
|              | requires an approach that recognizes the                            |      |     |
|              | political-economy and governance                                    |      |     |
|              | realities. A strategy on how to influence                           |      |     |
|              | the private sector needs to be part of the                          |      |     |
|              | implementation strategy from the beginning. From a P-E perspective, |      |     |
|              | influencing private on-farm investment to                           |      |     |
|              | implement poverty-environment actions                               |      |     |
|              | should be the priority, with a focus on                             |      |     |
|              | fisheries and forestry also to be                                   |      |     |
|              | considered. To influence private sector                             |      |     |
|              | investments, PEI should focus on ENR                                |      |     |
|              | relevant higher-level policies, regulations                         |      |     |
|              | and other incentive mechanisms such as                              |      |     |
|              | environmental fiscal reform to set in place                         |      |     |
|              | frameworks that encourage the private                               |      |     |
|              | sector to invest in activities consistent                           |      |     |
|              | with the implementation of P-E actions.                             |      |     |

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|              | Opportunities to influence investments in specific sectors created by government initiatives to improve investment standards should be seized with a view to include P-E criteria. PEI could also focus on supporting governments to distribute ENR revenues in a manner that helps reduce poverty and improve environmental sustainability.   |      |     |
|              | National elections and changes in government can have a considerable impact on the implementation speed of a UN project in the year of and following the elections due to consequent government restructuring. However, more importantly changing political priority and reformed institutions can also have a negative impact on previously achieved poverty-environment mainstreaming achievements. In 2014-2018 PEI Africa experienced such challenges particularly in Mozambique, Burkina Faso, Mauritania and Mali. |      |     |

Source: PEI Final Progress Reports for Asia-Pacific, Africa, ECIS and LAC

| Annex 7: Recommendations at regional level, PEI Final Regional Progress Reports   |  |  |   |  |  |
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| PEI is often too focused on mainstreaming at national and subnational levels. Therefore, increase emphasis on mainstreaming in sectors (not just the mining sector), and identification of opportunities and approaches to help materialize P-E mainstreaming benefits in sectors.  Further clarify the difference between "mainstreaming environmental sustainability" vs "P-E mainstreaming" as countries often used the term interchangeably for the same thing.  Do not neglect the environment sector and help improve coordination with other sectors. As an example, the 2017 SMPD study in the Philippines only looked at social reforms and what contributions the extractive sectors made to the local communities e.g. buses donated, whilst losing sight of the equally important rehabilitation of the environment.  Quality of outputs: assess extent to which P-E mainstreaming has been achieved in national/sub-national planning policies, instruments. The RRF tries to capture this through Outcome/Output indicator 1, however this is merely a numerical number or level change, and does not provide a clear picture on the extent of the achievement e.g. what were the obstacles that needed to be overcome before proceeding with a | PEI Approach  When designing poverty-environment mainstreaming projects consider the broader institutional context, including horizontal and vertical policy coherence gaps and budget and expenditure framework gaps, to better target interventions with the possibility of generating change.  Continue to promote the application of practical tools for poverty-environment mainstreaming within existing budget, expenditure and planning frameworks and encourage strengthened collaboration between ministries of environment and finance. Given the critical importance of economic analysis to persuade relevant decision-makers to include and implement P-E objectives, provide increased capacity building support at country level on P-E relevant economic tools.  Provide technical support for the introduction and application of environment and climate budget codes to help improve tracking and justify the need for higher investments.  Explore methodologies and options to analyze the links between increased public environment and climate expenditure and reduced poverty, enhanced climate resilience and improved environmental outcomes. In | Environmental agencies should develop and include relevant environmental sustainability measures, indicators and results in their programme budgets. Data related to all three dimensions of sustainable development needs to be collected and analysed in a disaggregated manner, including gender disaggregation. Environmental indicators are needed to monitor environmental trends, with the information being used for management, planning and budgeting decisions.  Local governments are an important environmental management actor, especially in the areas of waste and water management. However, given the experience from PEERs, funds allocated to local governments for these areas and other needs are generally very low. Therefore, national governments should support decentralization efforts and delegate to local governments developing and implementing environmental protection measures as per existing legislations. The delegation of powers should be accompanied by relevant budgets, including target transfers from national to local budgets. Ministry of Finances should be an integral part of this process to ensure that funds | Projects framed in a period of four years often go through changes in government, whether it's changes at the ministerial level, or at the executive level, and as a result it is necessary to initiate advocacy work with these new counterparts as these changes happen, as well as include more flexibility in the project document for adjustments to be made once priorities shift.  Personnel turnover (in agencies and focal points) can lead to possible project implementation delays, as advocacy needs to be done for new personnel and capacities need to be built in order to properly engage in the P-E approach the project has mainstreamed. A way in which the project has dealt with this with positive results has been the elaboration of brochures and guides which contain essential guidelines and information about the most elemental and important elements of activities and approaches within the projects.  With regards to financial management of the programme, there are difficulties that are born from the lack of autonomy in budget management on the part of the RT and COs, which has generated problems that range from implementation times to delays in results. The RT has alleviated these issues by communicating the financial situation with the COs in a constant basis, and ensuring activities are prioritized in order to use allocated budget in a more efficient way, foreseeing |  |  |

