## **UNDP Management Response**

[Terminal Evaluation of the Project "Strengthening National Systems to Improve Governance and Management of Indigenous Peoples and Local Communities Conserved Areas and Territories"]

Date: JUNE 21, 2019

Prepared by: SAMSON B. PEDRAGOSA

Position: Project Manager

Unit/Bureau: Philippine ICCA Project

Cleared by: RICARDO L CALDERON

Position: Assistant Secretary/Director

Unit/Bureau: Biodiversity Management Bureau

Input into and update in ERC:
MARIA THERESA V. ESPINO-YAP

Light Rureau: Climate Action D

Unit/Bureau: Climate Action Programme Team

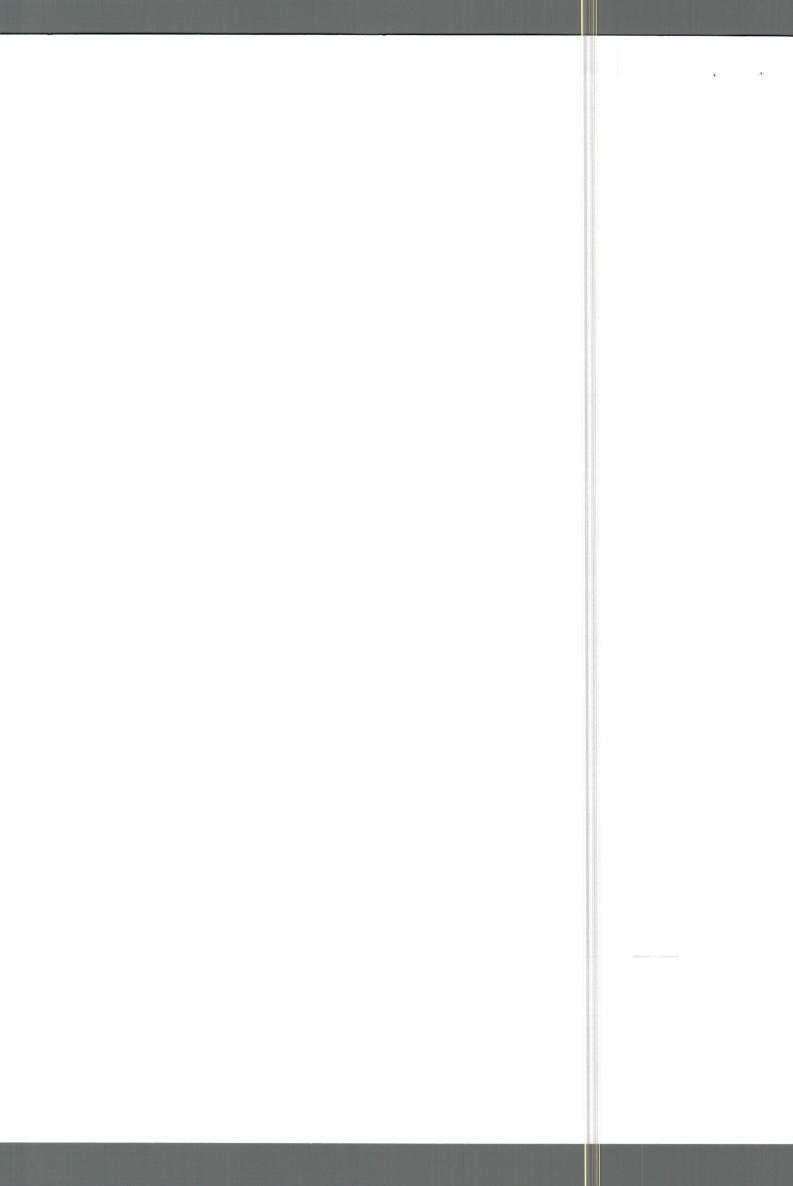
**Evaluation recommendation 1.** The ICCAs sustainability plan should be a long-term planning exercise involving a larger constituency, proposing strategic targets, implementation costs and concrete commitments to move the process forward.

Management response:						
Key action(s)	Completion date	Responsible	Tracking*			
		unit(s)	Comments Status (initial comple or no date)			
1.1 DENR Conference on Institutionalizing ICCAs	24 April 2019	DENR Regional and Field Offices, Local Responsible Partners (LRPs), and Partner Communities	The PMU organized this conference precisely for the purpose of discussing possibilities and strategies for institutionalizing ICCAs as an effective areabased conservation measure in addition to the existing protected areas estate of the Philippines.	Completed		
Annua de dicina			This is in light of the fact that this Project is limited			

in scale and time. There is no guaranty that the DENR, NCIP, and other concerned agencies will approve the draft policy recommendations submitted to them. The project implementation is due for completion in August 2019 and there is no assurance of continuity for the services and activities initiated under this Project.

To address these issues, the Project initiated this Conference to pursue local actions that would de facto institutionalize ICCA activities in the DENR Regional and Field Offices.

The DENR
regional and field
personnel,
together with
their respective
partner
communities and
Local Responsible
Partners (LRPs),
crafted
sustainability
plans to continue
the partnerships
on ICCA and even
expand to new



		sites and	
a a		partners.	

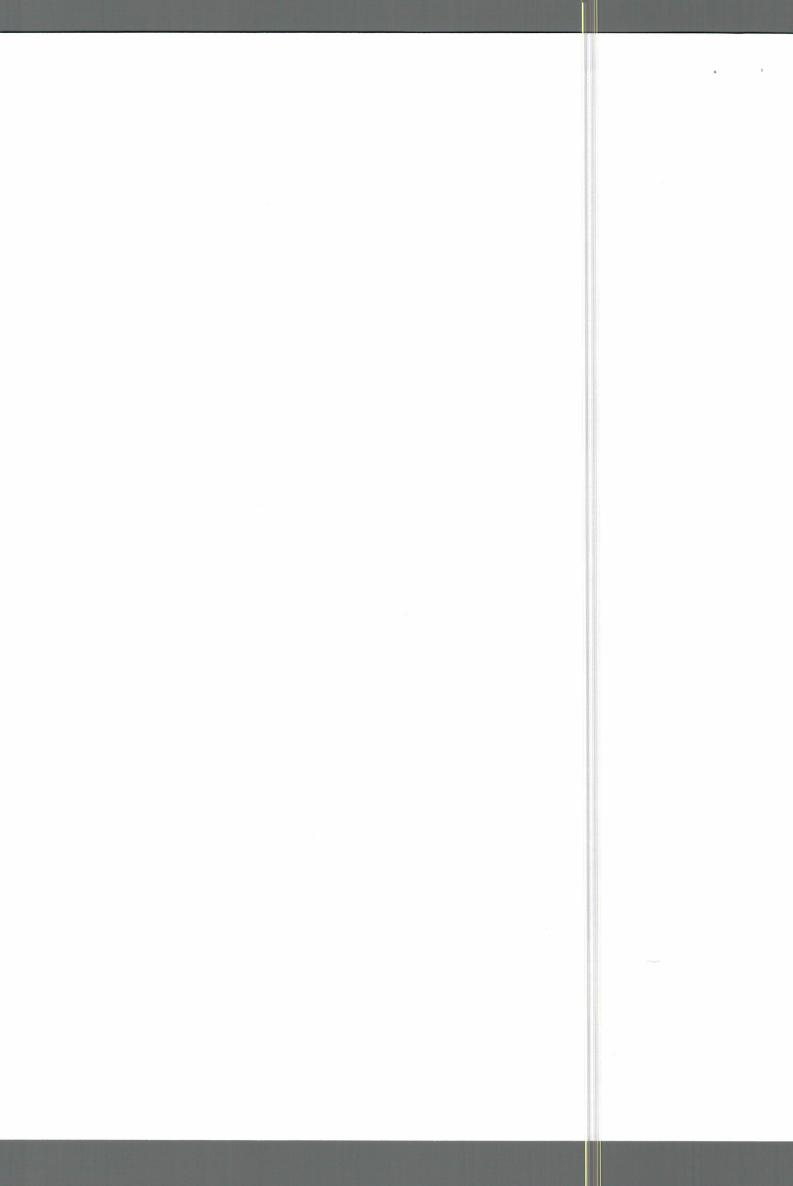
**Evaluation recommendation 2.** Consider a closing event targeted to donors and key governmental agencies to present the sustainability plan and achieve commitments.

Management response:	,			
(ey action(s)	Completion date	Responsible	Tracking	
		unit(s)	Comments	Status (initiated, completed or no due date)
2.1 Third National ICCA Conference	09 – 11 July 2019	Local Responsible Partners, Partner Communities, PMU, CSO partners	As early as 2018, the PMU has already planned to hold the 3rd National ICCA Conference as a culminating activity of the Philippine ICCA Project (PICCAP).  This activity was conducted on 09 — 11 July 2019.  Key project stakeholders participated in the conference. These include the IP leaders from BUKLURAN; government representatives from NCIP, DENR, and HLURB, NEDA, and DILG; nongovernment organization partners from PAFID, PEF, NTFP-EP, KEF, CIFP, ANGOC, FPE and Forest Foundation Philippines;	Completed

staff from the
House of
Representatives
and the Senate;
and
representatives
from the United
Nations
Development
Programme
(UNDP), among
others.

