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PROGRESS REPORT & IMPLEMENTATION RESULTS

AS OF 15 APRIL 2021

PROJECT: Improving the resilience of vulnerable coastal communities to climate change related impacts in Viet Nam





KEY EVENTS & HIGHLIGHTS
(2019-2021)

IMPLEMENTATION OF CONCLUSIONS
OF THE 2ND PSC MEETING
(2019)

MIDTERM REVIEW RESULTS

PROJECT IMPLEMENTATION **PROGRESS**
AS OF 15 APRIL 2021 & AWP 2021

RECOMMENDATIONS &
SUGGESTIONS FOR THE PSC **REVIEW**

01

02

03

04

05

01



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KEY EVENTS & HIGHLIGHTS (2019-2021)

THANH HOA PROCUREMENT CASE
(Sep 2019)

+ Action Plan to improve oversight of implementation

GCF VISIT TO VIET NAM
(Nov 2019)

MIDTERM REVIEW
(Nov 2019 – Jan 2020)

IMPACT OF COVID-19 & FLOODS/STORMS
(2020)

+ Project extension

POLICY COMPLIANCE REVIEW
(2021)



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KEY EVENTS &
HIGHLIGHTS
2019-2021

02



IMPLEMENTATION OF CONCLUSIONS OF THE 2ND PSC MEETING (2019)

IMPLEMENTATION OF 9 CONCLUSIONS OF THE 2ND PSC MEETING (2019)

- 07 conclusions relating to strengthening measures to accelerate project implementation progress – Completed.
- 01 conclusion relating to proposed funding mechanism for commune officials: It has been agreed by the PPMUs that such allowance will be paid using co-financing – Completed.
- 01 conclusion relating to strengthening communication activities of the project: implemented with plausible results, especially during 2 years of 2019-2020, when more than 700 articles, reports, interviews and talks on Project activities have been published and broadcast on televisions – Ongoing.

The entire project has completed its medium-term objectives and is highly appreciated by regional UNDP and the Mid-Term Review Mission

(One of the best-performing projects among GCF-funded projects).



03



MIDTERM REVIEW RESULTS



The mid-term objective is achieved.
Increasing resilience to climate change impacts of vulnerable coastal communities:

Overall rating: SATISFACTORY



The Project is well-designed and relevant to addressing the environmental threats that Vietnam is facing, and it is also in line with the Government of Viet Nam's Sustainable Development Strategy (2011-2020) and Climate Change Strategy. **Results of project interventions are sustainable.**

The MTR consultants suggested 17 recommendations, including 10 recommendations on **implementation of 3 outputs and M&E**, 3 recommendations on management; and 4 recommendations on project sustainability.

COMPONENT 1: SUPPORTING THE CONSTRUCTION OF RESILIENT HOUSES

(2 recommendations)

The mid-term target is achieved (2,402/2,000 houses) including 60% of houses for poor households and household head females.

- Recommendation 1: In some houses, the stairs are very steep, making it difficult for the disabled and older people to use the elevated space. The design needs to be improved to make it friendly to people with special needs.
- **Recommendation 2:** House design should also include toilets. Toilets should be women friendly.





COMPONENT 2: PLANTING & REGENERATION OF MANGROVES (5 recommendations: 3-7)

- The mid-term target has been partly achieved and able to yield some global environmental benefits; **The survival rate of mangroves is rather high (80%).***

COMPONENT 3: DISASTER & CLIMATE CHANGE INFORMATION (4 recommendations)

The mid-term targets of the project are achieved, contributing to Program 1002 on CBDRM; step by step upgrading the Information system of VNDMA.

- Recommendation 4:** Since large area of plantation is assigned in Ca Mau provinces where plantation is easier and cost is not high, there is possibility of saving cost in Ca Mau, which should be used in other province where cost will be higher. There should be flexibility to transfer budget from one province to another. Any savings should be used strategically.
- Recommendation 8:** Initiate as early as possible the activities related to disaster risk insurance and risk transfer mechanisms, as these type of activities will take time to prepare and implement.

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Recommendation 11: Need to improve monitoring at the local level and also from the provincial level so that enrichment plantation will be properly done.

