Management response template

**Terminal evaluation of the project:** ‘’Advance the National Adaptation Plan (NAP) process for medium-term investment planning in climate sensitive sectors in Bosnia and Herzegovina’’

**UNDP Atlas output ID (PIMS) #: 00103202**

**GCF Project ID (PMIS) #:** [**5975**](https://co.pims.undp.org/project/view?id=5975)

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| **Evaluation recommendation 1.** *Recommendations for the Project until completion [**Responsible Party: Project].** As much as possible, link to whatever processes can be connected with regarding adoption and approval of policies, plans, strategies to promote institutional sustainability and institutional cooperation as well as to engender financial support and financing strategies to implement these instruments. Work with partners in seeking to adopt supplementary or ancillary normative instruments and planning tools that although not strictly part of the Project are relevant regarding climate change adaptation planning (such as tools for alignment with EU approximation strategy) which can reinforce planning and use drivers for adoption and implementation. *(Sustainability)*
* Attempt to generate processes, repositories, etc., to make sure materials, knowledge management products, documents, and institutional history remains no matter what political and governmental changes occur in the short term. Seeking different ways in which the information and knowledge management products that the Project has generated are not lost after project closure. Pursue having this information in open, user friendly depositories, and even decentralised if possible, in order for this information and knowledge be available in the future, building upon the inclusion of stakeholders that took place during the intervention. If there are remaining resources (resources understood broadly, to be funds, time, technical expertise, etc.) execute communication activities based not only on the communication already generated (such as NAP, studies, knowledge management products) but also to promote adoption and implementation of products and outputs. *(Sustainability)*
* Work on financial sustainability, etc., attempting to harness funds for follow up or aid the different stakeholders and partners in seeking funds for implementation of climate change planning processes in the country at different institutional levels. This should be done building upon the processes the Project implemented already to familiarise stakeholders with the different types of funds and donors that support adaptation. If all parties agree, apply for remnant funds of GCF in order to leverage the funds needed in the immediate horizon to foster implementation of achieved products and enhance sustainability. *(Sustainability)*
* Generate closing activities documents and inform regional and international actors as needed (UNFCCC, GCF, UNDP, etc.). (*Effectiveness*)
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| **Management response:** The UNDP agrees with the recommendation and continues to track and support adaptation process in country. |
| **Key action(s)** | **Completion date** | **Responsible unit(s)** | **Tracking\*** |
| **Comments** | **Status****(initiated, completed or no due date)** |
| 1.1 The project is strongly following and supporting the official adoption process which has already resulted in adoption of NAP and supplementary documents (SOPs and M&E) at three governmental levels: Federation of BiH, Republika Srpska and Brcko District. The same approach is applied to support final adoption at state level which is under the process | Jun ,2022 | UNDP Project Team, responsible institutions | NAP document adopted at entity and Brčko District Government and is in the process of the state level adoption. | Completed |
| 1.2 According to Project Board suggestions and UNDP capacities it is agreed that information and knowledge products generated by the Project will be available to the institutions over the web depository of the Project as well as hard copies (USBs) that will be distributed to all beneficiary institutions directly.  | May, 2022 | UNDP Project Team | Knowledge products are in the process of transfer to Project Websites and handover is in preparation to key beneficiaries and partners. | Completed  |
| 1.3 UNDP is continuing to advocate among donors, private sector and local institutions to ensure necessary adaptation finance  | May, 2022 | UNDP Project Team | Project proposals are communicated with donors and state institutions for further fundraising  | Completed |
| 1.4. The Project closing documents are under finalisation to be shared with regional and international actors | May, 2022 | UNDP Project Team | Final Project Report for the donor is in preparation | Completed |