They all expressed willingness to support the community's sustainability efforts in various capacities even after the project formally PICCAP formally closes in August 2019.

The conference was held at the Pearl Hall of **SEAMEO** Innotech. It opened with a ribbon cutting ceremony led by **UNDP** Country Resident Representative Titon Mitra; Commissioner Norberto Navarro of NCIP; **DENR-BMB Division Chief** Norma Molinyawe; and **BUKLURAN** President Giovanni Reyes.

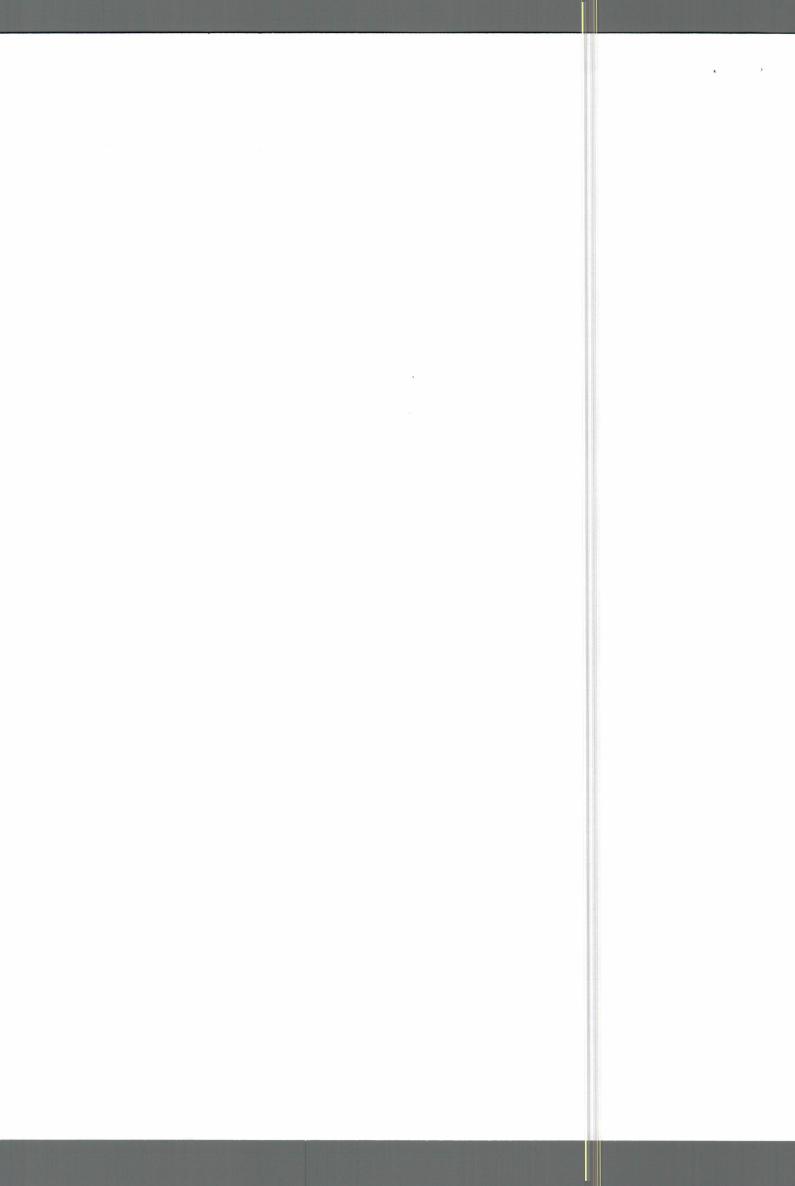


The first day of the conference was devoted to presenting the project accomplishments and best practices. There was also a symbolic turnover of the enhanced draft of the Philippine ICCA Bill to the representatives from the Senate and the House of Representatives.

The second day of the conference concluded with a donors' forum. It was attended by a number of donor and support agencies and organizations including the PEF, FPE, FFP and the CIFP.

The last day of the Conference focused on BUKLURAN's planning activities and steps moving forward based on the results of Days 1 and 2 discussions.

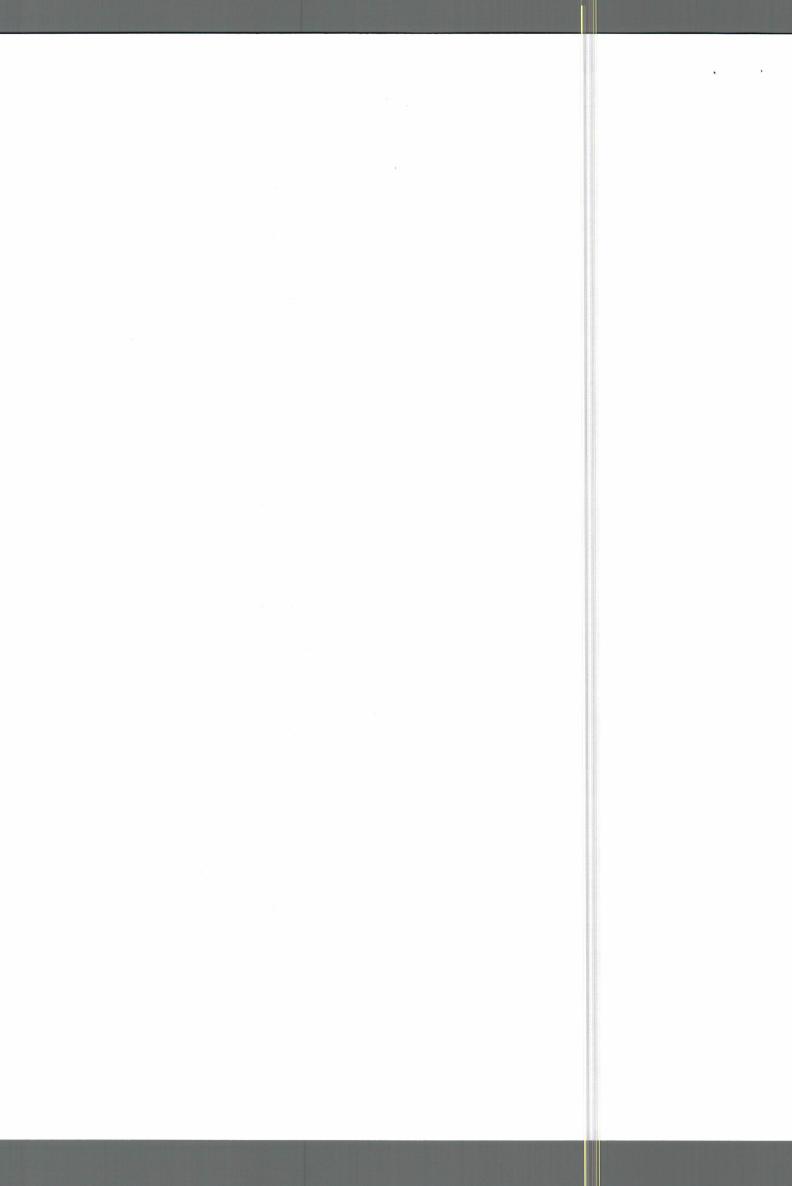
Mr. Giovanni Reyes facilitated the discussion on conference