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| local development plan and where these tackled   | this context, priorities support for increasing the application of ENR relevant poverty assessment and poverty   | allocated for the environment are used for strategic key priorities.   | any possible budget complications. |
| Develop portfolios for analysis, learning & experience exchange. PEI invested large amounts in developing the Mining Decision Making Financial Model used in Lao PDR and Myanmar for the Department of Mines, but often the skills of government officials to operate the tool were lacking. When PEI built capacity through training, often the government official will find another job with the newly acquired skills (such as excel). | Promote the poverty-environment mainstreaming model practiced by PEI within UN Environment and UNDP as a model for SDG implementation support to countries.  Any future technical advisory support from PEA should to the extent possible seek to be part of larger UN Environment, UNDP or other partner support to | The System of Environmental-Economic Accounting is an important tool to support 'green' aspects of SDGs monitoring, however, raising awareness outside the statistical community is an important step in building cooperation across government and non-governmental agencies, and between decision-makers and experts.  The private sector is an important player |                                    |
| complex, non-linear and long-term avoid premature withdrawal from country programs.  | countries to ensure impact and ability to deliver  Project Management  | both in terms of current impacts and expenditure and in finding positive solutions. To strengthen development  |                                    |
| Do not engage in small pilot experiences, partner with other programs that have systems in place to do this effectively. This will also ensure sustainability of project outcomes.   | To improve the effectiveness of PEI/PEA implementation, restore the implementation model from the previous PEI phases but with delegated budget  | outcomes of government needs to facilitate mechanisms to stimulate private investment in environmental sustainability. However, economic instruments and incentives for  |                                    |
| PEI PROGRAM DESIGN, MONITORING & REPORTING Each country should have a robust Theory of Change (ToC) with in depth accompanying narrative. These ToCs should also be standardised and feed in to a more generic regional TOC.   | Project Staffing. Include sufficient level of budget for staff and technical consultants in donor proposals and project designs at country and regional level. Staff should be embedded in the lead government ministry – which should   | participating in environmental activities (i.e. environmental protection, biodiversity conservation, climate change adaptation, etc.) are missing.  Sustainability requires the support of   |                                    |
| Monitoring should assess whether the hypothesis underlying the project design remain valid and outcomes are being achieved.  Aggregation of outputs at the global level is useful for accountability purposes but  | be the ministry of planning/finance. Understand and act swiftly on issues of staff underperformance. Substantive back-up from regional level is necessary, both moral and technical support on specialist areas (e.g. gender, poverty) plus in terms of results based reporting                                      | stakeholders complementary from policy, implementation and resources domains. UNDP through the on-going and further interventions should proactively lobby and look for more P-E champions among political and society leaders by finding and utilizing common interests.  |                                    |