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| **Evaluation recommendation 2.** *Recommendations regarding possible future priority interventions and general recommendations, which could further ensure sustainability of Project’s achievements [Responsible Parties: Project, Country Office].* * Attempt to make – up for whatever planned processes and activities could not be carried out due to the COVID-19 pandemic such as training, study tours, exchanges with other countries and/or exchanges with the European Union and its institutions. If possible, transfer these actions and processes to other ongoing projects and interventions being carried out at the moment as well as in the near future. (Effectiveness)
* Generate and/or support follow up activities. The donor community, based on the achievements and ownership displayed by BIH partners within the Project, should plan, generate and support follow up activities to strengthen the accomplishments already made as well as to aid in implementation and sustainability of the achieved processes and products. (Sustainability)

For this, the following specific references are made:* + Focus and follow up with sectoral processes and plans beginning with the most vulnerable sectors identified in the current project and expanding to other areas as identified or as possible. Focus on specificity of sectors and specific needs and issues. Also, these follow up plans and sectoral activities need to forge ahead on knowledge and concrete data gaps at the sectoral levels. Follow up activities need to further integrate different sectors (different line ministries as well as promote intra-ministerial integration) and different actors (private sector, industry, civil society, and so on) that are negatively affected by climate change and that have a potential to contribute to solutions, seeking institutional multi – stakeholder platforms and decision making processes that interlink the different sectors and areas related to climate change adaptation in an integrated manner.
	+ All follow up activities, processes, and products need to fully integrate developmental gender considerations. These should take into account the differential impact of gender upon women as well as the needed actions to work through these issues in an equitable manner.
	+ Increased attention for follow up should be upon financial planning to set up backing mechanisms for adaptation component. Sustainable financing mechanisms should also be innovative incorporating elements such as insurance, guarantee funds, loans, etc., for climate finance frameworks and budgeting.
	+ Follow up also should be done at the local level, follow up in the four urban areas where the Project piloted activities but also (while learning from the pilot experiences) use the potential catalytic effect and involve more municipalities and cities in different areas of the country. Acknowledging that local communities often have less possibility of funding for climate adaptation than other higher level institutions, a robust funding leverage strategy should be integrated in these processes.
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| Management response: UNDP fully agrees with the underlining principles of this recommendation since the proposed actions aiming to ensure full Project effectiveness and sustainability. |
| **Key action(s)** | **Completion date** | **Responsible unit(s)** | **Tracking** |
| **Comments** | **Status (initiated, completed or no due date)** |
| 2.1 All activities that were disrupted by COVID 19 situation are postponed, adjusted to the situation and implemented in the final project phase including the study tour and trainings/workshops. | April, 2022 | UNDP Project team | Activities postponed due to the Covid-19 situation are finalized in the last phase of the Project implementation, including study tour and trainings. | Completed |
| 2.2 The donor community has been informed of the achievements of the project and contacted local partners in order to plan, generate and support follow up activities, implementation and sustainability of the achieved processes and products. Specifically those that relate to Project Concepts developed by local communities, and NAP document with M&E and SOP that ensures interlinkages and intersectoral exchange. | April, 2022 | UNDP Project team/ Country Office | Formative meetings with donors already held as well as with local partners. | Completed |
| 2.3 Strategically, in the context of gender equality UNDP commits to work on several parallel ways: 1. ensures gender mainstreaming in all project interventions, 2. monitoring and evaluation are/and will be fully gender-sensitive (at the Project level gender disaggregated data are collected and regularly analyzed), 3. gender specific activities will be implemented where the need is or where gaps prove to be too high. Within the NAP project, the gender issues are integrated in newly developed adaptation initiatives. Gender based indicators are established as a part of M&E Information System to follow differential impact of gender as well as the needed adaptation actions. | April, 2022 | UNDP Project team/Country Office | Gender aspects integrated in new adaptation initiatives (GCF). Gender climate indicators are identified and will be part of the new climate information system. | Completed  |
| 2.4 Innovative adaptation finance mechanisms are established in 4 pilot local communities. Local adaptation Finance Strategy as well as State Finance Strategy has been developed to identify finance sources and support sustainable adaptation planning and implementation.  | April, 2022 | UNDP Project team | Local adaptation Finance Strategy as well as State Finance Strategy has been developed to identify finance sources and support sustainable adaptation planning and implementation. | Completed |
| 2.5. The potentials to expand activities at local level are recognised, initiative is ongoing to involve more municipalities, Concept Note for additional funding is developed and will be submitted to GCF.  | June, 2022 | UNDP Project team/ Country Office | Initiative for additional funding has been revised with local partners. | Completed |