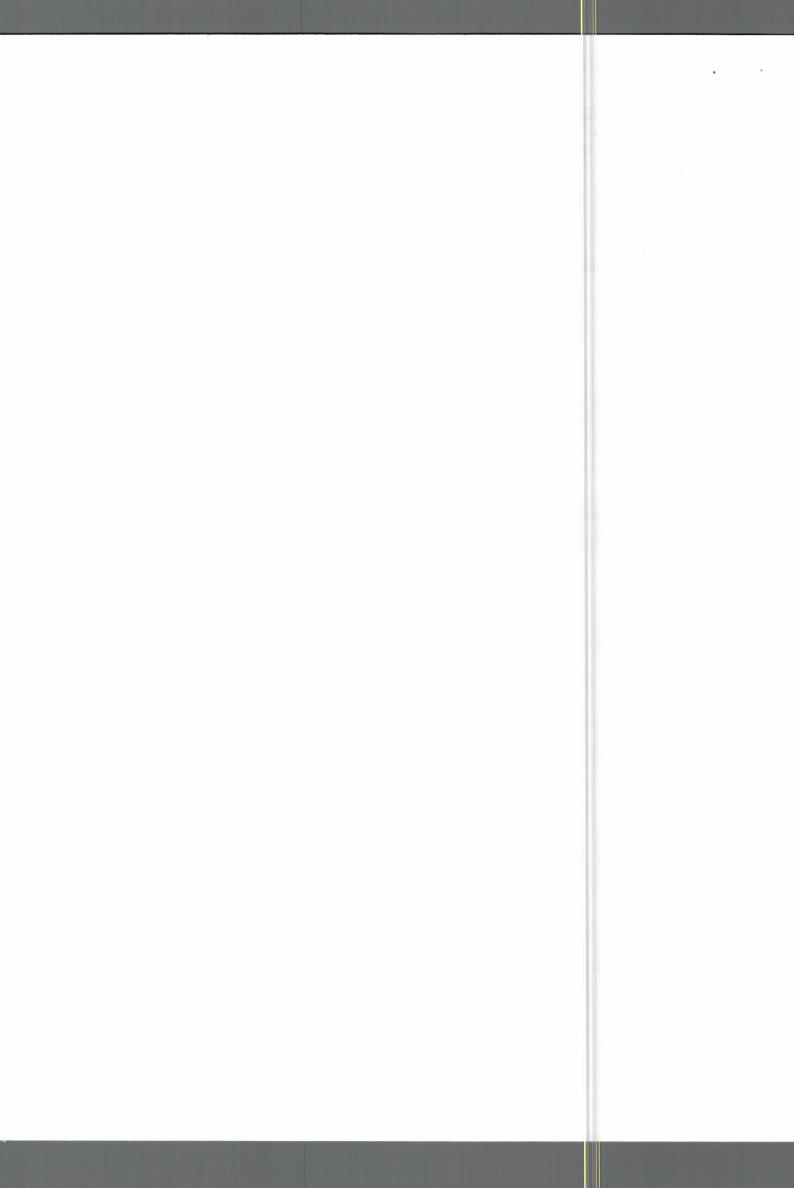
assessment, specifically the identification of opportunities for engagement and ways forward regarding the sustainability of existing ICCA sites and the documentation of other ICCAs.

This was followed by the formalization of the Philippine **ICCA** Working Group; presentation of the Communications and Strategic Plan for the Lobbying and Advocacy of the ICCA Bill, courtesy of Ms. Kate Galido of NTFP-EP; and discussion facilitated by PAFID and **BUKLURAN** to create mechanisms for the interagency working group for ICCA policies.

The three-day conference covered a lot of ground in terms of agenda and objectives.

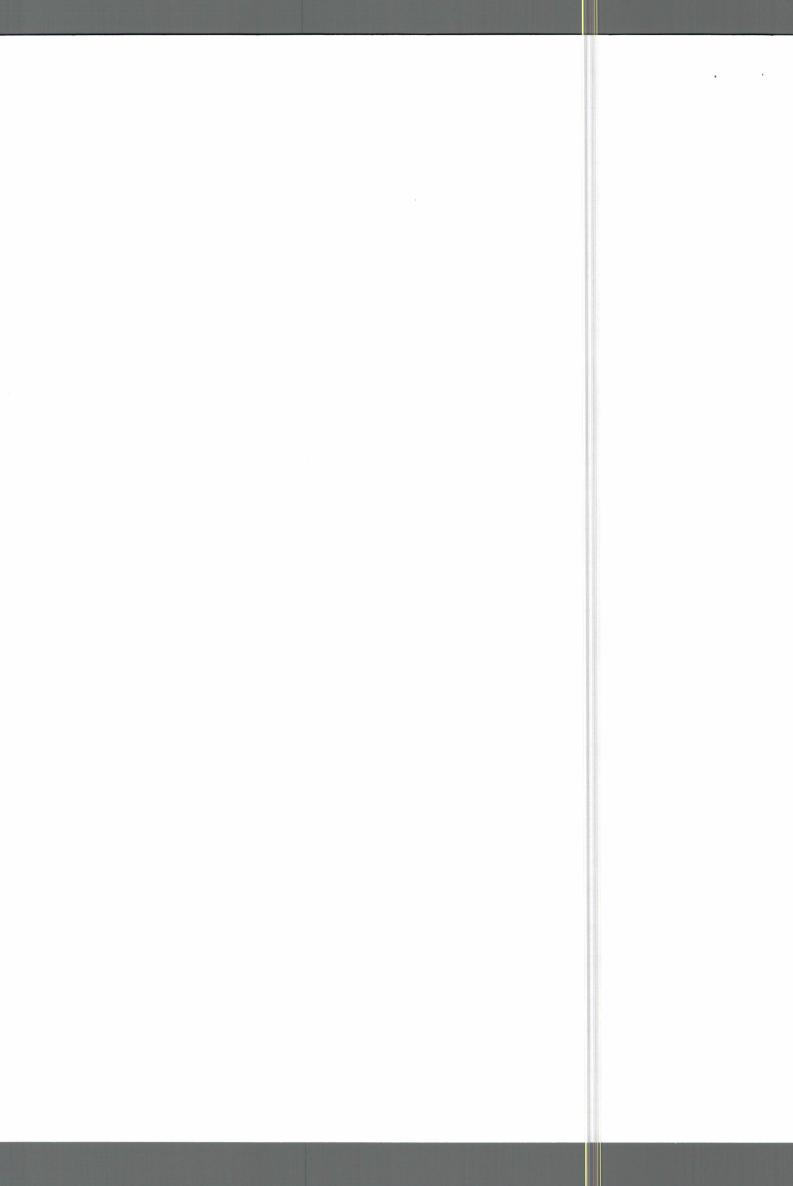


Evaluation recommendation 3. Achieve a political agreement to clarify who will take the lead after the Project ends. Management response: Key action(s) Completion Responsible Tracking unit(s) Comments Status date (initiated, completed or no due date) 3.1 9th Project Board 11 September Philippine ICCA During the 9th Completed Meeting 2019 Project Board, Project Board (PB) PMU Meeting on 11 September 2019, the PB has agreed that the NCIP will be taking the lead after the Project. The rest of the partner agencies will just play the supporting roles. 3.2 Formation of the 11 July 2019 NTFP - EP, In December Completed Philippine ICCA BUKURAN, 2019, the PMU Working Group of Civil PAFID, ANGOC, has initiated a **Society Organizations** FPE, ALG, series of meetings (CSOs) KAISAHAN, PMU with its Civil Society partners to explore the possibility of forming a working group that will continue to push for the institutionalization of ICCAs in the Philippines after the closure of the Philippine ICCA project. The formation of the working group was supported by the Forest Foundation of the Philippines (FFP). The group is comprised of representatives from the Asian **NGO** Coalition (ANGOC),



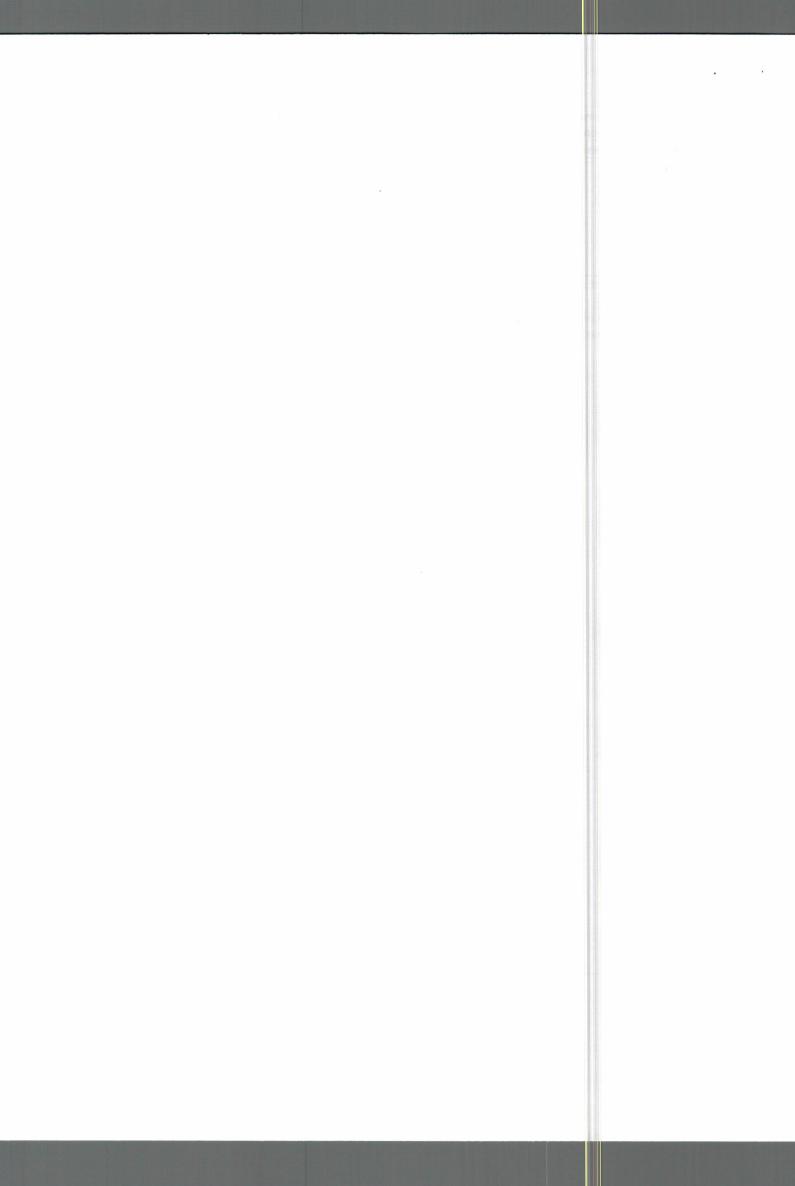
BUKLURAN, PAFID, NTFP-EP, Haribon, Alternative Law Group (ALG), KAISAHAN, Conservation International **Philippines** Foundation (CIFP), Foundation for the Philippine Environment (FPE), and the Global ICCA Consortium, among others. The group agreed to have Mr. Teddy Baguilat as the Convener, and the NTFP as the Secretariat. Ms. Kate Galido of NTFP-EP was also chosen as coordinator.