Recommendation 14: Resilient houses last longer and serve for at least 20–30 years and there is high demand from vulnerable coastal areas for such houses. Hence GoV should continue to invest in resilient housing and for addressing large demand, **should attract private sector** for their engagement and contribution. Local NGOs could also be specialized in low-cost resilient housing so that they will continue these services with funding from GoV, private sector or communities.



ENHANCING M&E AND PARTICIPATION

(3 recommendations)



ENSURING PROJECT SUSTAINABILITY

(3 recommendations)



04

PROJECT IMPLEMENTATION **PROGRESS** AS OF 15 APRIL 2020 & AWP 2021

COMPONENT 1: SUPPORT TO THE CONSTRUCTION OF RESILIENT HOUSES



Province	2017 - 2018	2019	2020	2021 AWP		Accumulated implementation (as of 15/4/2021)	Target as per Prodoc	% completed
				Planned	Implemented (as of 15/4/2021)			
Thanh Hóa	340	403	410	250	51	1,204	1,403	86%
Quảng Bình	145	372	293	87	2	812	897	91%
Thừa Thiên - Huế	135	230	180	36	26	571	581	98%
Quảng Nam	130	220	86	0	0	436	436	100%
Quảng Ngãi	411	132	140	0	0	683	683	100%
Total	1,161	1,357	1,109	373	79	3,706	4,000	93%



BENEFITS OF RESILIENT HOUSES SUPPORTED BY GCF PROJECT

COMPONENT 2: MANGROVE REPLANTING & REGENERATION



Province	2018 (ha)	2019 (ha)	2020 (ha)	2021 AWP (ha)		Accumulated implementation (as of 15/4/2021)	Total mangrove areas identified (ha)	% completed
				Planned	Implemented (by 15/4/2021)			
Nam Định	112.69	42.08	43.00	138.00	0.00	197.77	408.08	48%
Thanh Hóa	0.00	337.30	0.00	0.00	0.00	337.30	400.00	84%
Quảng Nam	0.00	83.87	0.00	0.00	0.00	83.87	95.27	88%
Quảng Ngãi	0.00	74.33	0.00	8.03	0.00	74.33	133.33	56%
Cà Mau	82.57	661.71	1,874.82	522.16	0.00	2,619.10	3,224.00	81%
Total	195.26	1,199.29	1,917.82	668.19	0.00	3,312.37	4,260.68	78%



COMPONENT 2:

MANGROVE REPLANTING & REGENERATION

RESULTS OF LIVELIHOOD ACTIVITIES



Province	Model	HHS	Household heads as female	Beneficiary	Female Beneficiary	Trained people	Trained people as females
Nam Định	2	37	11%	145	46%	120	51%
Thanh Hóa	10	500	20%	1,301	48%	715	41%
Quảng Nam	2	52	6%	184	48%	52	56%
Quảng Ngãi	1	10	10%	30	47%	27	22%
Cà Mau	14	629	4%	2,368	48%	421	24%
Total	29	1,228	11%	4,028	48%	1,335	37%





MANGROVE PLANTING ACTIVITIES IN LOCALITIES

COMPONENT 3:

CLIMATE & DISASTER RISK INFORMATION AND DATA

CBDRM TRAINING ACTIVITIES



Province	Number of communities covered				2021 AWP	Total as per produc	
	2018	2019	2020				Implemented as of 15/4/2021
			Delivered	Implemented in Q1/2021			
Nam Định	2	2	22	0	26	21	47
Thanh Hóa	36	6	20	0	62	20	82
Quảng Bình	9	69	11	9	98	10	108
Huế	20	17	20	0	57	23	80
Quảng Nam	13	32	0	21	66	25	91
Quảng Ngãi	16	17	0	14	47	25	72
Cà Mau	4	9	4	0	17	23	40
Total	100	152	77	44	373	147	520

72%
of committed
TOFs per project
document (520)

Total number of
people reached:
44,000





COMMUNITY-BASED DISASTER RISK MANAGEMENT: DISASTER & CLIMATE DATA

2020 FLOODS & STORMS

- Proof of concept for resilient houses
- Needs assessment & equipment
- Need for updating flood maps - preparedness for new season (Quang Tri Province)
- Crowdfunding - Technical support & supervision of building process
- Early Warning System (28 coastal communities in 2021)
- Application of remote sensing for disaster recovery





