Annex : List of documents reviewed

List of documents reviewed for the 6NR (received through Dropbox from project team)

1. Project documents folder
   1. 6NR Prodoc LAC (EN and SP)
   2. 6NR Prodoc Asia (EN)
   3. 6NR Prodoc Mixed Regions (EN)
   4. 6NR Prodoc LAC II (EN and SP)
   5. 6NR Prodoc LAC signature pages 1-2, 29th November 2017
   6. 6NR Prodoc Asia signature pages 1-2, 29th November 2017
   7. 6NR Prodoc Mixed Regions signature pages 1-2, 29th November 2017
   8. 6NR LAC II signature pages 1-2, 29th November 2017
2. Project operations folder
   1. Project tracking tool
   2. Master Contact list
   3. AWP regional folders
      1. 6NR budget template 2017, 2018
      2. AWP 2017 (for each of the four projects), 2018, 2019 and 2020 (empty folder)
   4. CDR folder (Combined Delivery Reports)
      1. CDR 2017, 2018, 2019 (for each project)
   5. Global governance calls folder
      1. 16 notes and agenda in 2018
      2. 19 notes and agenda in 2019
      3. 2 notes and agenda in 2020
   6. PIR folder (Project implementation reports)
      1. PIR and annexes for each project, 2019
      2. 7 PIR supporting documents
   7. Procurement folder
      1. Procurement plan 2018
      2. Procurement plan 2020
      3. TORs for project consultancies (12)
   8. Project Board Meetings folder
      1. 2018 (and supporting documentation in sub-folders)
      2. 2019 (and supporting documentation in sub-folder)
   9. Project extension request folder
      1. Project extension request per project
3. Country Project folder (*only materials from 8 selected sample countries reviewed*)
   1. Folder for each of the 64 countries, including 9 sub-folders as follows:
      1. 6NR Final report
      2. 6NR technical review
      3. AWP
      4. Key correspondence
      5. LOA
      6. LOE
      7. Original contacts
      8. Project closure survey
      9. Project stocktake forms
4. Technical tools folder
   1. CBD briefs folder (3 documents)
   2. Gender Mainstreaming folder (12 sub-folders)
   3. Technical needs analysis (3 sub-folders)
   4. Technical tools (1 list, and six sub-folders)
5. Technical webinar folder
   1. List of webinars
   2. Three sub-folders (marketing, all speakers, presentation)
6. Technical workshop conferences
   1. 13 sub-folders from Dec. 2017 to February 2020

Other sources/materials reviewed:

* Combined Terminal Evaluation Report, Support for 3rd and 4NR, Jos Brann, 10 December 2018
* Support to GEF Eligible Countries for Achieving Aichi Biodiversity Target 17 Through a Globally Guided NBSAPs Update Process, Global, Terminal Evaluation report, Jos Brann, 4th March 2019
* GEF website <https://www.thegef.org/documents/policies>
* GEF unedited revised M&E Policy, June 2019
* GEF, “Monitoring Capacity Development in GEF operations”, Dec. 2015
* UNEG Norms and Standards for Evaluation, revision 2017
* UNDP PME Handbook, 2009 and addendum 2011
* UNDG RBM Handbook, 2012
* GEF Guidance for conducting terminal evaluations of UNDP-supported, GEF-Financed Projects, UNDP IEO, 2012
* UNDG, UNDAF Companion Piece 7, Theory of Change, undated
* UNDP, IEO evaluation guidelines, January 2019
* UNDP, Supporting Capacity Development, the UNDP approach, 2007
* Capacity Development, a UNDP Primer, 2009
* OECD/DAC, Glossary of key terms in evaluation and results-based management, 2002
* OECD/DAC, Better Criteria for Better Evaluation, Revised Evaluation Criteria, Definitions and Principles for use, 2019
* M.Q. Patton, Utilization-focussed evaluation, 3rd edition, Sage publications, 1998
* UNEG quality checklist for Evaluation terms of reference and inception reports (2010)
* UNEG Code of conduct for evaluation in the UN system, 2008
* UNEG Ethical Guidelines for Evaluation, 2008

Additional outputs prepared by the 6NR project:

* Dr. J Ervin, C. Supples, A. Virnig and M. Maringo “Towards a common monitoring and reporting framework for implementation during the post-2020 period of CBD”, 14th February 2020
* Dr. J. Ervin, C. Supples, A. Virnig and M. Maringo “Integrating Spatial Data into the Convention on Biological Diversity’s post-2020 Monitoring and Reporting framework”, 14th February 2020
* Christina Supples, Marion Maringo, Prudence Raine, « Project Closure Report Analysis », April 2020 one for each project document PIMS 6114, 6125, 6126, 6127
* Christina Supples, Marion Maringo, Prudence Raine, “Analysis of the gender-responsiveness of 6NR to the convention on biological diversity”, April 2020, one global report