The Philippine ICCA Working Group was formalized during the 3<sup>rd</sup> National ICCA Conference where the group presented its Communications and Strategic Plan for the Lobbying and Advocacy of the ICCA Bill and the other related policies, courtesy of Ms. Kate Galido of NTFP-EP.

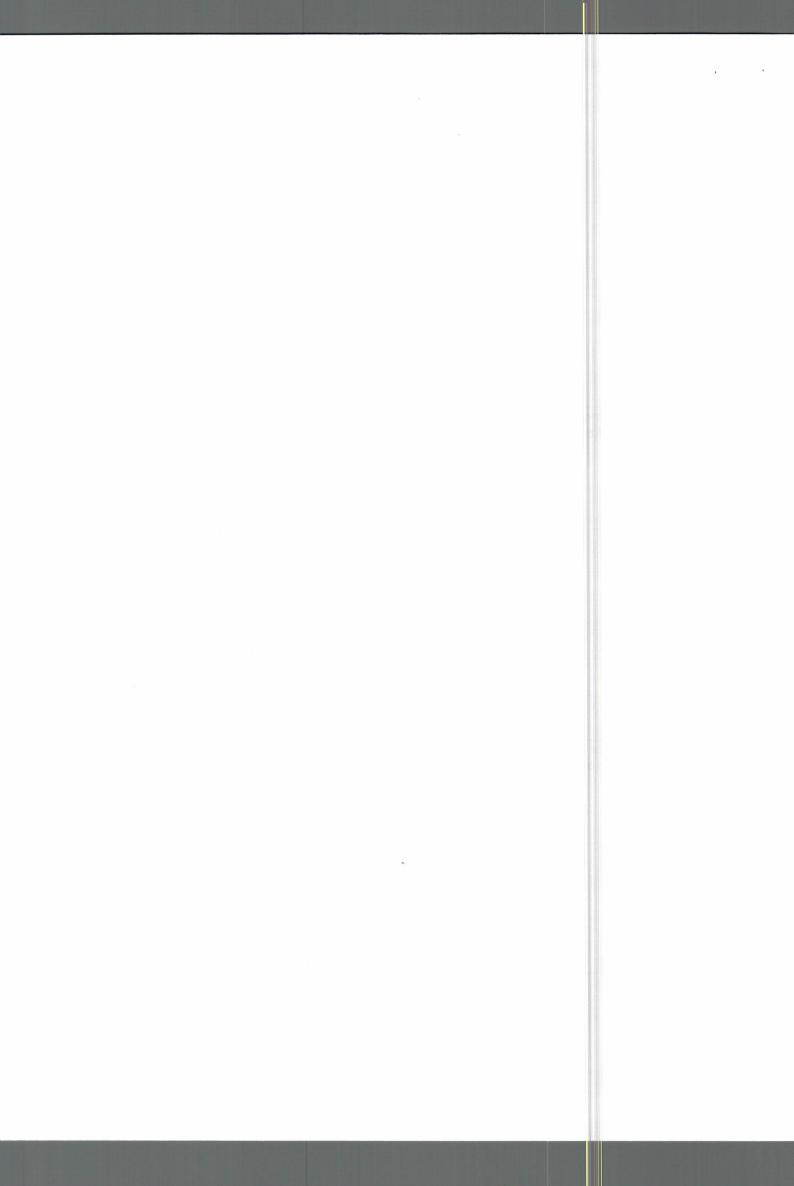


**Evaluation recommendation 4.** Find opportunities to include in new Project's and donor's budgets resources to keep alive key spaces such as BUKLURAN, Project Board, RIAC.

Management response		Posnonsible	Tracking	
Key action(s)	Completion date	Responsible unit(s)	rracking	
			Comments	Status (initiated, completed or no due date)
4.1 Formation of the Philippine ICCA Working Group of Civil Society Organizations (CSOs)	10 July 2019	NTFP – EP, BUKURAN, PAFID, ANGOC, FPE, ALG, KAISAHAN, PMU	The PMU initiated and organized meetings with CSO partners to explore the possibility of establishing partnerships to continue providing support to BUKLURAN in the advocacy for institutionalizing ICCAs in the Philippines.  After a series of meetings, the Philippine ICCA Working Groups of CSOs has been formed to support BUKLURAN. The members of the working group include the Asian NGO Coalition (ANGOC), KAISAHAN, NTFP — EP, ALG, FFP, FPE, PAFID, and BUKLURAN. The Convener of the group is former Rep. Teddy Baguilat, who is also the incumbent President of the Global ICCA Consortium.	Completed



			NTFP-EP has also accepted the challenge of being the lead organization in the advocacy for the Philippine ICCA Bill.  The formation of the Philippine ICCA Working Group was reaffirmed on the last day of the 3 <sup>rd</sup> National ICCA Conference on 11	
4.2 DENR Conference on Institutionalizing ICCAs	24 April 2019	DENR Regional and Field Offices, Local Responsible Partners (LRPs), and Partner Communities	July 2019.  Part of the sustainability plans that were crafted during the DENR Conference was the commitment to continue hosting and holding the meetings of the RIACs. The DENR Regional offices has expressed that the RIAC has been instrumental in coordinating the partnerships with indigenous peoples and other agencies.	Completed
4.3 Inter-Agency Working Group on ICCAs (IAWG)	14 February 2019	DENR, BMB, DILG, NEDA, HLURB, DA- BFAR, NCIP, PMU	The initial meeting of the IAWG was conducted on 14 February 2019 when the names of the members and alternate representatives from the partner agencies and organization have finally been completely submitted to the	Completed and signed on September 18, 2019 as DENR Special Order No. 2019 - 677



	BMB and the
	PMU.
	The draft SO was
	immediately
	prepared to
	formalize the
	group. The
	members agreed
	to change the
	name from the
	Inter-Agency
	Working Group on
	the Philippine
	ICCA Project to
	the Inter-Agency
	Working Group on
	ICCAs. This
	decision was
	made to reflect
	that the working
	group will not be
	co-terminus with
	the Philippine
	ICCA Project, but
	would continue to
	exist as a body to
	push for the
	institutionalization
	of ICCAs in the
	Philippines.
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Evaluation recommendation E. Mainstream the ICCA of	

**Evaluation recommendation 5.** Mainstream the ICCA establishment process (resource inventory, IKSP, mapping and boundary setting, community mapping, documentation, registration), in the line budgets of NCIP and DENR. Look for support from BIOFIN to prepare a business case and provide support for mainstreaming ICCAs in governmental budgets.

Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
5.1 Training on ICCA Documentation for DENR Personnel	12 – 18 August 2018	DENR, BMB, PMU	This training was conducted on 12 – 18 August 2018.  A total of 25 DENR regional and field office personnel were provided	Completed

with the skills to properly conduct an effective and culturally sensitive ICCA mapping and documentation.

They were trained on the various PRA tools and methodologies that could be useful and appropriate for community profiling and a comprehensive discussion of the history, practice and ethics of community-based participatory mapping. These include the whole cycle of a community mapping exercise and technical inputs on the proper data input and lay-out of maps, resources inventory, and **IKSP** documentation.

The objective of the training is to enable the DENR personnel to respond should there be any requests from IP communities for the documentation and mapping of their ICCAs.