DISASTER RISK FINANCING



Insurance and
Risk Finance
Facility

UNDP Insurance & Risk Financing Facility (IRFF):

- Viet Nam selected (one of 10 countries globally)
- Potential for enhancing risk financing options (entry point for insurance companies)
- Data gaps for risk assessment & development of financial loss models
- (role of VNDMA/MARD & ISA/MOF)

Opportunities:

- SEADRIF – South-East Asia Disaster Risk Insurance Facility
- Forecast-based Financing (Review of Decrees 160/2018/ND & 94/2014/ND)
- Ongoing review of Insurance Business Law (ISA/MOF)
- Establishment of the Disaster Management Fund at national level (VNDMA/MARD)
- Disaster risk financing strategy (for recurrent & major disasters)





DISASTER RISK REDUCTION

Role of the private sector:

- COVID Impact & Recovery Needs
- Disaster Preparedness & Risk Reduction (gaps in legislation)
- Establishment of a business alliance to respond to epidemics and disasters (VCCI)

Mainstreaming DRM into SEDP:

- Mainstreaming workshops in 7 coastal provinces (development of SEDPs)

Provincial Capacity Assessment for DRM:

- Decision 01/QĐ-TWPCTT from 08 Feb 2021
- Potential linkage with PAPI – Provincial Governance & Public Administration Performance Index

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New Housing Program (2021-2030)



New Decree on Forest Seedling Management #27/2021/NĐ-CP



New Coastal Protection and Development Plan (2021-2030) - Evaluation of existing program and support for development of the new 10-year program



New CBDRM Program (2021-2030) - Decision 533/QĐ-TTg



SUSTAINABILITY OF PROJECT INTERVENTIONS



FINANCIAL OVERVIEW

YEAR	Approved budget (USD)	Disbursement as of 2020 (USD)	% implemented
2017 -2018	6,034,513	4,666,155	71%
2019	9,765,613	6,096,355	66%
2020	7,631,438	6,108,633	80%
2021-2022 (estimated)	6,091,438		
Total GCF	29,523,000	16,871,143	57%
UNDP	1,600,000	1,448,154	90%
Gov. Co-financing	10,861,578	3,433,821	34%



05



RECOMMENDATIONS & SUGGESTIONS FOR PSC REVIEW

PROPOSED PROJECT EXTENSION



Due to impacts of COVID-19 and historic floods and storms in 2020, the CPMU and UNDP Vietnam have proposed a project extension of 12 months (key stakeholders consulted in writing).

UNDP, MARD and MPI coordinated & submitted a 12 month-project extension request to the GCF for approval which is currently pending.

A 12 month-project extension is necessary to use up all the funding and to fulfill all project objectives.

SCENARIOS OF PROJECT IMPLEMENTATION TIMELINE (1/3)

Scenario 1

The 12 month-project extension is not approved, and the AWP 2021 will be followed:

- Total resilient houses: 4,000
- Total mangrove planted and regenerated: **approx. 3,900 ha**
- Total number of CBDRM training courses: 472 courses in 7 project provinces and 21 ToT CBDRM courses in 21 provinces.

Financial implications:

- **2021** AWP cost is USD 9,000,000 and total to be disbursed by the end of 2021: USD 26,000,000
- **USD 3,500,000 will be unspent**



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SCENARIOS OF PROJECT IMPLEMENTATION TIMELINE (2/3)

Scenario 2

The 12 month-project extension is approved, but budget reallocation is not approved (from Component 2 to Component 1):

- Additional funding to Nam Dinh for the planting and regeneration of 136 ha of mangroves (9 ha for new plantation and 127 ha for regenerated forests).
 - Additional funding to Thua Thien Hue for planting of 40 ha of mangrove forests.
- Total number of resilient houses: 4,000 houses
→ Total mangrove planted and regenerated: 4,042.53 ha
→ Total CBDRM training courses: 520 courses and 21 ToT courses in 21 provinces.

Financial implications:

- Total funding to be disbursed by end of the project: USD 27,356,000
- USD 2,167,000 will be unspent.



