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| **TERMS OF REFERENCE**  Position: International Consultant (2) – Evaluation Specialist  Project: Global Programme on Strengthening the Rule of Law and Human Rights for Sustaining Peace and Fostering Development  Type of contract: IC  Duty station: Home-based with travel to three programme countries[[1]](#footnote-1)  Duration: 50 days – 1 January 2021 – 15 May 2021 |
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| **Background**  With an in-country presence before, during and after conflict or crisis, UNDP expects to provide increased assistance in providing rule of law, justice, security and human rights assistance to countries threatened or affected by crisis and fragility. In order to meet these demands, UNDP’s Global Policy Network (GPN) has made rule of law a priority area for programmatic support and policy development.  To this end, the Rule of Law, Security and Human Rights (RoLSHR) team in the UNDP Crisis Bureau has bolstered its capacity to provide support to the field and articulated in a *Global Programme for Strengthening the Rule of Law and Human Rights for Sustaining Peace and Fostering Development*. UNDP’s Global Programme has been the main operational and financial instrument for UNDP globally to engage on rule of law in crisis-affected contexts. Drawing on some of UNDP’s most innovative rule of law programmes, it seeks to expand such experiences to assist UNDP Country Offices to develop multi-year, comprehensive rule of law programmes that respond rapidly and effectively to the needs on the ground. The Global Programme is currently in its third phase, which commenced in 2016 and was given a 1 year extension; the current phase ends in December 2021.  The RoLSHR programme and team now directly provide support to roughly 40 countries and have increasing responsibility to lead and support policy development and guidance in rule of law related areas, especially in the context of the SDG and Sustaining Peace agendas. The RoLSHR team also leads UNDP’s engagement with DPO, as the Global Focal Point of Justice, Police and Corrections (GFP), which was established in 2012. The ROLSHR programme also engages with OHCHR and the Global Alliance for National Human Rights Institutions (GANHRI) through the Tripartite Partnership to Support National Human Rights Institutions; with UNHCR to support rule of law and local governance solutions for displaced populations; and the Team of Experts (TOE) on the Rule of Law and Sexual Violence in Conflict; and recently launched The Saving Lives Entity (SALIENT) with UNODA.  Seven key interlinked programme areas form the blueprint for UNDP’s engagement on rule of law and human rights assistance:   * Political Engagement * Institution Building * Community Security * Human Rights Systems * Access to Justice * Transitional Justice * Gender Justice   Current donor partners of the Global Programme include the Netherlands, Norway, Sweden, Switzerland, the United Kingdom, and the United States among others. In the last two years, the Global Programme has enhanced partnerships with Sweden’s Folke Bernadotte Academy and Prison and Probation Service, the Ministry of Justice of Japan, and the UNV programme to strengthen the technical capacities within the UNDP team and to support the GFP.  The third phase of the Global Programme commenced implementation in October 2016. The programme engages in multiple rule of law and human rights initiatives at the country level and regularly receives positive feedback from the Country Teams on the ground. It also forms the basis for multiple global and policy development initiatives and has a broad range of substantive partners. The Global Programme management team periodically reviews and adjusts the standard operating procedures of the programme to address any inefficiencies in the programme implementation.  Currently the Global Programme is being implemented in the following five regions: Europe, Asia Pacific, Africa, Arab States, Latin America/Americas, with the majority of priority contexts located in Africa.  The Global Programme has also engaged DCAF - International Security Sector Advisory Team (ISSAT) to undertake various country evaluations to support the development of enhanced M&E capabilities. The core component of support from ISSAT to UNDP’s Global Programme is the conduct of high quality learning evaluations of individual country level programmes. As such, each evaluation will engage with the specific context and activities of the programme, and identify what has been achieved, what aspects could usefully be considered by other programmes, and what types of evidence contribute to knowledge of programme success.  Three ISSAT evaluations have been undertaken in Colombia, Guinea Bissau and Central African Republic and several others such as Palestine are currently in preparation phase. These evaluations will feed into the mid-term evaluation to highlight impact, added value and lessons learned from the specific country settings and the Global Programme overall.  **Purpose and audience**  This mid-term evaluation is being undertaken to inform UNDP and its partners of lessons learned, results achieved and areas for improvements. The finding of this mid-term evaluation will inform the development of the fourth phase of the Global Programme along with the final evaluation.  **Evaluation Objectives**  The main purpose of this this mid-term evaluation is to draw out lessons learned and identify further support and/or action needed to ensure proper implementation and performance of the Global Programme through the end of this programmatic phase in December 2021, as well as to develop the methodology/measurement framework that will be used for both the mid-term and final evaluation.     1. This mid-term evaluation is being undertaken to assess the performance of the Global Programme in achieving its intended results as stated in the above programme areas, as well in meeting its objectives, specifically also at the outcome level and impact achieved. The mid-term evaluation will review the Global Programme from January 2016-December 2019. Furthermore, this evaluation will inform the development of the fourth phase of the programme starting in January 2022. 2. Additionally, this mid-term evaluation will provide general insights on:  * The ability of UNDP to function as an effective provider of rule of law, security and human rights programming support; in particular, the extent to which the Global Programme is able to respond to the needs of UNDP Country Offices; UN Resident Coordinator Offices; UNCTs; and peacekeeping Missions as relevant. * The ability of the Global Programme to leverage UNDP’s role as an international policy maker and thought leader on rule of law, security and human rights. * The effectiveness and achievements of UNDP in forging interagency cooperation and implementation across the UN system vis a vis the Global Focal Point, the Tripartite Partnership to Support NHRIs, and other important initiatives, including with UN Women, UNODC, etc. * The Global Programme’s contributions to UNDP’s Integrated Results and Resources Framework for the 2014-2017 and the 2018-2021 UNDP Strategic Plan. * The ability of the current structure of the Global Programme to manage finances and operations, meet partner expectations, and respond to the needs of priority countries.   **General Evaluation Questions**  The mid-term evaluation will cover the period January 2016 – December 2019 and shall cover the following central evaluation focus areas:  At the country level:   * Programmatic support: Has the Global Programme’s technical, financial, operational and strategic support been relevant and responsive to the needs and priorities of UNDP Country Offices in the field to engage on rule of law, security and human rights programming? * Added value: Has the Global Programme modality added value to UNDP’s offer on rule of law, security and human rights in the field and in what way i.e. in flexible funding, expertise, comprehensive programming, coordination with the UN system, etc.? * Impact: To what extent has the Global Programme contributed to improving the overall rule of law, security and human rights situation in priority countries? What examples can be shared? * Way of working: How consistent has Global Programme support been with UNDP corporate standards of practice (e.g., prioritizing Leave No One Behind, human rights-based, nationally‐owned, based on analytical assessment, adapted to country context, gender sensitive and conflict-sensitive, supportive of innovation)? How sustainable are the results? * Lessons learned: Identify lessons learned, best practices and innovative approaches at the field level that can inform other programmatic engagements supported by the Global Programme.   At the global level:   * Coordination and partnership: To what extent has the Global Programme promoted coordination and partnership with national counterparts, donor partners, and across the UN system? How does the Global Programme support joint engagement across the UN pillars? Is the Global Programme an effective platform for financially and operationally supporting the Global Focal Point for Rule of Law (with DPO and other UN partners)? * Strategic positioning and policy development: How has the Global Programme shaped UNDP's relevance as an international leader and/or partner in the rule of law and human rights field(s)? Is UNDP recognized as a key actor on rule of law, security and human rights programming in complex contexts and what has the impact of this been on the organization? What is the contribution of the Global Programme to the Sustaining Peace and Prevention agendas of the Secretary-General? * Added value: To what extent has UNDP leveraged the Global Programme to strengthen or add value to its corporate offer on rule of law, security and human rights, i.e. influencing strategic plan priorities, contributing to signature solutions through comprehensive programming, strengthening corporate monitoring, reporting, quality assurance, and communications, etc.? * Lessons learned: Identify lessons learned, best practices and innovative approaches from the field that can be scaled up to inform Global Programme-supported policy development.   