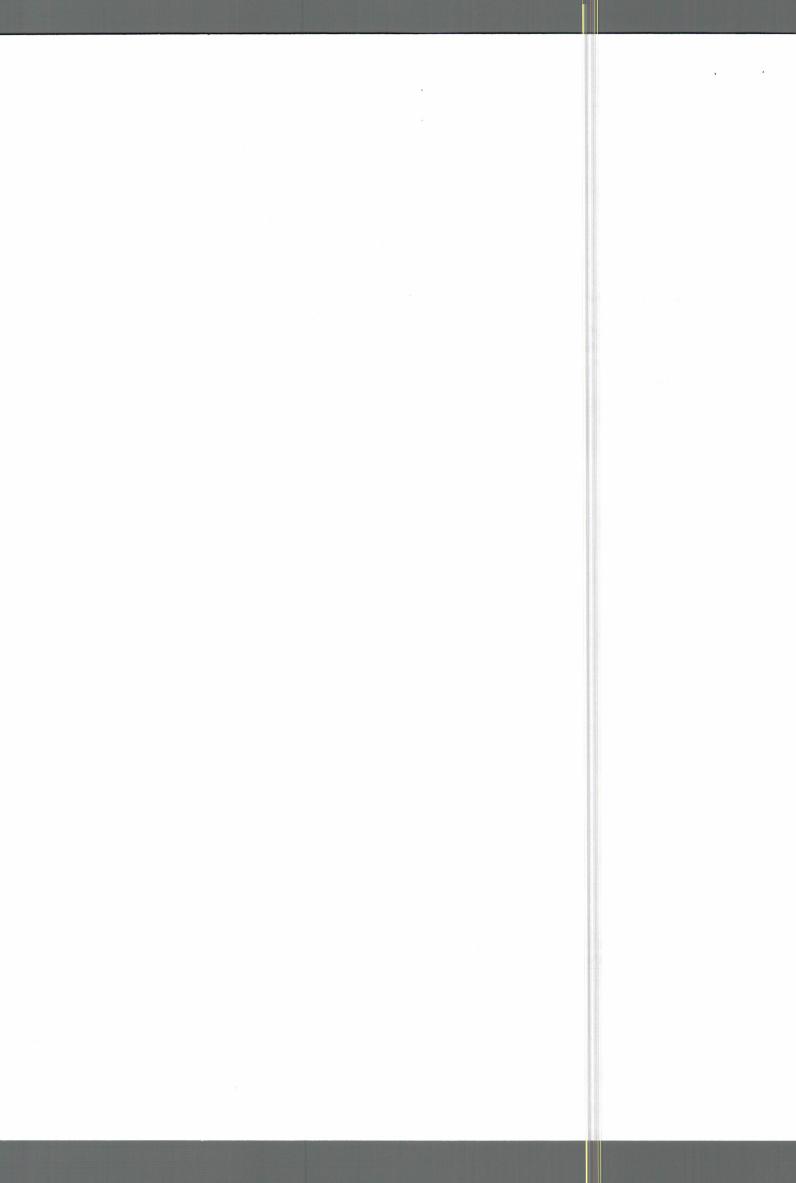
	04 06	ALCID DAFID	This is a second	
5.2 Training on ICCA	01 – 06	NCIP, PAFID,	This training was	
Documentation for	September 2018	PMU	conducted on 01 –	
NCIP Personnel			06 September	
			2018.	
			A total of 22 NCIP	
			regional and field	
			office personnel	
			were provided	
			with the skills to	
			properly conduct	
			an effective and	
			culturally sensitive	
4			ICCA mapping and	
			documentation.	
			They were trained	
			on the various	
			PRA tools and	
			methodologies	
			that could be	
			useful and	
			appropriate for	
			community	
			profiling and a	
			comprehensive	
			discussion of the	-
			history, practice	
			and ethics of	
			community-based	
			participatory	
			mapping. These	
			include the whole	
			cycle of a	
			community	
			mapping exercise	
li li			and technical	
			inputs on the	
			proper data input	
			and lay-out of	
			maps, resources	
			inventory, and	
			IKSP	
			documentation.	
			The objective of	
			the training is to	
			enable the NCIP	
			personnel to	
			respond should	
			there be any	
			requests from IP	
			1	

communities for
the
documentation
and mapping of
their ICCAs

**Evaluation recommendation 6.** There is a need to achieve an inter-institutional commitment to take the lead after the Project is over, to follow up on key decision-making processes such as the ICCAs Bill, ADSDPP guidelines, ICCAs Registry.

Management response	:			
Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
6.1 Formation of the Philippine ICCA Working Group of Civil Society Organizations (CSOs)	11 July 2019		The PMU initiated and organized meetings with CSO partners to explore the possibility of establishing partnerships to continue providing support to BUKLURAN in the advocacy for institutionalizing ICCAs in the Philippines.  The working groups has already begun supporting BUKLURAN. As a matter of fact, ANGOC and PAFID just started a new project with BUKLURAN aimed at enhancing the participation of IP communities under the ENIPAS Act.  The working group has taken the lead and has begun working	Completed

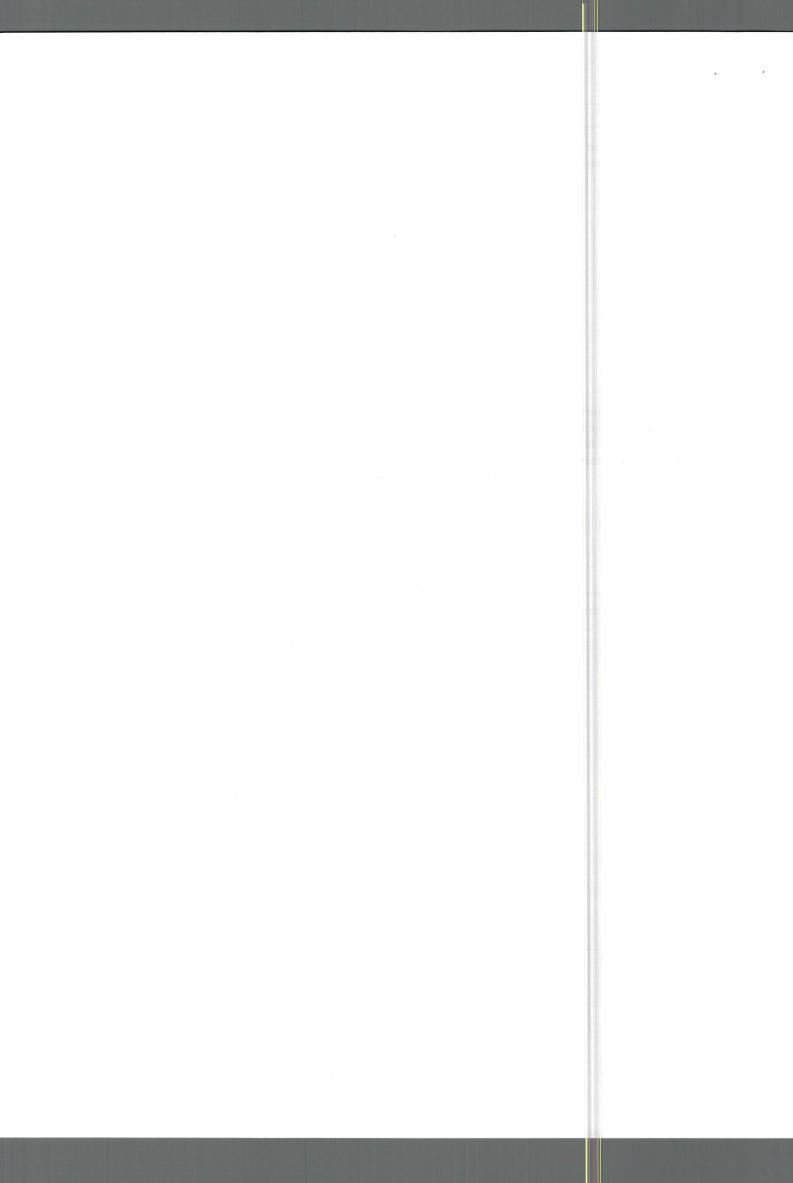
		with legislators at the Senate of the Philippines and House of Representatives for the re-filing of the Philippine ICCA Bill in the 18th Congress.	
6.2 Consultation- Workshop on the Preparation of the Manual of Operations for the	06 – 07 June 2019	This workshop consultation was conducted at the B Hotel in Quezon City.	Completed
Revised ADSDPP Guidelines		A total of 30 participants from the NCIP Central and Regional Offices participated in this workshop. They included the Executive Director, Bureau Directors, and Regional Directors.	
		The workshop discussed and drafted the proposed Manual of Operations (MOO) for the NCIP Revised ADSDPP Guidelines.	
		The participants agreed that they will take the lead in making sure that the draft MOO will be submitted and approved by the relevant NCIP	
6.3 NCIP Validation Workshop on the	16 – 17 July 2019	authorities. This activity was conducted at the	Completed



draft Manual of		Sulo Riviera Hotel	
Operations (MOO) of		to validate the	
the NCIP Revised		draft MOO.	
ADSDPP Guidelines			
		It was attended by	
		at least 18 NCIP	
		personnel who	
		took the challenge	
		of owning and	
		presenting the	
		draft MOO to the	
		relevant	
		authorities in their	
		agency for the	
		approval of the	
		Manual.	