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| is inadequate to assess P-E mainstreaming progress. Also, PEI lacked clear and attainable baseline targets both at global and regional level, which in turn complicated reporting to donors and oversight.  Reporting should communicate P-E mainstreaming progress/challenges in the countries (national, regional, global), and should not lose sight of donor requirements. Some donors focus more on specific PEI elements as opposed to the broader aims and objectives.  Regional strategies should include specific outcomes & outputs with respect to inception phase for new countries, technical assistance, knowledge management relevant to the regional program, contribution to creating an enabling environment for P-E mainstreaming among relevant regional institutions and bodies.  Outcomes and outputs to be delivered at the global level should also be articulated in a document: (i) Collaboration with other relevant UNDP and UN Environment programs; (ii) Influencing UN agencies; (iii) Knowledge management and communications (internal & external); (iv) | and quality control.  To increase financial allocations to implement poverty-environment actions priorities influencing development partners at both headquarters and country level to support such actions  Donor coordination and communication: Engage with donor representatives from the earliest planning stage of PEI country programmes and take their views into account, where consistent with PEI objectives, with a view to catalyzing political and especially financial support to implement p-e objectives. Actively seek out and implement opportunities for co-ordination and synergies. Actively contribute to the most relevant donor and donor-government working groups  • Regularly brief relevant donors on PEI progress. Inviting key donors to join P-E project steering committees or reference groups may be appropriate.  Active support from PEI regional team to engage with donors was often necessary. | Addressing the capacity gaps for vertical (national, regional, local) and horizontal (sectoral) planning and implementation of sustainable development plans/programmes is a fundamental issue, especially in the light of the SDGs localization and implementation. Targeted capacity building programmes for governmental staff at all levels remain critical. This should be coupled through deep engagement with other ongoing initiatives similar to PEI (i.e. PAGE in Kyrgyzstan). PAGE can serve as a direct continuation of deepening and upscaling PEI's achievements in Kyrgyzstan until 2021.  To complement PEI efforts, creation of an appropriate economic mechanism to develop national regulations, policies and strategies to address priority green economy themes are highly recommended, especially for the low-middle income countries, where natural capital acts as an important interface in relation to other SDGs. Therefore, central government capacity in both countries especially in water, forestry, biodiversity and climate change related activities needs to be strengthened and up-scaled by linking strategies and budgeting, expenditure planning and investment | LAC |
| Sustainability strategy  In the absence of a minimum number of  | donors to prioritize implementation of P-E objectives through their own  | analysis, building on PEI ECIS achievements to date.  |     |
| dedicated staff and funding to sustain that infrastructure in the agencies, and without seed funding for country-level  | programmes, rather than mobilising funds for PEI.  | The measurement of natural capital and environmental sustainability, and their links to human development, are complex  |     |
| work, it will be difficult for the agencies to continue learning and providing the policy and technical support to the countries. As  | Coping with Changes in Governments: Recognize the fact that national elections and changes in  | and multifaceted. To understand these correlations better, the use of better data and new indicators to measure natural   |     |

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| such the existing UNDP-UNEP collaboration framework will have to be adjusted to reflect this is important shortcoming. One possible solution is to have forward continuous or permanent contracts with the UN.  SUSTAINABILITY OF PEI OUTCOMES UNDP-UN Environment collaboration is essential to deliver the long-term support required to achieve meaningful and durable P-E mainstreaming.  A knowledge bank or compendium of tried and tested PEI supported tools and approaches for future duplication or evidence. This should be a living document and be readily available online.  To UN Environment  Country-level support system needs to be improved and enforced.  Clarify role PEI can play to help coordinate delivery at the country level.  Clarify role and added value (substantive and operational) of PEI with respect to "inclusive green economy"  Systematically tapionto UN Environment's global pool of expertise.  To UNDP  Better management of financial resources, including timely disbursement of fund so as to not delay project implementation. And at country level less Purchase Orders to be carried over to the next year. | government are likely to have a considerable impact on the implementation speed of a UN project and work with UNDP country offices to establish contingency plans. Following changes in government, take time to understand the political and institutional changes and their impact on poverty-environment mainstreaming results and if need be adjusted subsequent workplans to address these changes.  Establish a long-term agreement at the global/regional level with known qualified consultants in the areas of expertise where the project needs support. Technical advisory support from PEI should to the extent possible seek to be part of larger UN Environment, UNDP or other partner support to countries  Budget sufficiently for international and national consultants in annual workplans.  Continued and more pro-active follow up on country reported results and activities by global/regional team. | capital and the links between inter- and intra-generational equity is critically important.  Based on the national capacity aspects in both countries, PEI regional and country teams recommended to establish a green economy roster for the ECIS region, which would allow countries to carry out Output II activities in a timely manner and access to the qualified international/national consultancies as needed.  Training is required for relevant M&E specialists at district and regional levels to replicate the results of successful (sub) national development work, such as district development plans (DDPs) in Tajikistan, but there are infrastructure challenges, including limited Internet access and low-grade office equipment.  Support efforts to ensure that equitable proportions of women are elected and/or appointed to government (and corporate) leadership positions to increase the number of women in senior environmental management, political and decision-making roles in public and private institutions throughout the region. |     |