At the programme management level:   * Are the management, operational, financial and administrative structures, including SOPs and business processes of the Global Programme fit for purpose? Where are the current challenges and what improvements could be made? * Does the Global Programme have the required resources (human and financial) to achieve its intended programme objectives? If not, where is more investment needed? * Does the Global Programme management meet partners’ expectations? If not, what can be done to facilitate this? * Is the trajectory of the Global Programme sustainable, assuming it should stay or grow beyond its current portfolio? What else might be needed to ensure the Global Programme can continue to perform at a high-level? * Is the Monitoring, Evaluation and Learning capacity in the Global Programme sufficient and how could it be improved? How does the Global programme integrate results-based management?   **Scope of Work**  This evaluation will be carried out by a team of two consultants with the support of the Global Programme management team and with the UNDP Rule of Law, Security and Human Rights team in New York and in the Regional Hubs as necessary. The evaluators will jointly undertake the following tasks:   * Consult with the Global Programme management team in New York on the scope of work, methodology and country case studies to be selected; * Draft the inception report outlining the evaluation methodology as well as interview and mission plan and schedule (each consultant should be expected to travel to three countries each[[2]](#footnote-2)); * Develop the research questions and interview questionnaires based on the agreed evaluation plan and methodology; * Conduct interviews with the relevant UN colleagues (including UNDP staff, DPO/OROLSI and GFP entities), donors, Resident Representatives, and other selected stakeholders; * Undertake (virtual and where possible in person) missions to the selected countries to evaluate the effectiveness of the programme at the country level, including through interviews with stakeholders, partners and other relevant actors. * Conduct phone interviews with at least two countries agreed with the Global Programme management that do not currently receive Global Programme support to assess needs in these countries; * Draft the evaluation report based on the findings for the review of the Global Programme management team; * Consult with the Global Programme management team and adjust the report based on feedback; * Submit final evaluation report to the Global Programme Management team.   **Expected Deliverables**  A comprehensive analytical report (not exceeding 50 pages, Word format, single spaced, in English)  The report shall include, but is not necessarily limited to, the following components:   * Executive summary * Introduction * Description of the evaluation methodology * Analysis of the results, impact, resources, partnerships, management/working methods, and implementation strategy * Presentation of key findings * Conclusions and recommendations (including for M&E framework) * Annexes   + Questionnaires developed by consultants and used for the evaluation   + List of persons interviewed, summary interview and mission reports   + Any other relevant material that supports evaluation findings and recommendations   + List of documents reviewed   **Management Arrangements and Reporting**  The consultant will report to the Global Programme Project Manager and will liaise with the whole ROLSHR team during the assignment.  **General Methodology**  The evaluators will rely mainly on the following methods for obtaining the necessary information:   * Desk review of relevant programme and policy documents; Summary and outcome document of the Consultations for the Future We Want to See – Re-imaging Rule of law, Security and Human Rights * Interviews with a wide range of stakeholders and partners (including but not limited to UNDP HQ, regional and country level colleagues, GFP partners as relevant such as DPO, UN Women, EOSG, etc., and key partners in government and civil society); * Direct observation via missions to three countries with initiatives supported by the Global Programme[[3]](#footnote-3).   A detailed methodological note for the evaluation will be completed within the first two weeks of the contract in consultation with the programme team.  **Expected deliverables and payment schedule**   |  |  |  |  | | --- | --- | --- | --- | | **No.** | **Deliverable** | **Timing** | **Amount** | | **1** | Inception report outlining the evaluation methodology and suggested report outline | 10 days | 20% of contract value | | **2** | Submission of interview reports | 5 days | 10% of contract value | | **3** | Presentation of the initial findings of the mid-term evaluation to Global Programme management | 5 days | 10% of contract value | | **4** | Draft evaluation report for the team review | 25 days | 30% of contract value | | **5** | Final report, based on feedback received from the programme team | 5 days | 30% of contract value |   **Duration of Assignment**  The consultancy will take place from 1 November 2020 to 8 February 2021 and will include 50 working days as presented in the table above.  **Duty Station**  The consultancy will be home-based, with one-week missions to three countries selected in consultation with the RoLSHR team.[[4]](#footnote-4)  **Recruitment Qualifications**  Education:  A minimum of a Master’s degree or equivalent in political science, development studies or other relevant social science.  