**Evaluation recommendation 7.** The different participation spaces such as the Project Board and RIAC should be kept alive and operational to ensure Projects sustainability. This suggest the need to institutionalize these spaces and handle the lead to governmental authorities.

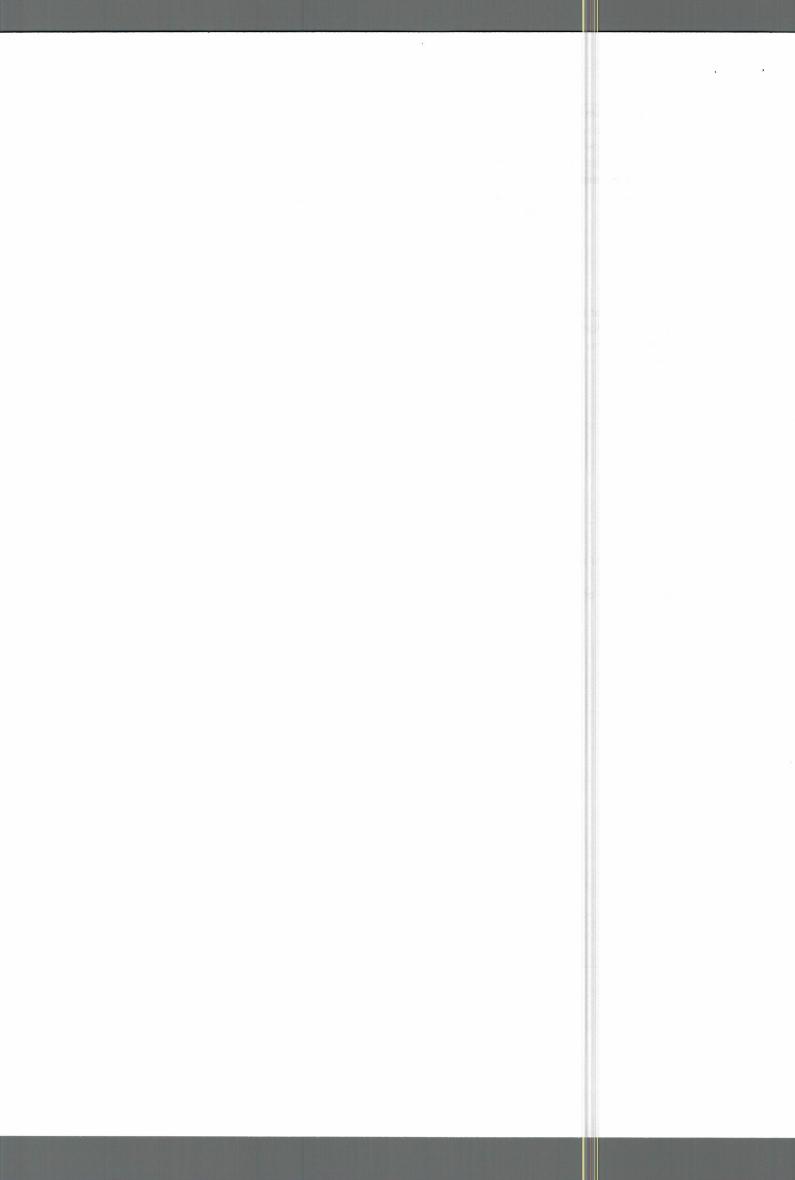
Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
7.1 DENR Conference on Institutionalizing ICCAs	24 April 2019	DENR Regional and Field Offices, Local Responsible Partners (LRPs), and Partner Communities, BMB, PMU	As part of the sustainability plans crafted during the conference, the DENR has committed to continue hosting the RIAC and sustain the engagement with the partner communities to support the ICCAs. The plans even included expansion sites. These will be part of their regular programs and activities.	Completed



**Evaluation recommendation 8.** The exit strategy should consider the need for continuous support and follow up to realize the different guidelines, manuals as well as the Bill. Assuming these will be politically approved, there should be a period to generate capacities, teams and institutional structures for supporting implementation.

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Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
8.1 Formation of the Inter-Agency Working Group (IAWG) on ICCAs	18 September 2019	DENR, BMB, PMU	The formation of the IAWG is originally part of the strategy to push for the approval of the draft policies that will be produced under Outcome 1 of the Project.	The DENR issued Special Order 2019 – 677 creating the IAWG on 18 September 2019.
8.2 Formation of the Philippine ICCA	11 July 2019	NTFP – EP, BUKURAN,	It is composed of representatives from the partner agencies The Philippine ICCA Working	Completed
Working Group of CSOs		PAFID, ANGOC, FPE, ALG, KAISAHAN, PMU	Group of CSOs has started working with the 18 <sup>th</sup> Congress of the Philippines. The Philippine ICCA Bill has already been re-filed for consideration in the Senate and in the House of Representatives.	
			This working group is also working with the DENR and the NCIP to ensure that IP participation ion conservation as manifested in their ICCAs will be	



given due
recognition and
support under the
ENIPAS Act.

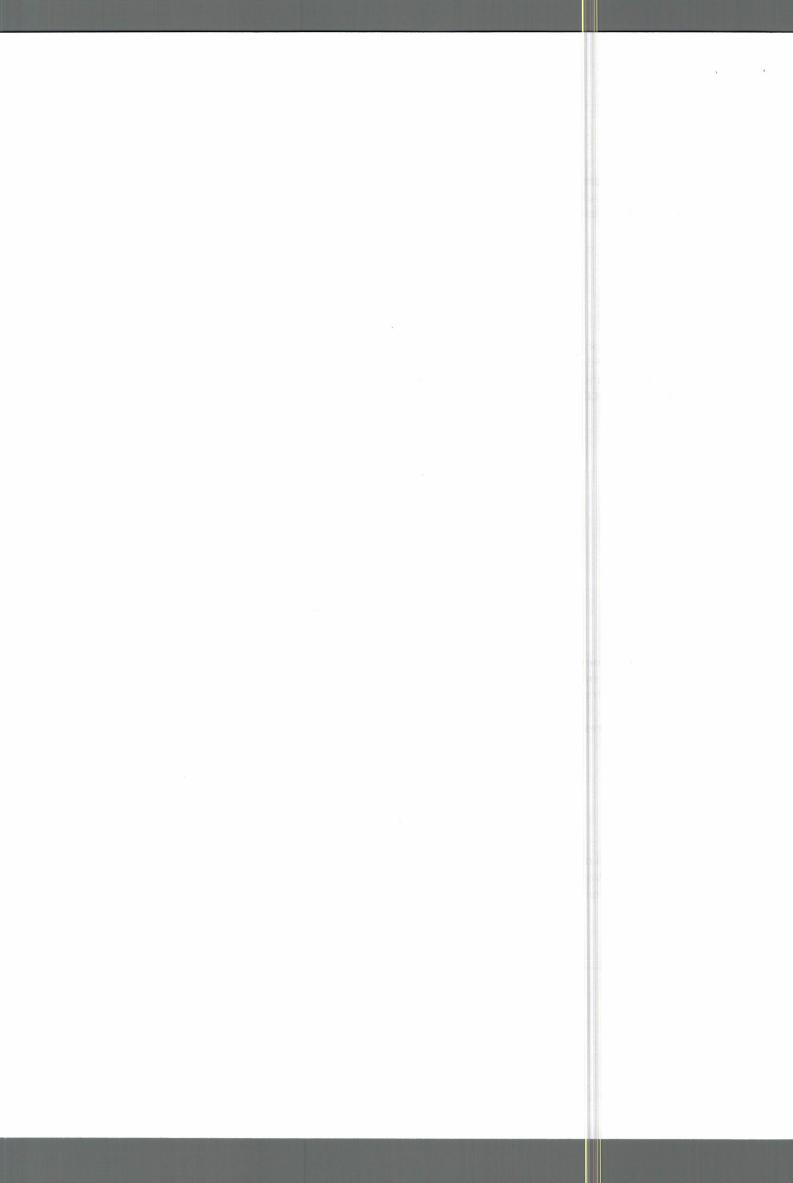
**Evaluation recommendation 9.** Engage the Biodiversity Friendly Enterprises Program to explore scaling up and sustainability opportunities for the livelihood initiatives.