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| <ul> <li>Involve Regional Bureaus in</li> </ul>           |        |      |      |
| determining the entry points and priority                 |        |      |      |
| areas to be addressed in each region in                   |        |      |      |
| addition to consultation with global and                  |        |      |      |
| regional centers  |        |      |      |
| <ul> <li>Package and make available P-E</li> </ul>        |        |      |      |
| mainstreaming tools and experiences                       |        |      |      |
| to improve their uptake and use across                    |        |      |      |
| the network of UNDP country offices; a                    |        |      |      |
| strategy to maximize uptake                               |        |      |      |
| <ul> <li>Consult with Resident Representatives</li> </ul> |        |      |      |
| or Country Director how PEI can help                      |        |      |      |
| achieve crosscutting and                                  |        |      |      |
| multidisciplinary work to address                         |        |      |      |
| national development priorities                           |        |      |      |
| consistent with UNDP's new approach                       |        |      |      |
| to work in a multi-disciplinary manner to                 |        |      |      |
| address complex development issues                        |        |      |      |
| - Create mechanisms to help deploy                        |        |      |      |
| available expertise for PEI's work and                    |        |      |      |
| increase efforts to engage other UN                       |        |      |      |
| agencies at country level to contribute                   |        |      |      |
| to P-E mainstreaming efforts.                             |        |      |      |

## 1.8 Annex 8: PEI Scale up Phase Evaluation Recommendations and Status

| Key action  | Status                           |
|---|----------------------------------|
| Recommendation 1: Expand PEI geographically and thematically and upgrad not exclusive UNDP-UNEP delivery mechanism of capacity development supphelp meet the SDGs with a focus on inclusive, equitable, pro-poor, climate probuilding on PEI's mainstreaming agenda and modus operandi.   | port and technical assistance to |
| Evaluate how PEI's current structure and portfolio can be deployed for P-E mainstreaming in support of SDG achievement beyond 2017.   | completed                        |
| Develop a successor/post-2017 P-E mainstreaming Global Programme in support of SDG implementation and accompanying resource mobilisation strategy.  | completed                        |
| <b>Recommendation 2:</b> Continue integration of P-E mainstreaming in UNDP and implementation   | UNEP as an approach for SDG      |
| Improve integration of P-E mainstreaming within both agencies work programmes.  | on-going                         |
| Deepen UNDP-UNEP cooperation, using PEI as a model to build integrated frameworks for other joint UNDP and UNEP initiatives at country levels and to inform the design of other joint programmes.   | ongoing                          |
| Improve coordination between related CO level interventions for P-E mainstreaming objectives (in the context of a Delivering as One (DaO) approach) and improve progress on dissemination of P-E Mainstreaming tools and experiences to the Country Office levels, or through other mechanisms to deploy available UNDP or UNEP expertise upon request. | on-going                         |
| Ensure and expand support through UN cooperation mechanisms, for national P-E mainstreaming agendas in the context of SDG implementation  |                                  |
| Recommendation 3: Apply PEI programmatic approach consistently across the   | e global programme               |
| Review of PEI LAC portfolio and increased support for management of the Regional Team by PEI senior management.   | completed                        |
| <b>Recommendation 4:</b> Channel improvements for PEI Global, Regional and Cour results delivery.   | ntry level RBM management and    |
| PEI to improve monitoring on the application of PEI tools (guidelines, templates, databases, indicators, etc.) for impact monitoring.   | ongoing                          |
| Improve programmatic approach on national capacity for data collection and P-E indicators selection to strengthen the M&E component of the programmatic approach.   | ongoing                          |
| Apply and use TOC at CO, Regional and Global level as a part of project management.   | completed                        |
| Increased application of RBM principles in project cycle management (work planning, reporting, M&E, target setting, quality assurance, etc.) and in data collection for results reporting and improved evidence-based project management.   | completed                        |
| <b>Recommendation 5:</b> Build upon and improve collaboration and partnersh sustainability of PEI project and programme results.  | ip for P-E mainstreaming and     |
| Transform PEI Handbook into a living online and modular capacity development offer.   | completed                        |
| Use of diverse fora to increase the exposure for PEI experiences and tools to other countries, and possibly extend targeted technical assistance in selected cases.   | completed                        |