

## UNDP/GEF Terminal Evaluation Management Response

Project Title: BRA/16/G31 - Fourth National Communication and Biennial Update Reports to the United Nations Framework Convention on Climate Change (UNFCCC)

Project PIMS #: 5187

Terminal Evaluation Completion Date: December 13<sup>th</sup>, 2021

Key Issues and Recommendations	Management Response*	Tracking**				
	Response	Key Actions	Timeframe	Responsible Unit(s)	Status***	Comments
<p><b><u>Finalize project terminal report</u></b></p> <p>The experiences with preparation of the 4NC and BURs in Brazil are relevant to future reporting to UNFCCC in Brazil, and for reporting by other non-Annex I Parties, including countries in Latin America and the Caribbean. The improvements to the approaches, methodologies, and emissions factors used for the elaboration of the GHG inventory are notable, as is the approach on water, food, energy and socioeconomic securities that was chosen for the assessment of vulnerability to climate change. The institutional arrangements for the elaboration of NCs, based on long-term partnerships with organizations affiliated to Rede CLIMA is also worth showcasing. Therefore, this evaluation recommends that the project team finalizes a project terminal report that provides a critical review of the project experiences, emphasizing on lessons learned, and opportunities for improvement by subsequent replication initiatives. The completion report could be professionally translated into English and/or Spanish and be widely disseminated, with support from UNDP.</p>	<p>There is already a terminal report elaborated under the project, recording the lessons learned and the recommendation for technical and processual improvements.</p>	<p>A project terminal report was prepared by the Technical Coordinator, summarizing details, and describing the major initiatives developed.</p>	<p>Before project closure</p>	<p>Project team, supported by UNDP</p>	<p>Completed</p>	

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<p><b><u>Design of upcoming project to support reporting to UNFCCC</u></b> Brazil has started the process to request financial support from GEF for the preparation of the Fifth National Communication (5NC), and upcoming BURs and Biennial Transparency Reports (BTRs). In that context, the following recommendations could be taken into consideration for the design of that project:</p> <ul style="list-style-type: none"> <li>Stakeholders' analysis and engagement plan. A key factor contributing to the success of the project for the elaboration of 4NC were the partnerships with organizations affiliated to Rede CLIMA and other stakeholders, and how effectively these partnerships were managed by the project team. To replicate and further improve this experience in the upcoming project, the project design may be supported by a stakeholders' analysis and engagement plan prepared during the project formulation or early implementation stages.</li> <li>Engage earlier with State and subnational actors. During the preparation of 4NC, State governments and subnational actors were consulted and informed of project products (e.g. GHG inventory, vulnerability assessment, etc.), but had limited roles during earlier phases of design and elaboration of those products. During the preparation of 5NC, stakeholders may want to consider engaging with State and subnational actor at earlier stages to further improve the relevance and ownership of project results at regional and local levels.</li> <li>Build on the diversity of project partners. The number and diversity of actors who participated in the elaboration of 4NC was remarkable (over 450 experts representing close to 220 organizations). That level of engagement is a key asset of the UNFCCC reporting process in Brazil. Project partners for the elaboration of 5NC are encouraged to build on that asset by increasing the diversity of organizations that</li> </ul>	<ul style="list-style-type: none"> <li>The engagement plan has been already started. In this plan, it would be possible establish a new approach for the duties and responsibilities, especially, for Rede CLIMA partners. Besides that, soon as possible, it will be important a working meeting between MCTI and UNDP to analysis the current options for partnerships formalization.</li> <li>A preliminary calendar has been defined for the interactions between national and subnational levels.</li> <li>For the new project, it's planned mapping new relevant actors.</li> <li>For 5NC project document it's planned the elaboration of a gender analysis and action plan that defines gender-responsive actions and indicators.</li> <li>For 5NC project document it's planned the implementation of the communication plan as soon as possible.</li> <li>The PRF will be considered such as a project management tool since the beginning of the new project.</li> </ul>	<p>MCTI will try to incorporate all the recommendations at the Fifth National Communication (5NC) project design phase.</p> <p>MCTI is developing an engagement actions and meetings with State and subnational actors before starting the new project. Beyond that, the implementation of the Fifth National Communication (5NC) project will include specific and periodic meetings since the beginning of the implementation with this audience.</p> <p>The 5NC project document is already including actions an resources to implement the gender analysis and an specific action plan to address this issue.</p> <p>The 5NC project document was designed previewing a Communication Analyst since the beginning of the project.</p>	2021	MCTI UNDP	Partially completed	

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<p>participate in the process by, for example, reaching out to underrepresented regions, and sectors.</p> <ul style="list-style-type: none"> <li>Gender analysis and action plan. Gender-dimensions were not fully incorporated in the project design -it was not a requirement at the time- and had a limited scope during project implementation. It is recommended to address this shortcoming during the preparation of the project to support 5NC by, inter alia, the elaboration of a gender analysis and action plan that defines gender-responsive actions and indicators.</li> <li>Communications. The communication's function was formally incorporated into project management towards the end of project implementation, in 2020, with the incorporation of a communications analyst and the adoption of a communication plan. It is recommended to include the communication's function in the design of the project to support 5NC and as soon as the project starts implementation. As part of these activities, the project may want to replicate to surveys on public perceptions of climate change completed under output 3.4. of the project to support 4NC.</li> <li>Project results framework. The indicators and targets in the PRF had limited use at intermediate stages of project implementation. To improve the usefulness of the PRF as a project management tool, the recommendation made in the MTR report could be revisited to include in the PRF a combination of results and process indicators with relevant targets at mid-term.</li> </ul>						

\* Unit(s) assigned to be responsible for the preparation of a management response will fill the columns under the management response section.

\*\* Unit(s) assigned to be responsible for the preparation of a management response will be updating the implementation status. Assigned with an oversight function monitors and verifies the implementation status.

\*\*\* Status of Implementation: Completed, Partially Completed, Pending.