Management response:

Key action(s)	Completion date	Responsible unit(s)		Tracking	
				Comments	Status (initiated, completed or no due date)
9.1 Evaluation of the livelihood activities implemented under the Philippine ICCA Project	October 2019	PMU, BDFE Group	BMB, Core	This was part of the work plan for 2019. The evaluation was supposed to have been conducted in January 2019 but did not push through.  The evaluation is included again in the project closure activities in October 2019. Hopefully the DENR-BMB BDFE Core Group will be available.	Completed

**Evaluation recommendation 10.** Continue to link the ICCAs to other BMB Projects that facilitates the establishment of Payment for Ecosystems Services (PES) for Projects included in the CCPs. The revenue flows from PES offers opportunities to sustain or expand the conservation and protection of ICCA areas.

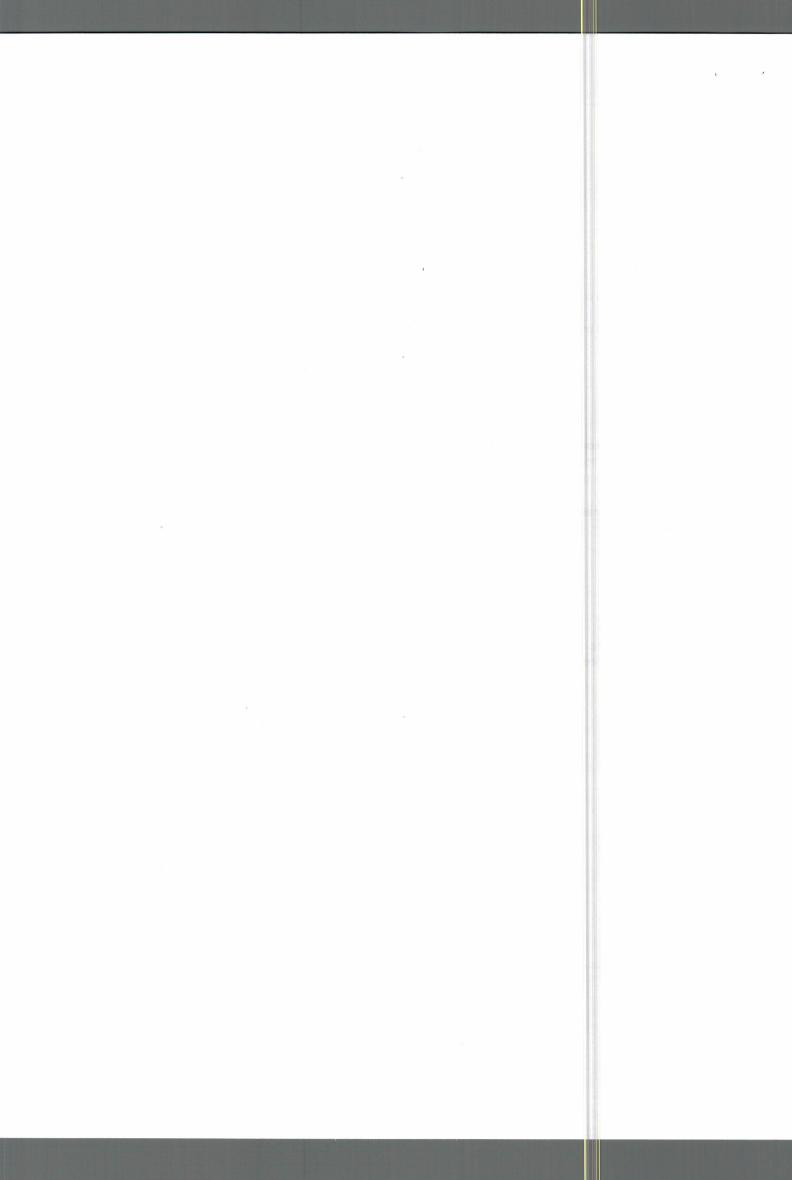
Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
10.1 Formation of the	18 September	DENR, BMB,	The formation of	Completed.
Inter-Agency Working	2019	NCIP, HLURB,	the IAWG is	
Group (IAWG) on		DILG, NEDA, DA-	primarily for the	The DENR
ICCAs		BFAR,	purpose of	issued
		BUKLURAN,	creating a forum	Special Order
		PAFID	for discussing all	2019 – 677
			matters related to	creating the



•		ICCAs, including	IAWG on 18
		the support for	September
		implementing the	2019.
		CCPs and other	2013.
		schemes such as	
		the PES to provide	
		benefits to	
		communities	
		contributing to	
		biodiversity	
		conservation.	
40.3.5ef the	11 July 2019	The Philippine	Completed.
10.2 Formation of the	11 July 2013	ICCA Working	1.00 colors 1.00 c
Philippine ICCA Working Group of		Group of CSO has	
CSOs		been working with	
CSOS		the partner	
		communities on	
		these and other	
		related	
		advocacies.	

**Evaluation recommendation 11.** Continue M&E of the implementation of the Community Conservation Plans including the priority livelihood Projects supported by the Project or incorporated in the plans/budget of the LGUs or supported by national government agencies, and NGOs/CSOs.

Management response Key action(s)	Completion date	Responsible unit(s)	Tracking		
			Comments	Status (initiated, completed or no due date)	
11.1 Meeting with DENR field offices	05 August 2020	PMU, CENRO, PENRO	The PMU has been meeting with the respective PENRO/CENRO personnel to pursue the collaboration with the partner communities. Among the agreements is for the PMU to provide these agencies with copies of the Community Conservation Plans (CCPs) so they will have a	Completed under the initiative of the DENR-FASPS.  The meeting with the concerned Regional offices, BMB and the former Project Management Unit was conducted online on August 5, 2020.	

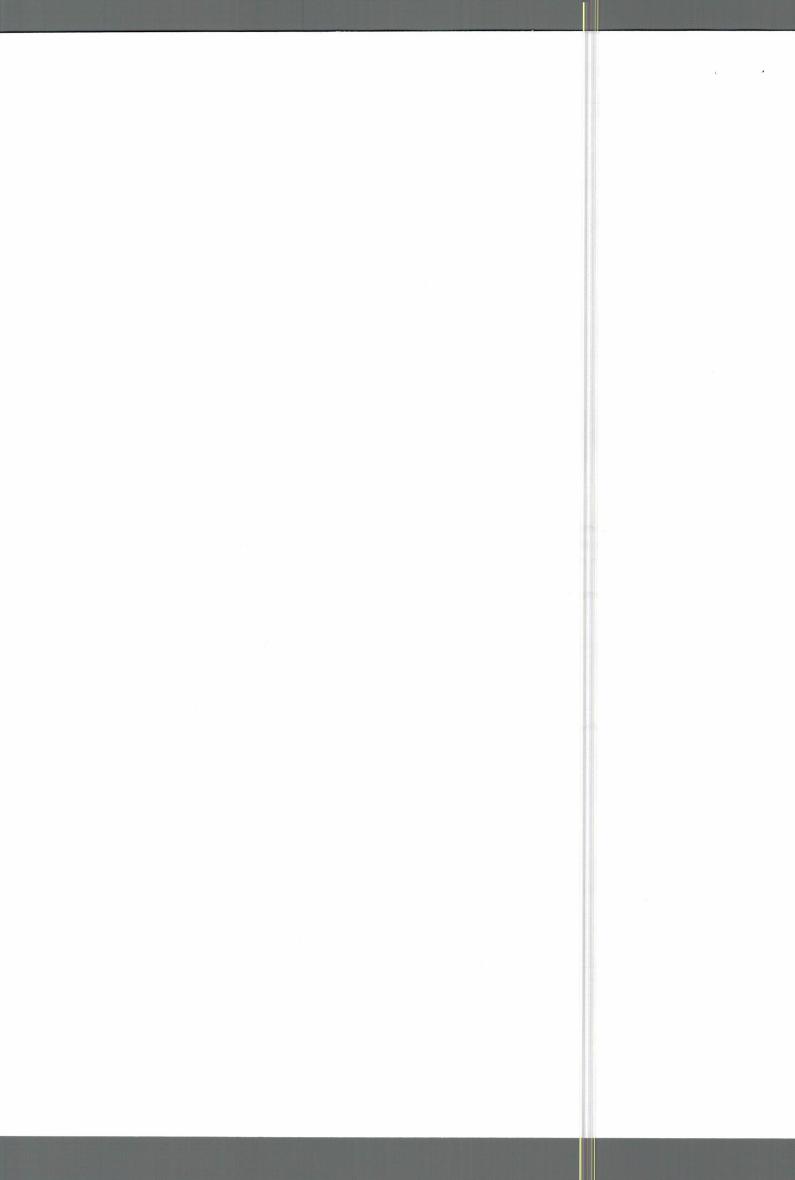


reference for further support and monitoring the progress of the plans, including the implementation of the livelihood activities. In some project sites, the LRPs have also agreed to continue collaboration with the partner communities and monitor the progress of the livelihood activities. This is true for Magpet (PEF), AGMIHICU

(NTFP), Tinglayan (MCCI), and KEF.