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SCENARIOS OF PROJECT IMPLEMENTATION TIMELINE (3/3)

Scenario 3

The 12 month-project extension is approved and budget reallocation is approved (from Component 2 to Component 1):

- Total resilient houses: 5,050 houses
- Total mangrove planted and regenerated: 4,069.53 ha
- Total number of CBDRM training courses: 520 courses and 21 ToT CBDRM courses in 21 provinces.

Financial implications:

- Total project funding disbursed (USD 29,500,000)



BUDGET REALLOCATION BETWEEN COMPONENTS AND BETWEEN PROVINCES

Proposed budget re-allocations

Component 2:

- Add funding for planting and regeneration of 109 ha (9 ha as new planting) in Nam Dinh province.
- Add funding for planting of 40 ha of new mangrove forests in Thua Thien Hue province.

Component 1:

- Add 500 resilient houses to Ca Mau province.
- Add **another** 100 resilient houses to Thanh Hoa province.
- Add **another** 100 resilient houses to Quang Nam province.
- Add **another** 150 resilient houses to Thua Thien Hue province.
- Add **another** 200 resilient houses to Quang Ngai.
- **Develop** house designs and implementation mechanism for resilient house construction in Ca Mau province.



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BUDGET REALLOCATION BETWEEN COMPONENTS AND BETWEEN PROVINCES

Direct PMUs/PPMUs to proceed to get approval of project extension (1/2)

- As soon as the project extension approval of the Donor is received, the CPMU shall lead and coordinate stakeholders in proceed with necessary procedures to get approval of the revised Decision 1029/QĐ-TTg of the Prime Minister on project investments:
 - *Add mangrove planting and regeneration Component to Thừa Thiên Huế;*
 - *Revise the Component 1 target to 5,050 houses;*
 - *Add resilient housing Component to Ca Mau province, adopting principles of Program 48.*
- Revise Overall PRODOC and Component PRODOCs and submit to MARD, MOC and PPCs for approval.



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BUDGET REALLOCATION BETWEEN COMPONENTS AND BETWEEN PROVINCES

Direct PMUs/PPMUs to proceed to get approval of project extension (2/2)

- UNDP Vietnam to lead and coordinate with CPMU and MPI in preparing necessary documents to submit a budget reallocation request to the Donor GCF (reallocating budget from Component 2 (Mangroves) to Component 1 (Resilient houses), a maximum of 20% of the total budget;
- Implement necessary procedures for Ca Mau and Thua Thien Hue PPMUs;
- Supplement project staff to Ca Mau PPMU (involving staff from Ca Mau Department of Construction), Thua Thien Hue (involving staff from Sub-Department of Forest Protection).
- Any other measures required to ensure timely application of changes and implementation of activities on the ground.



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REQUEST FOR PROJECT EXTENSION TO 31 DEC 2022

If Yes

Send 2nd request to GCF for funding reallocation from Comp. 2 to Comp. 1 (over 10%)

If Yes

Request to change the decision 1029/QĐ-Ttg

If No

Additional activities for component 2 only

Allocate more budget for Nam Dinh - 136 ha and the plantation should be done within 2021

Request to change the decision 1029/QĐ-Ttg for additional houses.

Reallocate budget from Component 2 for Component 1 with max. of USD 1 mln.

550 hhs:
Thanh Hoa (100), Quang Nam (100), Quang Ngai (200), Thua Thien Hue (150) should be done within 2021

If No

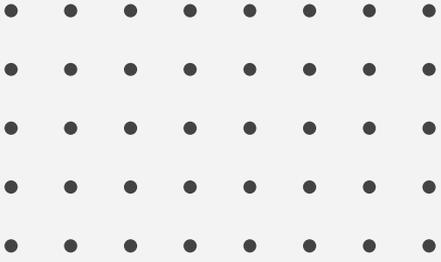
Extend the program #48 and apply to Ca Mau

Revise project document at all levels

Add housing component in Ca Mau - 500 hhs

Add mangrove component - 40 ha in Thua Thien Hue

Add additional 550 houses in Thanh Hoa, Thua Thien Hue, Quang Nam and Quang Ngai



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THANK YOU!