Experience:   * Significant experience (5-7 years minimum) in the design and evaluation of programmes related to rule of law, security and/or human rights; * Technical knowledge and experience in rule of law, security and/or human rights; * Knowledge of result-based management evaluation, UNDP policies, procedures, as well as participatory monitoring and evaluation methodologies and approaches; * Demonstrated strategic thinking and strong understanding of global developments in rule of law, security and/or human rights issues and recent policy developments and programming at country level; * Sound understanding of the UN system and of UNDP’s mandate and role in crisis contexts; * Excellent interviewing and facilitation skills; * Excellent spoken and written communication skills in English; * Demonstrable analytical skills and strong drafting skills; * Fluency in Arabic, French and/or Spanish desirable; * Experience of evaluating gender aspects of programmatic engagement desirable.   Skills/Competencies:  *Professional Skills:*   * Demonstrates strong ability to manage, facilitate, and engage in discussions with multiple stakeholders in a formal setting, seeking to encourage participation in an open and collegial environment; * Proactive in problem-solving and recommendation for conflict prevention and resolution; * Strong ability in managing confidential and politically sensitive issues, in a responsible way, and in accordance with protocols.   *Interpersonal and communication skills:*   * Strong communication skills and proven ability to collaborate between different actors and high level of internal and external relationship management; * Uses tact and sensitivity when delivering sensitive information or resolving delicate issues; * Demonstrates openness to change and ability to manage complexities; * Remains calm, in control and good humoured even under pressure.   **Evaluation of applications**  A two-stage procedure is utilized in evaluating the applicants, with evaluation of the technical proposal (CV/application) combined with the competency-based interview of the short-listed candidates; being completed prior to any price proposal being opened and compared. The price proposal of the applicant will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 100 (may vary) points in the evaluation of the technical proposals.  *Evaluation of technical proposal:* The technical proposal (CV/application) is evaluated on the basis of its responsiveness to these Terms of Reference as follows:   * Significant experience (5-7 years minimum) in the design and evaluation of programmes related to rule of law, security and/or human rights (20 points) * Technical knowledge and experience in rule of law, security and/or human rights (10 points) * An advanced degree in the relevant field (10 points) * Knowledge of result-based management evaluation, UNDP policies, procedures, as well as participatory monitoring and evaluation methodologies and approaches (15 points) * Demonstrated strategic thinking and strong understanding of global developments in rule of law, security and/or human rights issues and recent policy developments and programming at country level (10 points) * Sound understanding of the UN system and UNDP’s mandate and role in crisis contexts (10 points) * Excellent spoken and written communication skills in English and strong drafting skills (10 points) * Experience of evaluating gender aspects of programmatic engagement desirable (10 points) * Fluency in Arabic, French and/or Spanish desirable (5 points)   *Lowest evaluated offer of technically qualified applicants*: In the second stage, the price proposal of all contractors, who have attained minimum 70% score in the technical evaluation will be compared/evaluated. The contract will be awarded to the two contractors offering the lowest price, unless acceptance of a higher offer is justified for purposes of quality assurance and based on value for money.  In this methodology, the maximum number of points assigned to the price proposal is allocated to the lowest price proposal. All other price proposals receive points in inverse proportion. A suggested formula is as follows:  p = y (μ/z)  Where:  p = points for the price proposal being evaluated y = maximum number of points for the price proposal μ = price of the lowest priced proposal z = price of the proposal being evaluated  The Applicants obtaining the overall highest score after adding the score of the technical proposal (CV/application) and the price proposal is the proposal that offers best value for money.   |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | **COA** (MUST BE INDICATED IN NUMBERS) | | | | | | | | | **Project ID** | **Activity** | **Account** | **Amount** | **Fund** | **Dept ID** | **Impl Agency** | **Donor** | | **00096755** | **POLDEV/PROGSUPP** |  |  | **30000** | **H71** |  | **00182** | | **00096755** | **POLDEV/PROGSUPP** |  |  | **30000** | **H71** |  | **00182** | | **Total:** | | | | | | | |   **Approval**  This TOR is approved by: Katy Thompson – Head of Rule of Law, Security and Human Rights, UNDP Crisis Bureau  Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date of Signing \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. Dependent on COVID-19 travel restrictions and UN guidance [↑](#footnote-ref-1)
2. Dependent on COVID-19 travel restrictions and UN guidance [↑](#footnote-ref-2)
3. Dependent on COVID-19 travel restrictions and UN Guidance. [↑](#footnote-ref-3)
4. Dependent on COVID-19 travel restrictions and UN Guidance. [↑](#footnote-ref-4)