**Evaluation recommendation 12.** Avoid last minute execution of livelihood funding in sites where resources were not allocated. Assist the local community in Dipaculao, Aurora to enter into partnership with private sector or NGO partner for the planned livelihood project (coffee production).

Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
12.1 PMU Meeting with Daluhay	O5 June 2019	PMU	Following this recommendation, the PM communicated with DALUHAY that implementation can no longer commence given that the project is in the closing stage.  DALUHAY sent a direct petition to	Completed



UNDP complaining that the PM is not allowing them to implement livelihood activities. It even prepared a petition signed by the DETA chieftains.

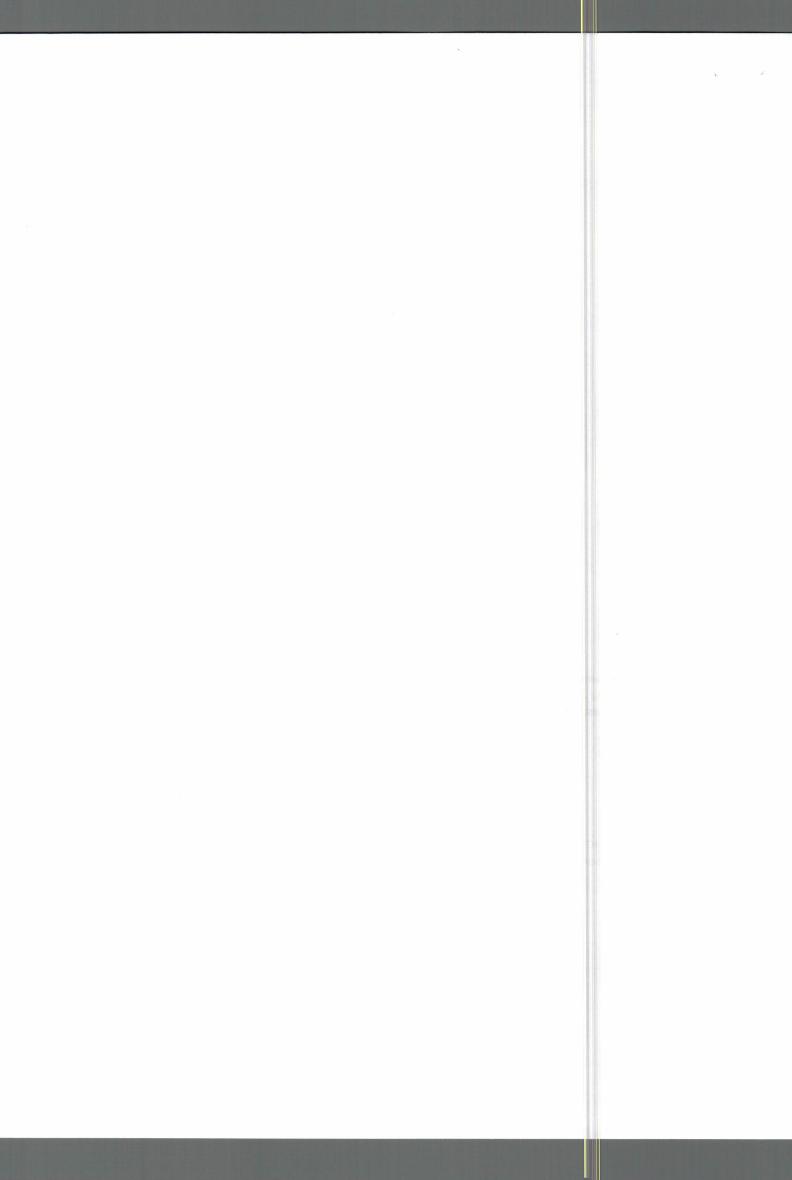
The PM brought up the matter with the Project Board (PB). The PB advised the PM to negotiate. Hence, this meeting.

The PM agreed to have a no-cost extension for DALUHAY until 30 June 2019 to buy them time to implement the livelihood support activities.

THE BMB Director approved the nocost extension.

**Evaluation recommendation 13.** Mobilize PMU to provide hands-on site-based support to ensure quality reporting and delivery in less advanced LRPs.

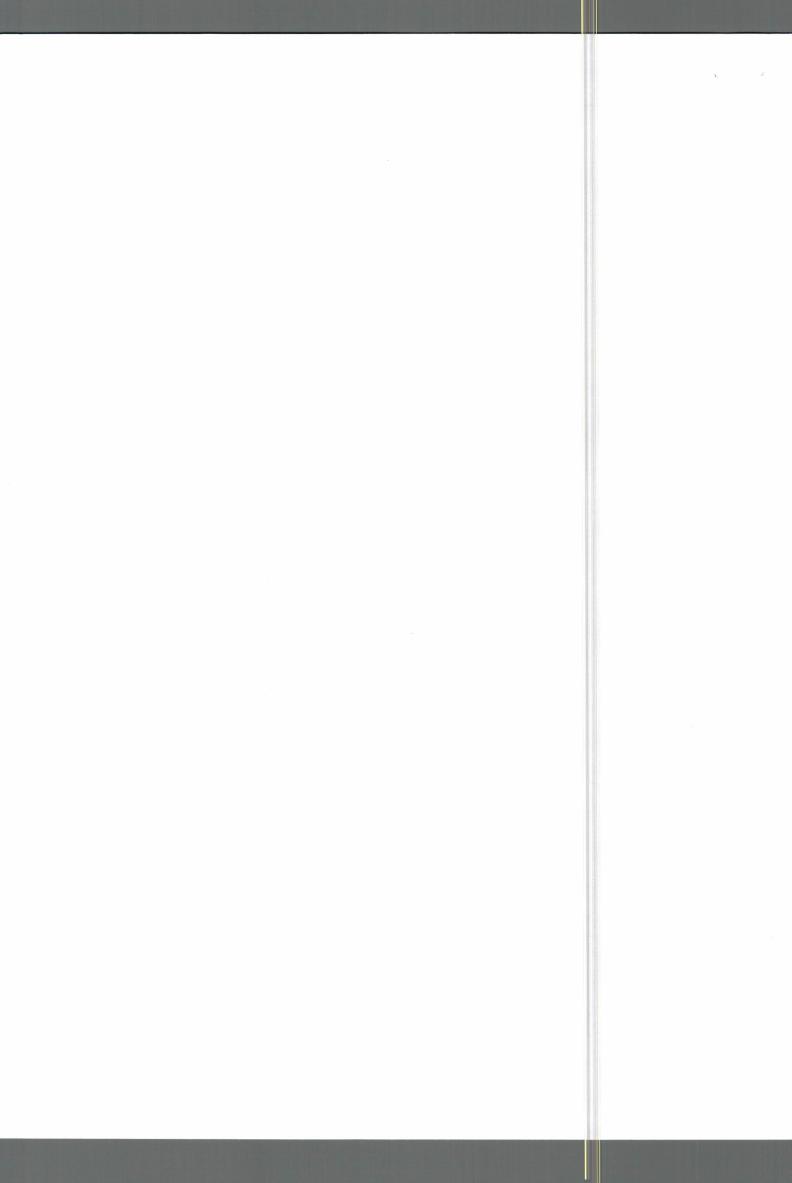
Key action(s)	Completion date	Responsible unit(s)	Tracking	
			Comments	Status (initiated, completed or no due date)
13.1 Philippine ICCA Project Site Report Writeshop and Monitoring	20 to 25 May 2019	LRPs, PMU	This activity was conducted with the LRPs in the Mindanao to provide technical assistance to the Local Responsible Partners (LRP).	Completed



This is in line with the objective of the Project Management Unit (PMU) to help the LRPs meet the required outputs, reports, and other deliverable.

The PMU has intensified efforts to make the outputs and deliverable compliant with requirements of the project as indicated in the Terms of References (TOR) of the LRPs. This write shop and technical assistance activity is intended to provide a venue for the LRPs and the PMU to work together on improving the quality of the outputs and reports.

As a direct result of this intervention, the LRPs in Mindanao was able to has satisfactorily complied with the requirements of the Project.



**Evaluation recommendation 14.** CCPs would need to be upgraded to become adequate negotiation tools, providing a proper budget for implementation as well a description of the sectors benefitting from the environmental services produced by these territories.

Management response: Key action(s) Completion Responsible Tracking date unit(s) Comments Status (initiated, completed or no due date) 14.1 Review of the 30 September LRP, BMB, PMU The Project has Completed **CCPs** 2019 laid down the minimum requirements and contents of the CCPs. Only those plans that have complied with these requirements are accepted and approved for payment.

<sup>\*</sup> Status of implementation is tracked electronically in the ERC database.