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| **Evaluation recommendation 3.** *Recommendations regarding possible after-Project priority interventions and general recommendations for future programming in different countries/contexts [Responsible Parties: UNDP, GCF] .** All parties (donor, implementing entities, country stakeholders) need to have all the needed information and decisions on project implementation before an intervention begins. This cannot be changed in the course of implementation since this affects not only implementation process but also trust in an intervention. (Efficiency)
* Disbursements and financial flows need to take place as planned in order to avoid obstructing or stalling implementation, losing implementation momentum as well as foregoing beneficiaries support and ownership. (Efficiency)
* All project activities, processes, and products need to fully integrate developmental gender considerations, from design onward. These should take into account the differential impact of gender upon women as well as the needed actions to work through these issues in an equitable manner. (Cross cutting issues: gender)
* The inclusion of bottom up approaches is a good practice and needs to be included in all interventions that act at different institutional levels. This should be done inter linking with other level institutions (such as national and sub national) as much as possible and as feasible within each governance context and including stakeholder institutions in planning to reinforce overall relevance. (Relevance)
* Projects need to integrate climate change planning instruments with financial strategies in order to develop and implement tools that have financial support and to generate climate finance strategies that have instrumental policy backing. (Sustainability)
* Given that at this point there is (globally) a number of interventions regarding climate change adaptation planning with different agencies (such as GCF, UNDP, UNEP, etc.) in different countries and in different settings, a fluid information exchange platform can be generated in order for the different projects learn from each other, from the lessons learned, achievements and challenges they have faced in the generation and/or implementation of climate change adaptation. (Effectiveness)
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| **Management response**: UNDP will ensure that donor, implementing entities, country stakeholders have access to all the needed information and decisions on project implementation before beginning of interventions. |
| **Key action(s)** | **Completion date** | **Responsible unit(s)** | **Tracking** |
| **Comments** | **Status (initiated, completed or no due date)** |
| 3.1 The CO will ensure participatory approach to be implemented during the preparation of new interventions, as it was during the preparatory stage of this Project, including the workshops, presentations, LPAC meetings, work group meetings, questionnaires etc.  | April 2022 | UNDP Country Office | Participatory approach ensured during preparation of the new adaptation interventions. | Completed  |
| 3.2 The Project and CO will analyse potential risks of disturbance of financial flows and implement adaptation strategy when necessary.  | April 2022 | UNDP Country Office | Country Office successfully mitigated risks of disturbance of financial flows. | Completed |
| 3.4 The ‘’bottom up’’ approach will be applied considering the type of further interventions but also widen consultation process to ensure local ownership of intervention and future results.  | April, 2022 | UNDP Project team/Country Office | ‘’Bottom up’’ approach was applied in designed of the new interventions (GCF new project, Floods Risk Reduction Initiative).  | Completed  |
| 3.5 The Project has developed local and state level financial strategies aligned with Adaptation Plan and its financial projections to guide institutions in further searching financing for adaptation actions.  | April, 2022 | UNDP Project team/Country Office | The Project has developed and transferred the financial mechanisms to the partners and local institutions. | Completed |
| 3.6 The regional and global information exchange is ensured through National Adaptation Global Support Programme- NAP-GSP, UNDP Climate Change Adaptation, UNDP Climate – Exposure…through various platforms (web, Teams etc…) that enables information exchange regarding climate change adaptation planning with different agencies in different countries and in different settings.  | April, 2022 | UNDP Project team/Country Office | Information exchange was ensured throughout implementation of the Project (NAP-GCP, UNDP Climate Change Adaptation, UNDP Climate Exposure). | Completed |